Neutrino nature and neutrino mass XXXII International Meeting on Fundamental Physics Benasque March 11 2005

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Summary

•Status of neutrino mixing and mass spectrum. A compendium

- •Next steps. A quick look
- •Cosmic connection
- •Double-beta decay
 - •Are neutrinos and antineutrinos the same particle?
 - •What are their masses
 - •Is leptogenesis the origin of matter-antimatter asymmetry of the Universe?
 - •How can neutrinos show us the new physics?
- •Conclusions and outlook

Lepton mixing

Neutrinos change flavour in two different ways

•(vacuum) oscillation

- •in the **kinetic** part of the Hamiltonian
 - •observed in v_{μ} produced by cosmic rays in the atmosphere
 - does not depend on $\theta_{ii} \Leftrightarrow \pi/2 \theta_{ii}$
 - •does not depend on sign(Δm^2)

•flavour conversion in matter (Mikehev-Smirnov-Wolfestein effect)

•dynamical phenomenon, due to the *v_e* interaction potential

•observed as dominant process in solar v_e 's

- •depends on $\theta_{ij} \Leftrightarrow \pi/2 \theta_{ij}$
- •depends on sign(Δm^2)

•Both phenomena imply that, contrarily to the Standard Model

•neutrino masses are different, hence non-zero

•lepton flavours are not conserved

Squared mass differences are very small \Rightarrow conversion times in both cases are long \Rightarrow oscillation or conversion distances at the relevant energies (MeV to GeV scales) are several km to several hundreds kilometres \Rightarrow non observable within accelerator laboratories perimeter Neutrinos generated in the interactions (weak neutrinos) v_e , $v_\mu e v_\tau$ are not the states of definite mass v_1, v_2, v_3 , but linear combinations of them March 29, 05

 $\pi/2$

 $\pi/2$

 θ_{ij}

 θ_{ii}

 Δm^2

 Δm^2

0

0

 $\pi/4$

 $\pi/4$



What is known

The three mass eigenstates are linear superpositions of the flavour states

Define v_1, v_2, v_3 in decreasing order of v_e fraction

 $v_1 \Rightarrow \approx 70\% v_e, v_2 \Rightarrow \approx 30\% v_e, v_3 \Rightarrow \approx 0\% v_e$ solar squared mass difference atmospheric squared mass difference $\Rightarrow |\Delta m^2| = |m_3^2 - m_2^2| \approx |m_3^2 - m_1^2|$ Global fits give $|\Delta m^2| = 2400 \pm 300 \text{ meV}^2$ Hierarchic parameter $\alpha = \delta m^2 / \Delta m^2 \approx 0.03$



 $\Rightarrow \delta m^2 = m_2^2 - m_1^2$ (>0 from solar neutrinos) $\delta m^2 = 83 \pm 3 \text{ meV}^2$

Status of neutrino oscillations >Neutrino 2004

G.L. Fogli et al. hep-ph/0408045. After Neutrino 2004



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Next few years



Atmospheric oscillation

New analysis including

•three-D fluxes

•improved cross-sections which agree better with K2K near detectors



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Appearance experiments MINOS

Disappearance experiments do not give the final proof of the oscillation/matter conversion phenomena

SNO has proven $v_e \Rightarrow av_{\mu} + bv_{\tau}$ @ the "solar" δm^2

 τ appearance experiments will check oscillation into v_{τ} hypothesis **NUMI+MINOS** @ Fermilab (ongoing) **CNGS program LNGS+CERN (starts in 2006) OPERA** (in construction) **ICARUS**

All will be sensitive to the (minority) V_{e} appearance

ICARUS







U_{e3}

Two (complementary) options to measure $|U_{e3}| = \sin \theta_{13}$

1. appearance: v_{μ} beam from an accelerator (several GeV energy), look for "minority" v_e appearance (few hundreds kilometers), NUMI+MINOS, CNGS, T2K (dedicated, on oscillation maximum)

$$A(v_{\mu} \rightarrow v_{e}) = \sin^{2}(\theta_{23}) \sin^{2}(2\theta_{13}) \approx 2\theta_{13}^{2}$$

1. **disappearance**: $\overline{v_e}$ from reactors (several MeV energy), look for disappearance @ ≈ 2 km $A(v_e \rightarrow v_x) = \sin^2(2\theta_{13}) \approx 4\theta_{13}^2$ $v_e \Rightarrow \approx \frac{1}{\sqrt{2}}(v_\mu + v_\tau)$

 $E = 0 - 9 \text{ MeV} \Rightarrow L = 1 - 2 \text{ km}$ for oscillation maximum

 $\overline{v}_e p \rightarrow e^+ n \qquad np \rightarrow d\gamma \text{ delayed}$

Present limit by CHOOZ, a reactor experiment: one underground (300 mwe) far detector
Improvement needs better control of the initial ve/e flux & energy spectrum
two (near and far detectors). Aim for background conditions as equal as possible
several proposals world-wide; white paper: M. Goodman hep-ex/0402041
In Europe: Double-CHOOZ proposal (including US Groups) aims to

The Double-CHOOZ Proposal



- 300mwe far detector
- no excavation necessary for far detector

D-CHOOZ Targets



Final sensitivity $\Rightarrow |U_{e3}|^2 = \sin^2 \theta_{13} \approx 5 \times 10^{-3} (\theta_{13} \approx 4^\circ)$ from design simulations

Completely dominated by systematics, in particular equality of background conditions @ near (on surface) and @ far (underground) stations

Planned schedule

- 2007 Start data taking with the FAR detector @ the CHOOZ location
- 2008 Improve X2 CHOOZ limit
- 2009 Start NEAR detector

The cosmic way

Neutrino masses from cosmology

Cosmology provides a potentially very sensitive, albeit indirect, means for measuring or limiting neutrino absolute neutrino mass

Neutrinos stream freely above the structures, suppressing their formation at scales $\langle d_{FS} \rangle$

 $d_{FS}(\text{Gpc}) \approx 1/m_v(\text{eV})$





The crucial issue is the large structures power spectrum, determined by

•CMB spectrum at the largest scales

•Galaxy power spectrum at intermediate scales High precision galaxy surveys (2dFGRS and SDSS)

•Ly α forest at smaller scales

Model dependence of the e xtraction of spectrum from data

•Possibly other sources in future

A limit on neutrino mass density gives a limit on the sum of neutrino masses

$$\Omega_{v} \approx \frac{\sum_{i=1}^{3} m_{i}}{94h^{2}} \approx \frac{\sum_{i=1}^{3} m_{i}}{47}$$

The spectrum of the large scale structures

• v's cold @ last scattering epoch \Rightarrow little effect on CMB: z=1000 (linear, $\delta\rho/\rho <<1$) •effect sizeable in the LSS region: z=0.1-0.2 (large non-linearity) •effect large in Ly α region: z=2-4 (moderate non-linearity) •cosmology measures $f_v = \Omega_v / \Omega_m$ $d_{FS}(Gpc) \approx 1/m_v (eV)$



Limits on neutrinos masses

Cosmology is sensitive to very low neutrino masses. But, limits depend •set of basic parameters (not completely defined; degeneracies are present) assumptions on priors

• cosmological model is very good, but it is purely phenomenology

CMB+LSS (astro-ph 0302209) CMB+LSS(astro-ph 0310723)

 $\sum m_i < 690 \text{ meV} \Rightarrow m_i < 230 \text{ meV}$ $\sum m_i < 2100 \text{ meV} \implies m_i < 700 \text{ meV}$



NEW analysis of SDSS Ly-a data (astro-ph 0405013) and galaxy bias (astro-ph 0406594) CMB+LSS+Ly α (Seljak et al. astro-ph 0407372) $\sum m_i < 420 \text{ meV} \Rightarrow m_1 < 130 \text{ meV}$ CMB+LSS+Ly α (Fogli et al. astro-ph 0408045) $\sum m_i < 470 \text{ meV} \Rightarrow m_i < 157 \text{ meV}$ March 29, 03 A. Bettini. INFN 16

Neutrino mass from beta decay

Tritium β decay ${}^{3}\text{H} \rightarrow {}^{3}\text{He} + e^{-} + \overline{v_{e}} \implies \langle m_{ve}^{2} \rangle = |U_{e1}|^{2} m_{1}^{2} + |U_{e2}|^{2} m_{2}^{2} + |U_{e3}|^{2} m_{3}^{2}$

Present limits $\langle m_{ve} \rangle \langle 2.2 \text{ eV from Mainz and Troitsk experiments}$



Need direct measure also to fix model dependence of cosmological results Will be sensitive in the Klapdor + cosmology region

The Nature of Neutrinos





Majorana or Dirac particle?

SM neutrinos are massless, described by a 2-component (left) spinor If lepton number is not conserved and if neutrinos are massive Neutrino and antineutrino may be two states of the same particle (distinguished by the chirality)

$$m_v \neq 0, \quad v_e^C = v_e$$



 $0\nu\beta\beta$ may happen, if beta decay is forbidden



$$M_{ee} = ||U_{e1}|^2 m_1 + |U_{e2}|^2 e^{2i\alpha_{12}} m_2 + |U_{e3}|^2 e^{2i\alpha_{13}} m_3 \approx ||U_{e1}|^2 m_1 + |U_{e2}|^2 e^{2i\alpha_{12}} m_2 |$$

Cancellations may happen due to the phase factors

The decay rate $(1/\tau)$ of $0\nu\beta\beta$ depends on kinematic parameters, the "Maiorana neutrino mass" parameter M_{ee} and a nuclear matrix element M_{nucl}

Lifetime, measured or limited $1/\tau = G(Q,Z) |M_{nucl}|^2 M_{ee}^2$ Phase space $\propto Q^5$ Nuclear matrix elements M_{nucl} uncertain by factors > 3-4

Need to search in different nuclei (a peak may be an unforeseen background) March 29, 05 A. Bettini. INFN

Factor of Merit of Different Isotopes



a isotopic abundance; ε efficiency; *W* molecular mass; *M* sensitive mass, *b* background rate, ΔE resolution

 $\langle \eta \rangle = G(Q,Z) |M_{nucl}|^2 10^{13} \text{ y}^{-1}$ Average on nuclear models by

Isotope	<η>	Transition energy (keV)	Natural abundance (%)
⁴⁸ Ca	0.54	4272	0.018
⁷⁶ Ge	0.73	2038.7	7.44
⁸² Se	1.70	2995	9.19
¹⁰⁰ Mo	10.0	3034	9.63
¹¹⁶ Cd	1.30	2805	7.49
¹³⁰ Te	4.20	2529	34
¹³⁶ Xe	0.28	2479	8.9
¹⁵⁰ Nd	57.0	3367	5.64

Adapted from F. Avignone Neutrino 2004

"Majorana mass"



If spectrum almost degenere, a lower limit exists $M_{ee} \ge m ||U_{e1}|^2 - |U_{e2}|^2 = m \cos 2\theta_{12} \approx 0.4m$

If spectrum is inverse, a lower limit exists $M_{ee} \ge \Delta m \parallel U_{e1} \parallel^2 - \parallel U_{e2} \parallel^2 \equiv \Delta m \cos 2\theta_{12} \approx 0.4 \Delta m$

no lower limit in the normal pattern

 $M_{ee} = 50 \text{ meV} \Rightarrow O(10^{26}\text{-}10^{27} \text{ yr})$

a reasonable, but very difficult, target for next generation experiments uncertainty in matrix elements demands to search in more than one nuclide Sensitivity to Majorana mass of <u>all</u> other processes is irrelevant. The most sensitive

$$\mu^- + Z \rightarrow e^+ + (Z - 2) \implies M_{\mu e} < 17 \text{ MeV}$$

Evidence from Heidelberg-Moscow @ LNGS

 $MT = 10.9 \text{ kg} (86\% \ ^{76}\text{Ge}) \times 13 \text{ yr}$ (7.6 x 10^{25 76}Ge nuclei) Exposure: 71.7 kg y b = 0.11 count./(keV kg y) before PSA b = 0.06 count./(keV kg y) after PSA Resolution on 8 years $\Delta E = 3.27 \text{ keV}$

Claimed evidence of $0\nu\beta\beta @ 4\sigma$ $T_{1/2} = (0.3 - 2.0) \ge 10^{25} \ge M_{ee} = 200-1000 \text{ meV}$

Expected position of $0\nu\beta\beta$ line well known $Q_{\beta\beta}=2039.006\pm0.05$ keV found @ $Q_{\beta\beta}=2038.7\pm0.44$ (+2.1 σ)



Background model assumes 4²¹⁴Bi lines + linear shape

Lines are indeed found in the expected positions

To be precise the centres of three of them are a bit $[+(2-3)\sigma]$ off

Some tension in the intensities too (but they depend on the location of Bi)

IGEX, the other experiment with Ge diodes and similar sensitivity, gives an upper limit $M_{ee} < 0.33$ -1.3 eV no other experiment sensitive @ this level

Fogli et al hep-ph/0408045/

Believing all evidence

Plot correlations between the three observables



If both Klapdor et al. evidence and limits from cosmology, then
neutrino spectrum is degenerate
0v2β experiments CUORICINO and/or GERDA may confirm soon
cosmology may have positive evidence soon

•KATRIN will have a signal

More aggressive (or soon-to-be) limits from cosmology including Ly- α forest produce strong tension with DBD evidence, but •uncertainties on matrix elements •uncertainties on information extraction from Ly- α •degeneracies (e.g. σ_8 & running)

DBD experiments and proposals

Exp.	Stat.	Source	Technique	$\frac{\Delta E}{(\text{keV})}$ FWHM	Sensitivity $T_{1/2}$ (yr)	Sensitivity M_{ee} (meV)	Year
NEMO 3	Run	¹⁰⁰ Mo+	7 kg, enriched, tracking	420	5×10 ²⁴	200-500	2008
CUORICINO	Run	¹³⁰ Te	40 kg natural, thermal	8	6×10 ²⁴	100-300	2007
CUORE	Арр	¹³⁰ Te	750 kg natural, thermal	5	3×10 ²⁶	15-120	2011
GERDA 1	Арр	⁷⁶ Ge	15 kg, enriched, in LN2	4	3×10 ²⁵	300-900	2006
GERDA 2		⁷⁶ Ge	35 kg, enriched, in LN2/Ar	4	2×10 ²⁶	90-300	2009
MAJORANA	Prop	⁷⁶ Ge	500 kg, enriched, segmentation. PSA	4	1027	20-70	
GERDA 3	Prop	⁷⁶ Ge	<i>O</i> (500 kg), enriched, global collaboration	4			
super-NEMO	Prop	¹⁰⁰ Mo/ ⁸² Se	Foils in magnetic tracking	125	10 ²⁶	40-80	2011
EXO	Prop	¹³⁶ Xe	1-10 t, enriched, daughter Ba ⁺ ion identification	160?(*)	8×10 ²⁶	50-150	
MOON phase 1,2,3	Prop	¹⁰⁰ Mo	1kg, 250 kg, 750 kg Mo foils between plastic scint. (tracking & energy)		6×10 ²⁵ - -3×10 ²⁷	100-20	

(*) could not find a written reference

Two experimental approaches



Source = detector Measure sum energy with calorimetric techniques Ge semiconductor, bolometers



Source ≠ detector Tracking (gas or liquid TPC, drift chambers, etc) Magnetic field

- + very large sensitive mass
 demonstrated ≈ 50 kg
 proposed 1000 10000 kg
- + per-mille energy resolution Ge, bolometers
- only a few nuclides
 - low sensitive masses (few kg)
 - poor energy resolution
 - + several nuclides in the same detector
 - + very good reconstruction of event topology



dN/dE

 $2\nu\beta\beta$

0.5

1.0

 1.5°

θνββ

2/0

E(MeV)

Sensitivity of the $2\beta 0\nu$ experiments



Sensitivity depends on the isotope $\propto \sqrt{Q} |M_{nucl}| F_M$

If *b*=0 during *T*, in a energy window of a few ΔE with (a few keV for Ge and bolometers) sensitivity on $M_{ee} \propto 2^{nd}$ root of the exposure $F_M = \sqrt[2]{t \times M}$

Background reduction and energy resolution are the key features

Background is everywere



NEMO 3

Data taking at Frejus Underground Laboratory since February 2004



<u>Tracking detector</u>: drift wire chamber operating in Geiger mode (6180 cells)

<u>Calorimeter</u>: 1940 plastic scintillators Energy res. FWHM @ 1MeV = 14-17%

Magnetic field: 2.5 mT e^+/e^- confusion = 3% @ 1 MeV Gamma shield: Pure Iron (t = 18 cm) Neutron shield: 30 cm water (ext. wall) 40 cm wood (top and bottom)

 $\Rightarrow Able to identify e^-, e^+, \gamma and \alpha$

NEMO3 - ¹⁰⁰Mo $2\beta 2\nu$ - preliminary

Sarazin @ Neutrino 2004



Sum energy spectra measured (less statistics) also for ⁸²Se, ¹¹⁶Cd, ¹⁵⁰Nd, ⁹⁶Zr

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NEMO 3. Search for $0v2\beta$ decay



Poor energy resolution (420 keV FWHM) Two dominating backgrounds within $2.8 < E_1 + E_2 < 3.2 \text{ MeV}$

- 222 Rn $b = 2.8 \times 10^{-3} \text{ c/(kg keV yr)}$
- $2\nu\beta\beta$ $b=0.75\times10^{-3}$ c/(kg keV yr)
- •internal $b=0.25\times10^{-3}$ c/(kg keV yr)

 $T_{1/2}$ > 3.5 ×10²³ yr $M_{_{oo}}$ < 700 - 1200 meV

New enclosure of the detector & Rn air purification system. Expected Rn background reduction factor = 75

> **Expected limits in 5 years** $T_{1/2} > 4 \times 10^{24} \text{ yr}$ $M_{ee} < 200 - 500 \text{ meV}$

Adapted from Sarazin @ Neutrino 2004

Principle of Thermal Detectors

A true calorimeter Detect energy deposit as $\Delta T \Rightarrow \Delta V$ Very low specific heat at a few mK temperature Source = detector

$$\Delta T = \frac{Q}{C_V}$$

$$\Delta T = \frac{Q}{C_V}$$

$$C_V = 1944 \frac{V}{V_m} \left(\frac{T}{\Theta}\right)^3 \quad J/K$$

Technique in principle suitable for several isotopes TeO₂ crystals detectors developed by Milano group Natural abundance of ${}^{130}\text{Te} = 34\% \implies \text{enrichment not}$ necessary

Excellent resolution	<1 eV	$\sim 4 \ eV$	@ 6 keV
	~10 eV	~keV	@ 2 MeV

Kindly by E. Fiorini

March 29, 05

CUORICINO



40.7 kg "tower"





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CUORE

Approved by LNGS and by INFN

Structure of 988 closed packed detectors arranged in **CUORICINO-like** towers

741 kg of TeO₂ \Rightarrow 600 kg of Te \Rightarrow 203 kg of ¹³⁰Te



CUORICINO data help in constructing a reliable

background model for CUORE Montecarlo simulation shows that present bulk contamination allows $b=10^{-3}$ c/(keV kg yr)

Background from Cu shields and supports can be eliminated

Surface contamination $b=4 \ 10^{-2} \ c/(keV \ kg \ yr)$ **Develop both passive and active techniques**

 $\Rightarrow b=10^{-3} c/(keV kg yr)$

Sensitivity in T = 10 yr **Pessimistic** $b = 0.01 \text{ ev/(keV kg y)}; \Delta E = 10 \text{ keV}$ $\Rightarrow M_{ee} < 24 - 133 \text{ meV}$ **Optimistic** $b = 0.001 \text{ ev/(keV kg y)}; \Delta E = 5 \text{ keV}$ $\Rightarrow M_{ee} < 11 - 62 \text{ meV}$

Next generation Ge experiments

Analysis of the H-M data shows that (that) Ge is extremely radio-clean Main background sources are <u>outside Ge detectors</u> (shields, electrical contacts, supports,...)

Aim to a background index $b=10^{-3}/(\text{kg keV yr}) \Rightarrow$ no background in a few-keV window with a few 100 kg*yr exposure \Rightarrow sensitivity in $M_{ee} \propto \sqrt{\text{exposure}}$

Heuser in 1995 and Klapdor (**GENIUS** proposal to LNGS) in 1997 \Rightarrow **bare Ge diodes (1 t) in** a shield of liquid N2

use the techniques for extreme radiopurity liquids developed for BOREXINO (10^{-16} g/g) GENIUS-TF test facility in operation at LNGS shows the concept viable

Majorana US proposal (1999): 0.5 t of segmented ⁷⁶Ge array

2004. GERDA proposal at LNGS February 2005. Approval by LNGS Director

GERDA at LNGS



Baseline design of GERDA



The shielding



Internal background: cosmogenic ⁶⁰Co

 T_0 for cosmic rays exposure = end of mono-zone refinement 10 exposure days $\Rightarrow 0.17 \ \mu$ Bq/kg (Avignone 92) $\Rightarrow 0.9 \ 10^{-3}$ /(keV kg y) Kurchatov existing crystals in 2006 5 10^{-3} /(keV kg yr) Conservatively we calculate for 30 days exposure $\Rightarrow 2.5 \ 10^{-3}$ /(keV kg y)



Internal background: cosmogenic ⁶⁸Ge

 $T_0 =$ end of enrichment process \Rightarrow initial background @ $Q_{\beta\beta}$ $b = 12 \times 10^{-3}$ cts/ (keV kg y) ⁶⁸Ge has moderately short lifetime $T_{1/2} \approx 270$ d $\Rightarrow 1/16$ after 3 years But we can do better than waiting

\Rightarrow 0.3 10⁻³ cts/(keV kg y) on the first year



Background reduction techniques

Anticoincidences between components of the detector structure

possibly including LAr scintillation light

Coincidence in the decay chain, tagging the decay ⁶⁸Ge ⇒ ⁶⁸Ga
Discriminate between SS (Single site) and MSE (Multiple site) events

Segmentation of one read out electrode
Pulse shape analysis (PSA), improving on Heidelberg Moscow experience

•Profit of the developments of AGATA Advanced Gamma Rays Tracking Array

- •Much advanced in detector design, R/O electronics, event shape models, event shape recognition, etc.
- •Problems are similar, but not identical



•Co-operate with Majorana proposal

•Background discrimination mainly active

Conclusions and outlook

•Neutrino physics experiments (in underground labs) have shown for the first time physics beyond the Standard Model

•We reasonably know the shape of the mass spectrum (a doublet and a singlet) and two (large) mixing angles

•Cosmology, beta decay and double beta decay are complementary for fixing the absolute value of the mass scale and understanding one of the main bridges between particle physics and cosmology

•Double beta decay is the only source of information on neutrino charge conjugation properties

•Several experimental proposed approaches, but few viable in the next few years

•Backgrounds are different in the different cases and need to be fully controlled

- •Nuclear matrix elements are still uncertain. More work needed
- •Experiments on different isotopes are necessary

•Understanding the origin of neutrino mass is not less important than checking the mass-generation scheme of the SM