

Benasque, IMFP 2005

March 10th, 2005

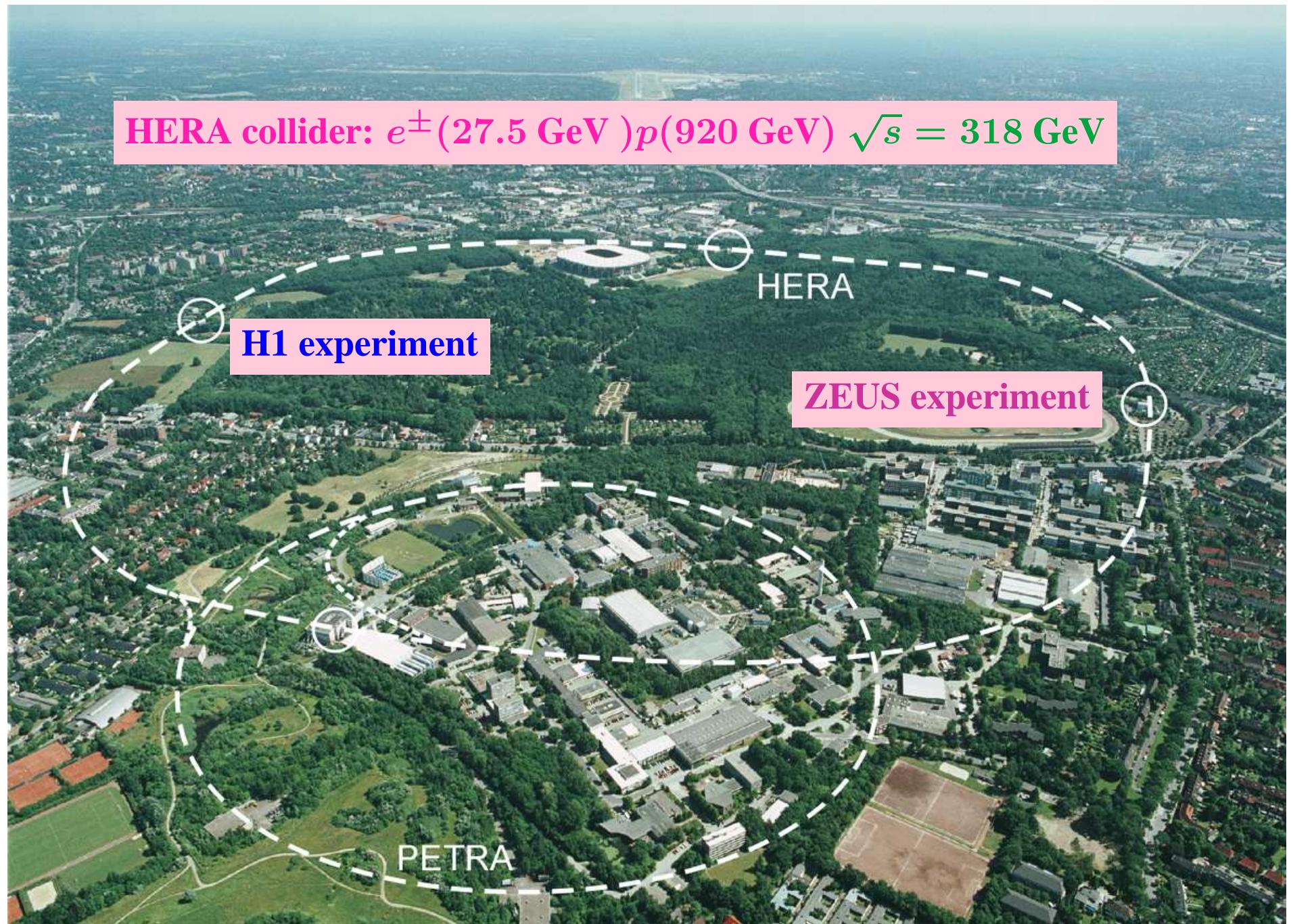
# HERA PHYSICS

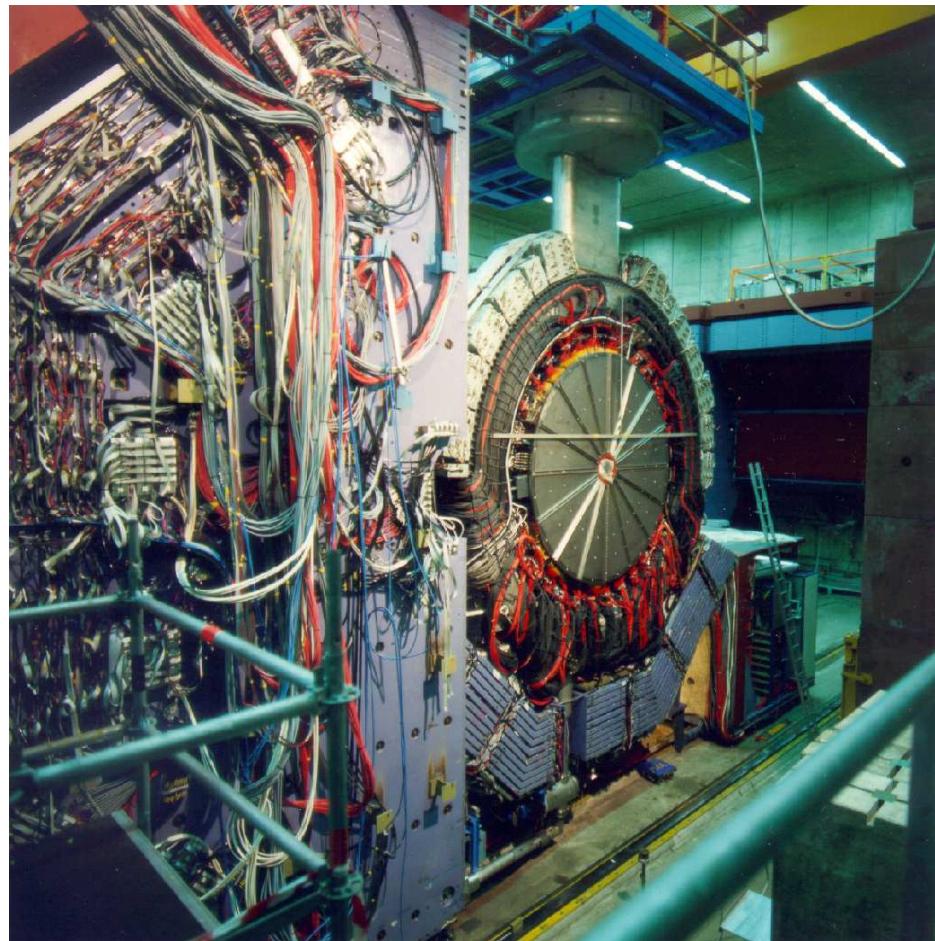
Juan Terrón (Universidad Autónoma de Madrid, Spain)



## ● Outline

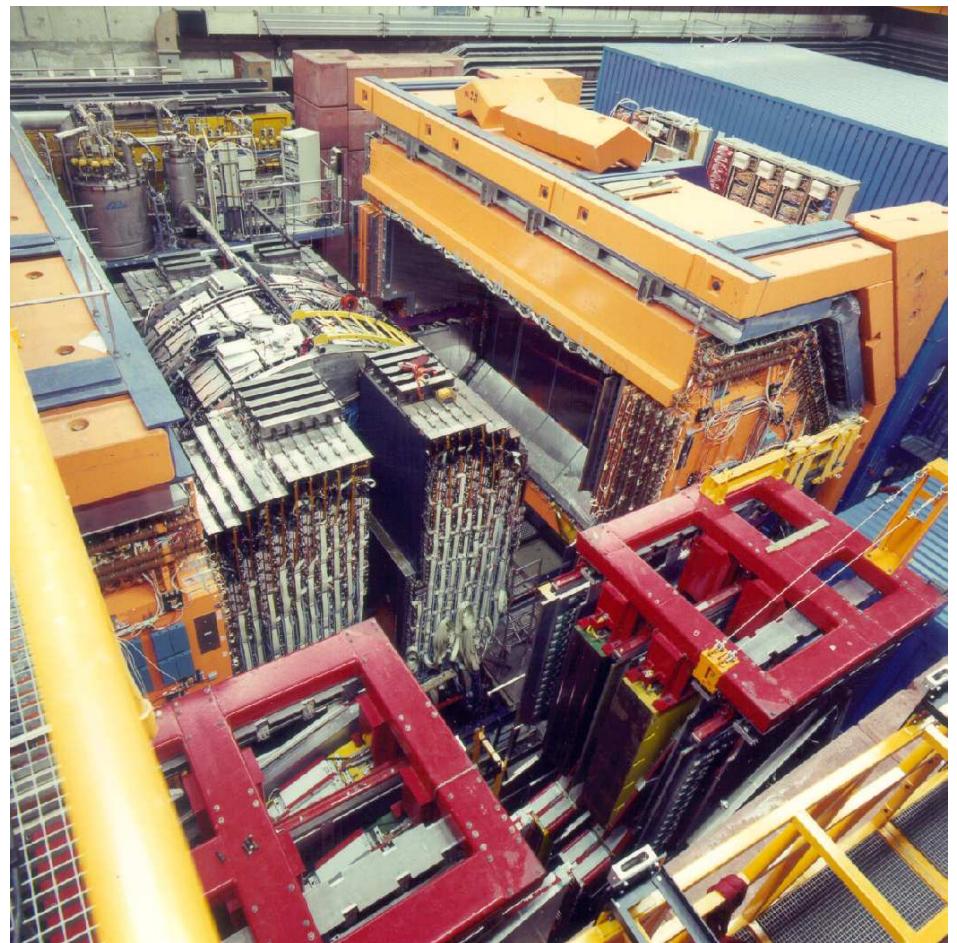
- Structure Functions
- Electroweak Measurements
- Jets and  $\alpha_s$
- HERA II





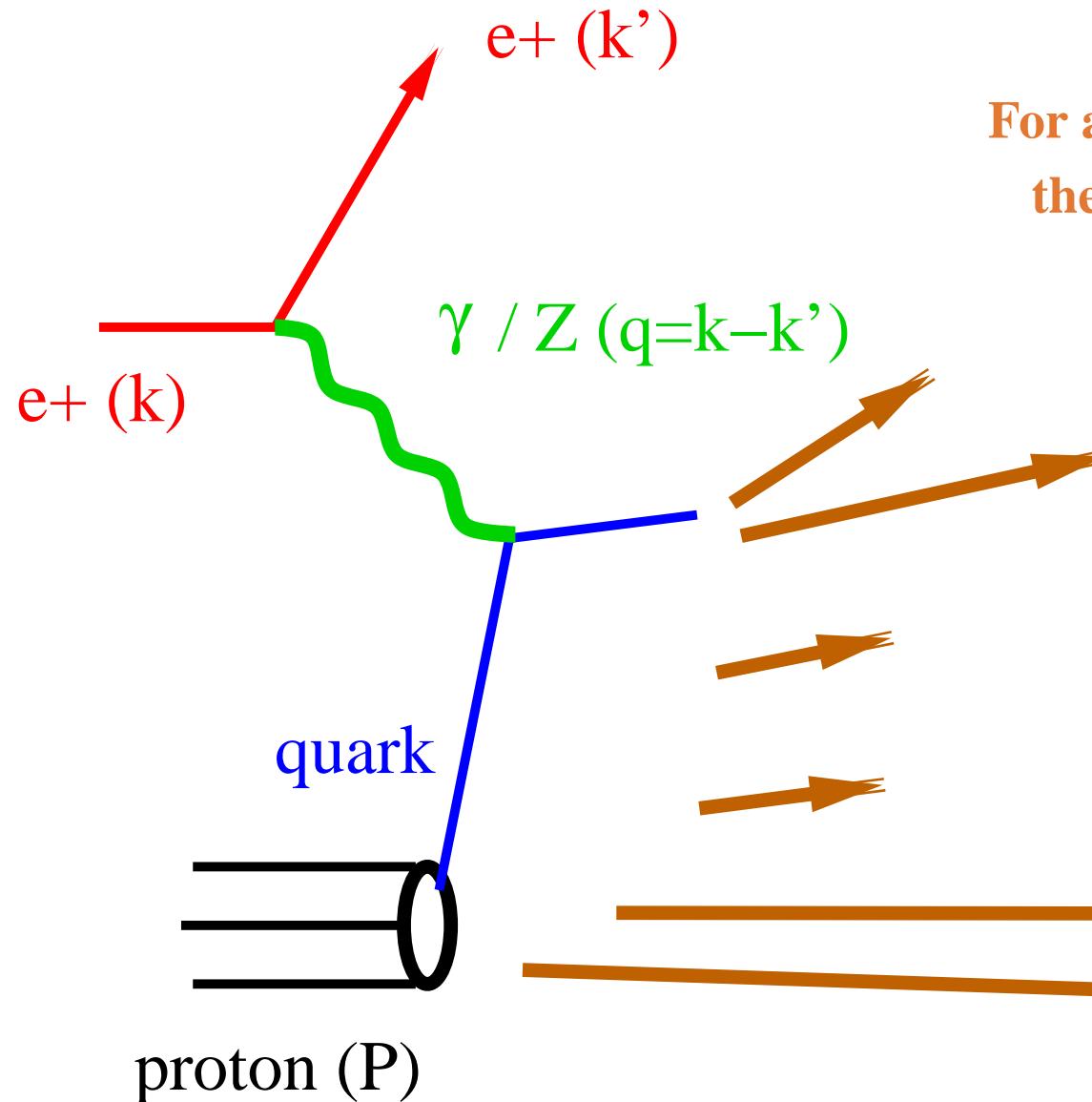
**ZEUS detector →**

← H1 detector



# Structure Functions

# Kinematics of Neutral Current Deep Inelastic Scattering



For a given  $ep$  centre-of-mass energy,  $\sqrt{s}$ ,  
the (fully) inclusive cross section for



can be described by two independent  
kinematic variables, e.g.

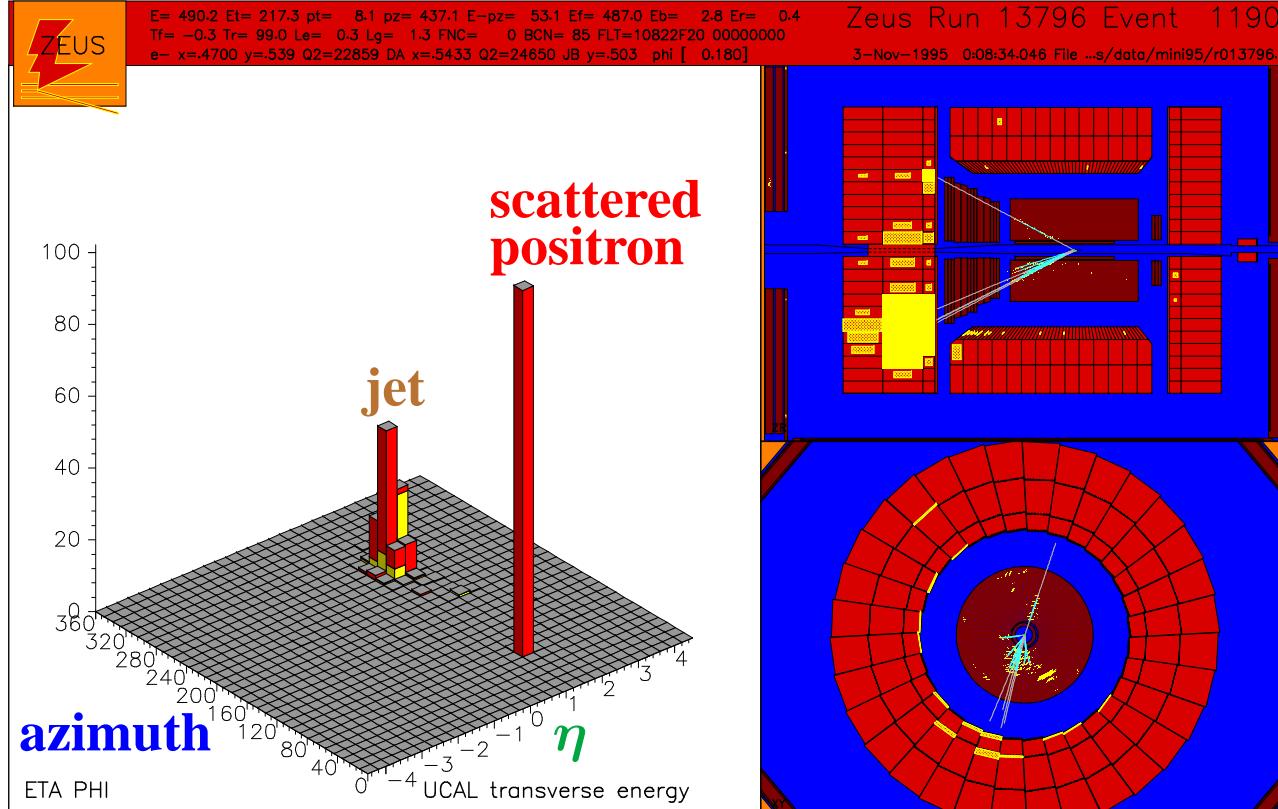
$$Q^2 = -(k - k')^2$$

$$x_{Bj} = Q^2 / (2P \cdot q)$$

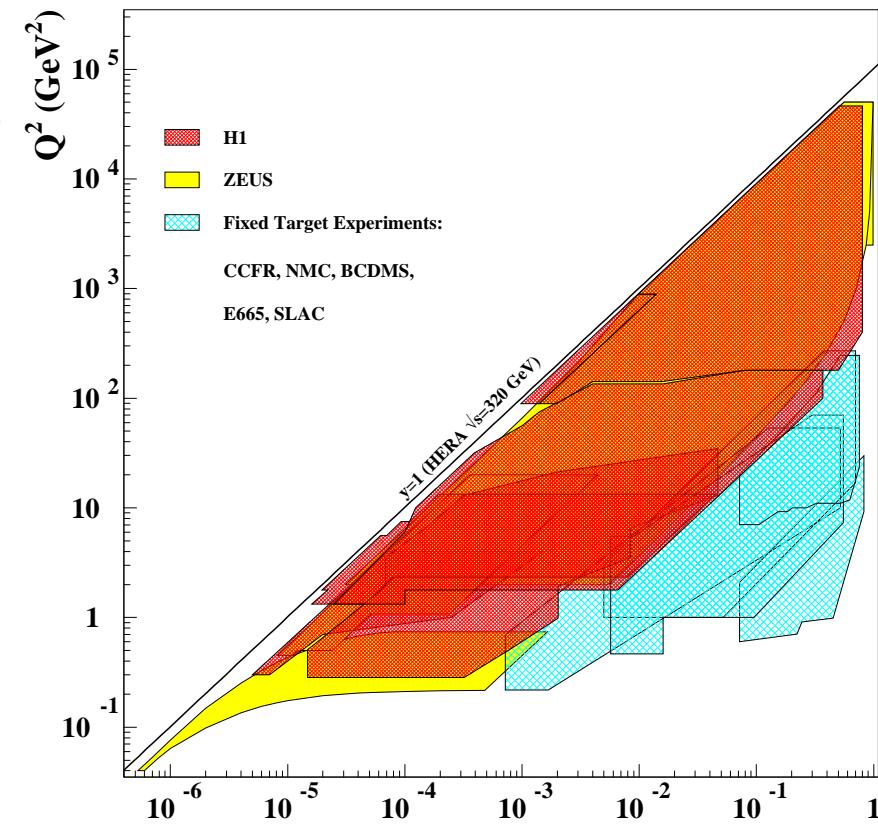
# Neutral Current Deep Inelastic Scattering

- Neutral Current DIS event candidate

$Q^2 \sim 24000 \text{ GeV}^2$  and  $x_{Bj} \sim 0.5$



- Coverage of kinematic plane ( $Q^2, x_{Bj}$ )



## Neutral Current Deep Inelastic Scattering

- Inclusive process  $e^\pm p \rightarrow e^\pm + X$

$$\frac{d\sigma(e^\pm p)}{dxdQ^2} = \frac{2\pi\alpha^2}{xQ^4} \cdot ( Y_+ \cdot F_2(x, Q^2) - y^2 \cdot F_L(x, Q^2) \mp Y_- \cdot xF_3(x, Q^2) )$$

Dominant                    High  $y$                     High  $Q^2$

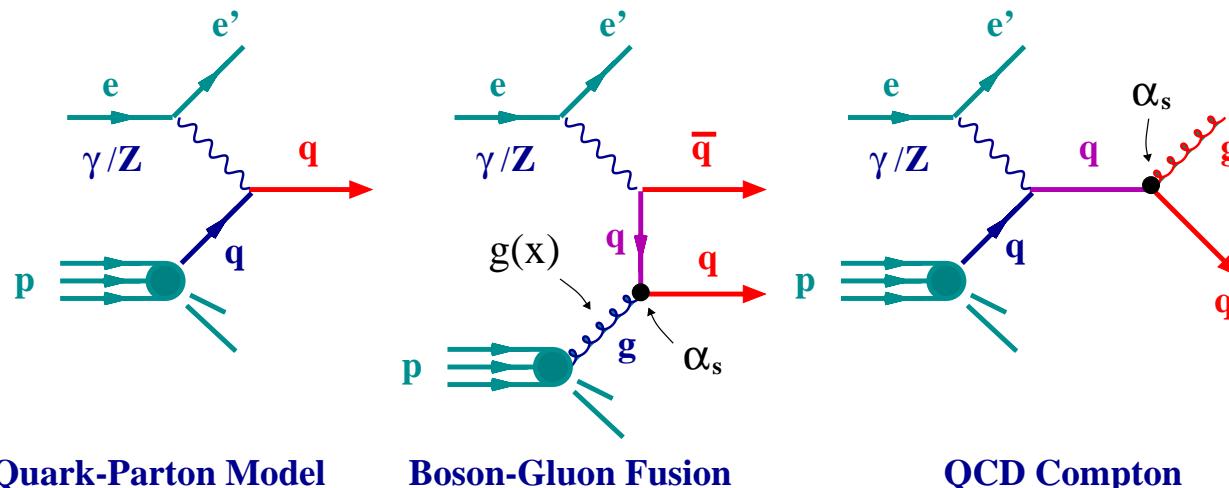
where  $Y_\pm = 1 \pm (1 - y)^2$  and  $y = Q^2/(sx)$  (inelasticity parameter)

- Structure functions of the proton ( $F_2, F_L, F_3$ ) and QCD

$\rightarrow F_2 \sim x \sum_i e_i^2 \cdot (q_i(x, Q^2) + \bar{q}_i(x, Q^2))$  for  $Q^2 \ll M_Z^2$

$\rightarrow$  the longitudinal structure function  $F_L = 0$  in the quark-parton model

$\rightarrow$  parity-violating term  $F_3$  is small for  $Q^2 \ll M_Z^2$



Clean probe of the  
Parton Distribution  
Functions in the Proton  
 $q_i(x, Q^2), \bar{q}_i(x, Q^2)$   
 $g(x, Q^2)$

## Determination of $F_2^{\text{em}}(x, Q^2)$

- Measurement of the doubly-differential cross section  $d\sigma(e^+p)/dxdQ^2$  for the reaction  $e^+p \rightarrow e^+ + X$  over a large range

$$2.7 < Q^2 < 30000 \text{ GeV}^2, 6 \cdot 10^{-5} < x < 0.65$$

- Extraction of  $F_2^{\text{em}}(x, Q^2)$  from the reduced cross section (corrected for QED effects):

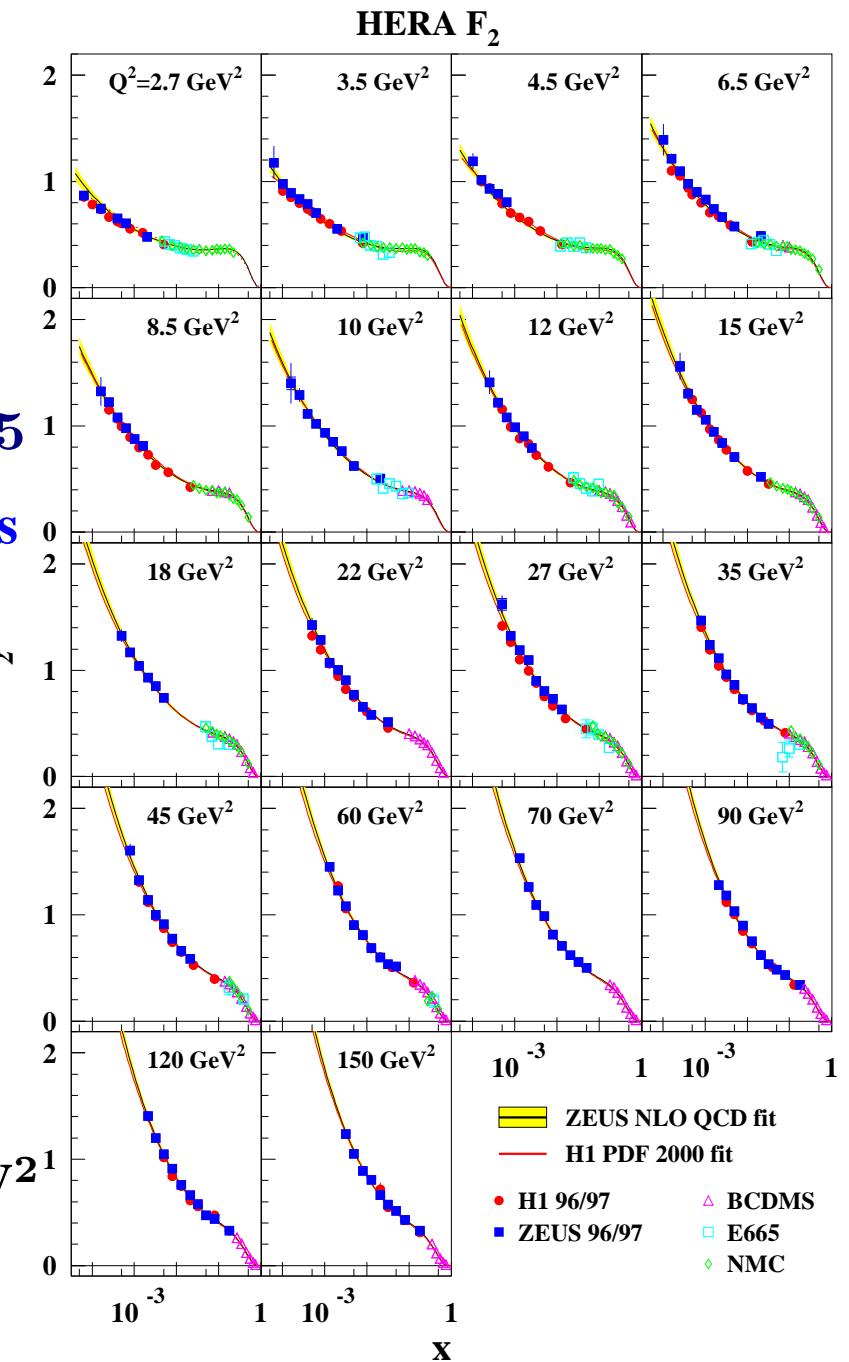
$$\tilde{\sigma}(e^+p) = (2\pi\alpha^2 Y_+ / xQ^4)^{-1} d\sigma_{\text{Born}} / dx dQ^2$$

$$\begin{aligned} F_2 &= F_2^{\text{em}} + F_2^{\text{int}} \cdot \eta_{\gamma Z} + F_2^{wk} \cdot \eta_{\gamma Z}^2 \\ &= F_2^{\text{em}}(1 + \Delta_{F_2}) \end{aligned}$$

where  $\eta_{\gamma Z} = Q^2 / (Q^2 + M_Z^2)$

$$\Rightarrow \tilde{\sigma}(e^+p) = F_2^{\text{em}}(1 + \Delta_{F_2} + \Delta_{F_3} + \Delta_{F_L})$$

- Typical precision 2-3%
- systematic uncertainties dominate  $Q^2 < 800 \text{ GeV}^2$
- Striking rise of  $F_2^{\text{em}}$  as  $x$  decreases



$F_2^{\text{em}}(x, Q^2)$  provides...

→ direct information on quark densities

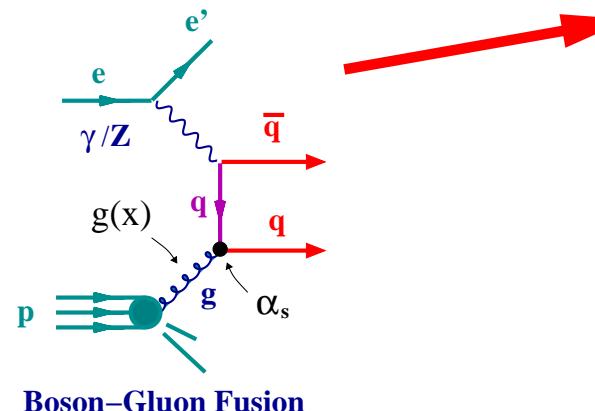
$$F_2 \sim x \sum_i e_i^2 \cdot (q_i + \bar{q}_i)$$

→ indirect information on gluon density

- Large and positive scaling violations at low  $x$

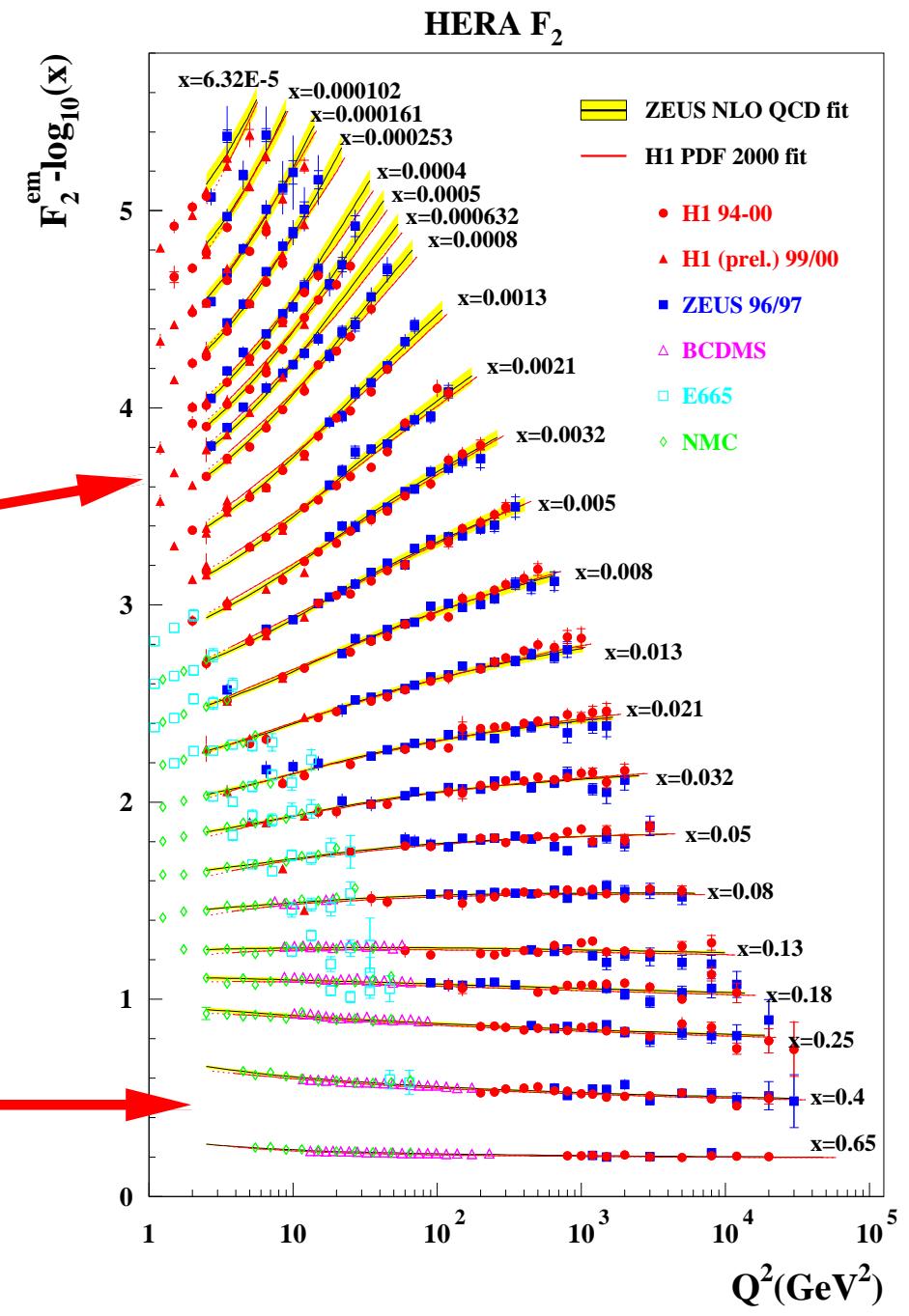
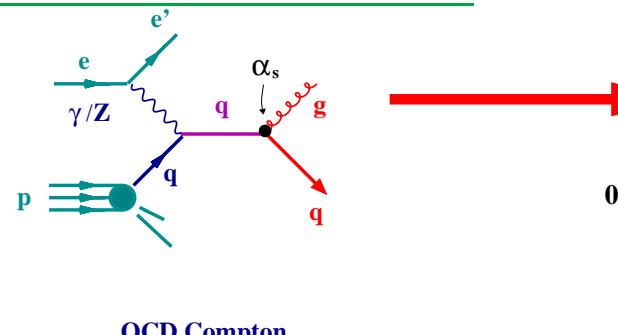
dominance of BGF

$$\partial F_2 / \partial \ln Q^2 \sim \alpha_s \cdot x g$$



- Approximate scaling for  $x \sim 0.1$

- Mild and negative scaling violations at high  $x$



## Determination of the Parton Distribution Functions in the Proton

- In order to determine the proton PDFs additional experimental information is needed on
  - quark densities at high  $x$
  - flavour composition of the sea
- Additional data sets
  - $F_2$  data on  $\mu p$  scattering from BCDMS, NMC and E665 ⇒ mid/high- $x$
  - Deuterium-target data from NMC and E665 ⇒  $\bar{u}, \bar{d}$
  - NMC data on the ratio  $F_2^D / F_2^p$  ⇒ high- $x$   $d/u$
  - $xF_3$  data from CCFR ( $\nu$ -Fe interactions) ⇒ high- $x$
- Global analysis using DGLAP evolution equations at next-to-leading order (NLO) in  $\alpha_s$

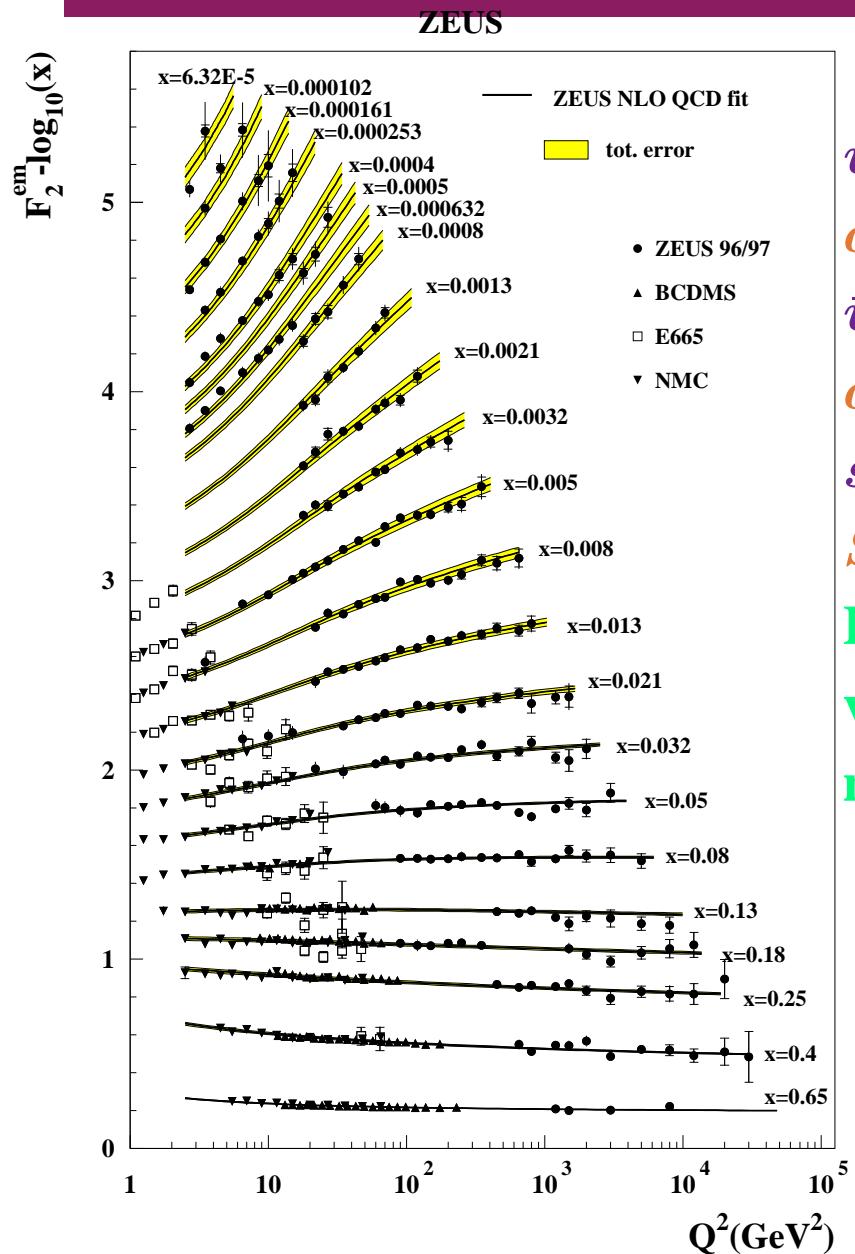
$$\frac{\partial q_i(x, \mu^2)}{\partial \ln \mu^2} = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 \frac{dz}{z} \left( \sum_j P_{q_i q_j} \cdot q_j(x/z, \mu^2) + P_{q_i g} \cdot g(x/z, \mu^2) \right)$$

$$\frac{\partial g(x, \mu^2)}{\partial \ln \mu^2} = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 \frac{dz}{z} \left( \sum_j P_{g q_j} \cdot q_j(x/z, \mu^2) + P_{gg} \cdot g(x/z, \mu^2) \right)$$

The DGLAP equations yield the proton PDFs at any value of  $Q^2$  provided they are input as functions of  $x$  at some input scale  $Q_0^2$

→ number sum rules and the momentum sum rule are imposed

# Determination of the Parton Distribution Functions in the Proton



$$u = u_V + u_{\text{sea}}$$

$$d = d_V + d_{\text{sea}}$$

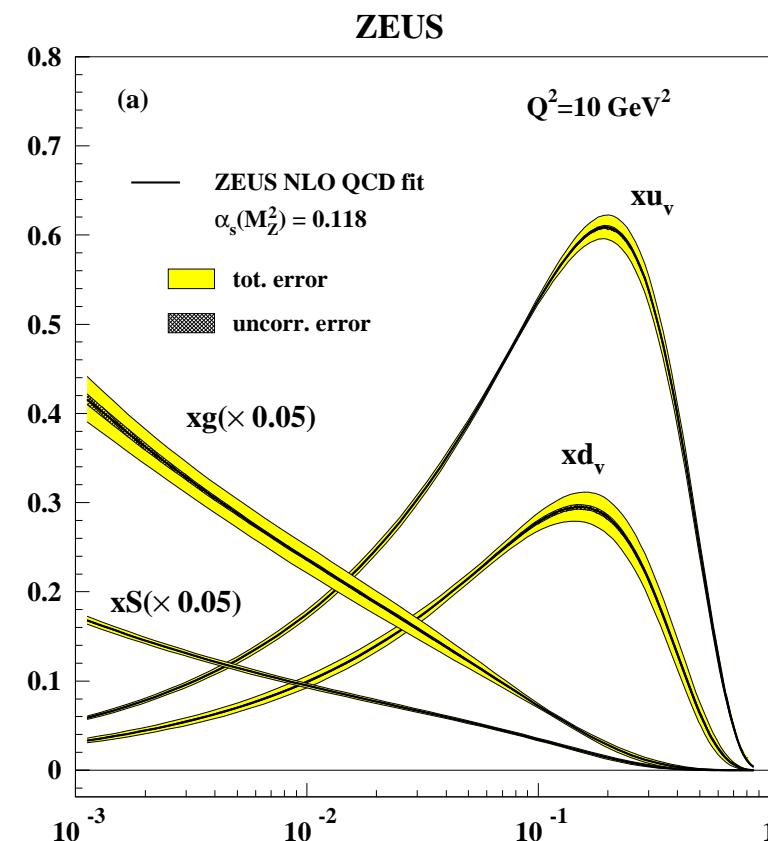
$$\bar{u} = \bar{u}_{\text{sea}}$$

$$\bar{d} = \bar{d}_{\text{sea}}$$

$$s = s_{\text{sea}} = \bar{s}_{\text{sea}}$$

$$S = \text{total sea}$$

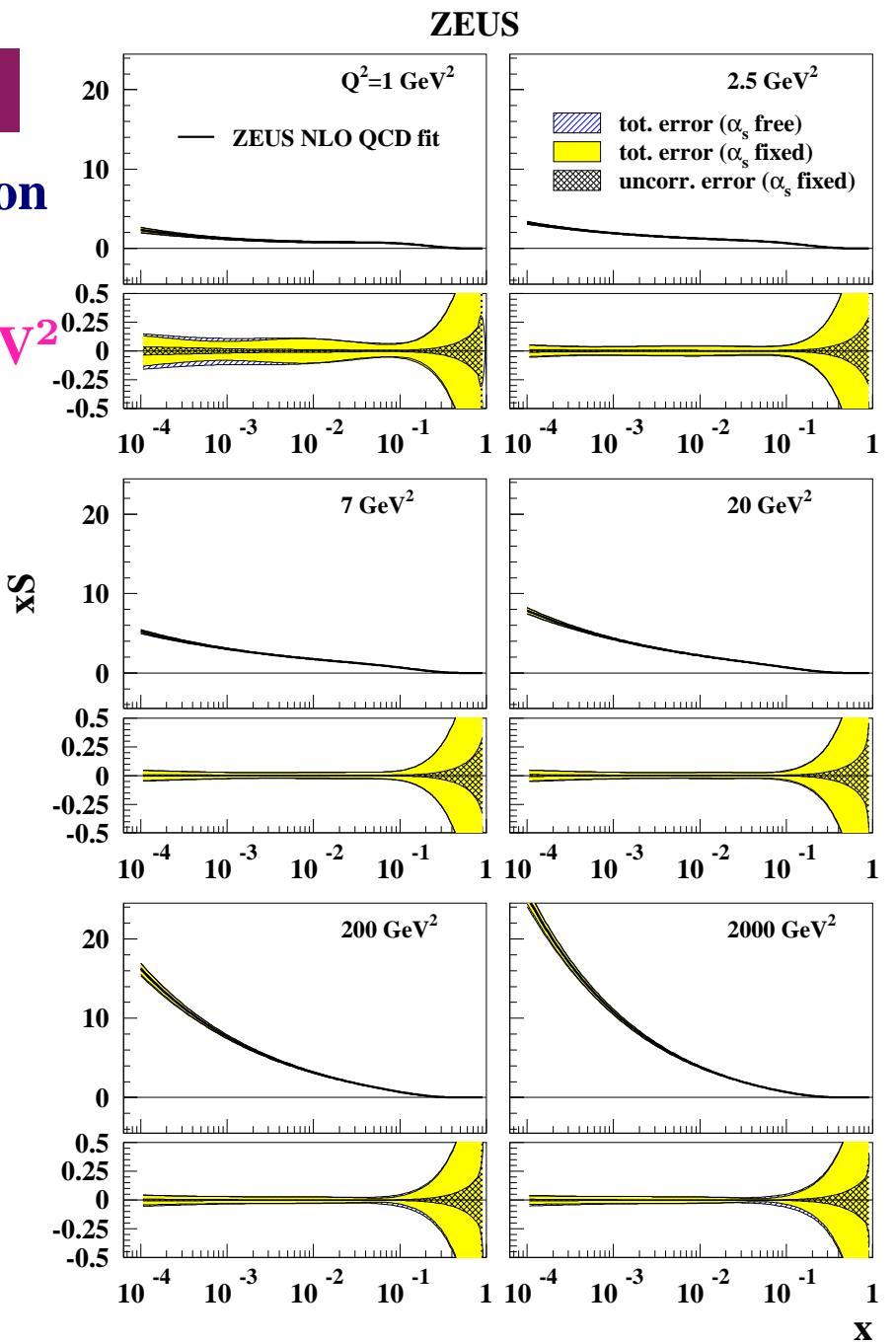
**Heavy quarks:  
variable-flavour-  
number scheme**



**Fit of ZEUS data and fixed-target data in the region**  
 $2.5 < Q^2 < 30000 \text{ GeV}^2, 6.3 \cdot 10^{-5} < x < 0.65$   
**and**  $W^2 > 20 \text{ GeV}^2$  (1263 data points)  
**Full account of correlated exp. uncertainties**  
→ Good description of Struct. Func. data  
⇒ Determination of proton PDFs

## Determination of the Sea Distribution

- The total sea distribution  $xS(x, Q^2)$  as a function of  $x$  for different  $Q^2$  values  $\Rightarrow$
- Its uncertainty is below  $\sim 5\%$  for  $Q^2 > 2.5 \text{ GeV}^2$  and  $10^{-4} < x < 0.1$



## Determination of the Gluon Distribution

- The gluon distribution  $xg(x, Q^2)$  as a function of  $x$  for different  $Q^2$  values  $\Rightarrow$
- Its uncertainty is  $\sim 10\%$  for  $Q^2 \sim 20 \text{ GeV}^2$  and  $10^{-4} < x < 0.1$   
 $\rightarrow$  the uncertainty decreases as  $Q^2$  increases

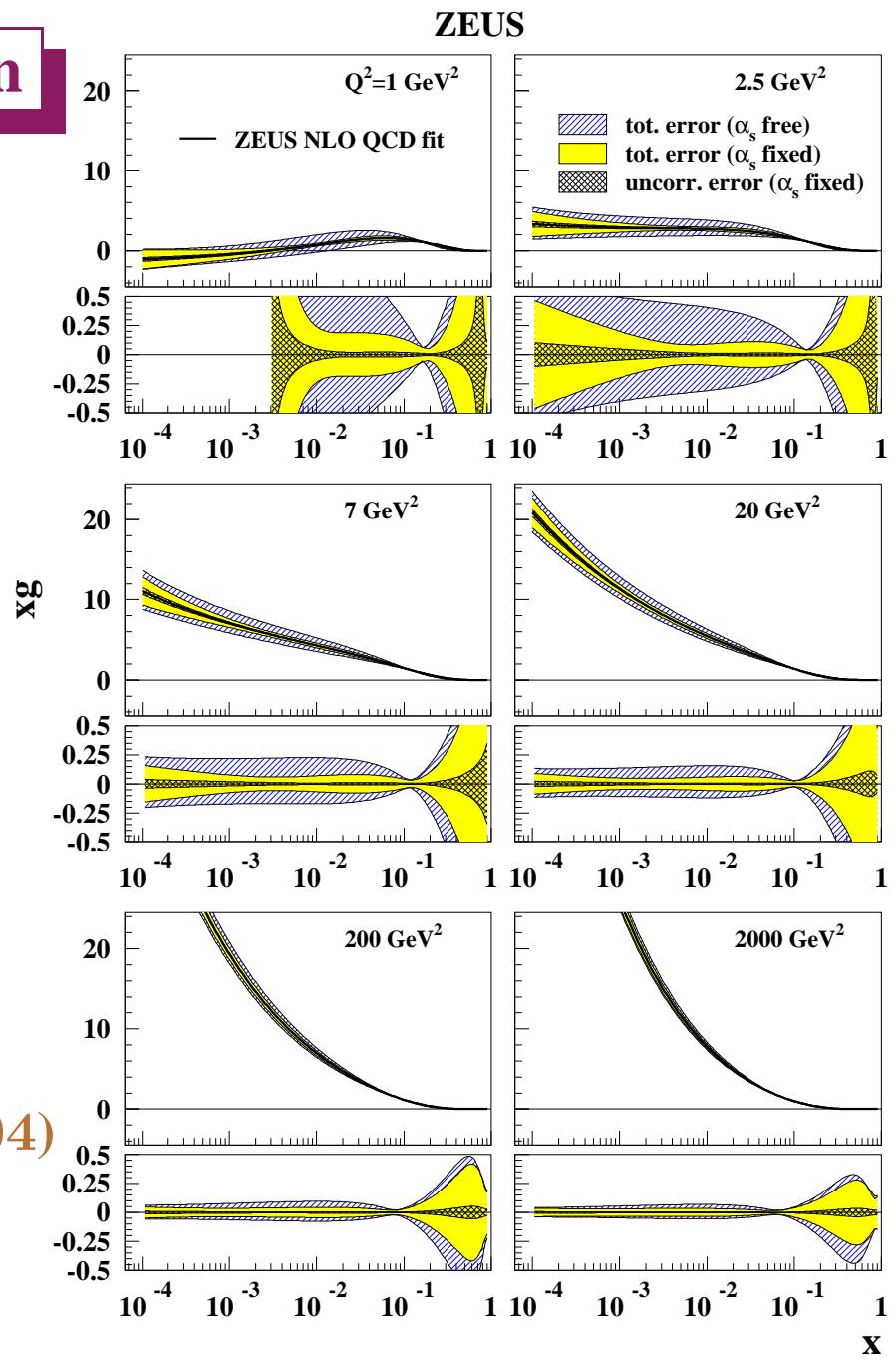
## Determination of $\alpha_s$

- Inclusion of low- $x$  data allows a simultaneous (and precise) determination of PDFs and  $\alpha_s$ :

$$\begin{aligned}\alpha_s(M_Z) = 0.1166 &\pm 0.0008(\text{uncorr}) \\ &\pm 0.0032(\text{corr}) \pm 0.0036(\text{norm}) \\ &\pm 0.0018(\text{model}) \Rightarrow 0.1166 \pm 0.0052\end{aligned}$$

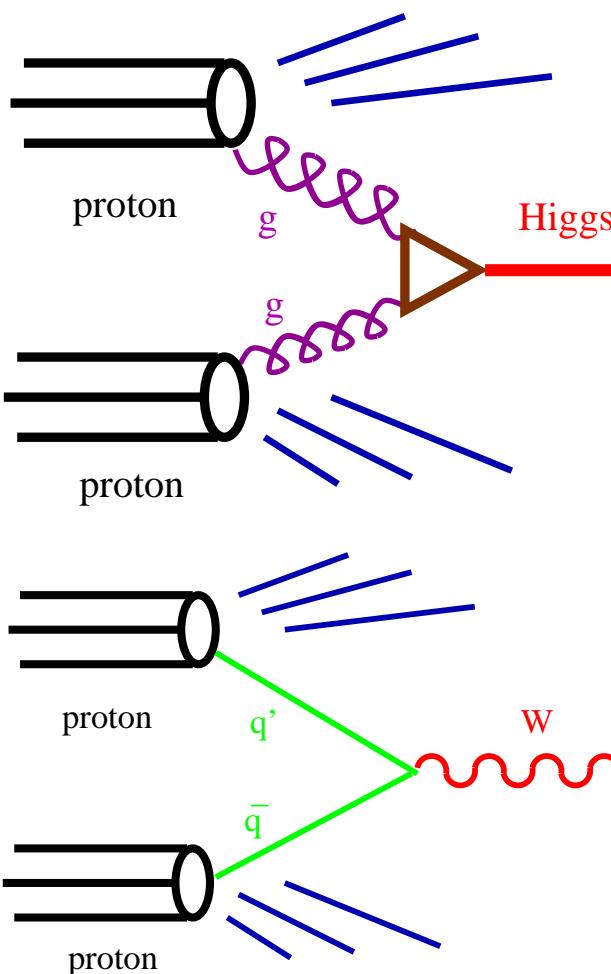
(+theor. unc. due to terms beyond NLO  $\sim \pm 0.004$ )

- Consistent with world average (Bethke, 2004):  
 $\rightarrow \alpha_s(M_Z) = 0.1182 \pm 0.0027$



## Universality (and usefulness) of Proton PDFs

$$\sigma_{pp \rightarrow H(W,Z,\dots) + x} = \sum_{a,b} \int_0^1 dx_1 f_{a/p}(x_1, \mu_F^2) \int_0^1 dx_2 f_{b/p}(x_2, \mu_F^2) \hat{\sigma}_{ab \rightarrow H(W,Z,\dots)}$$



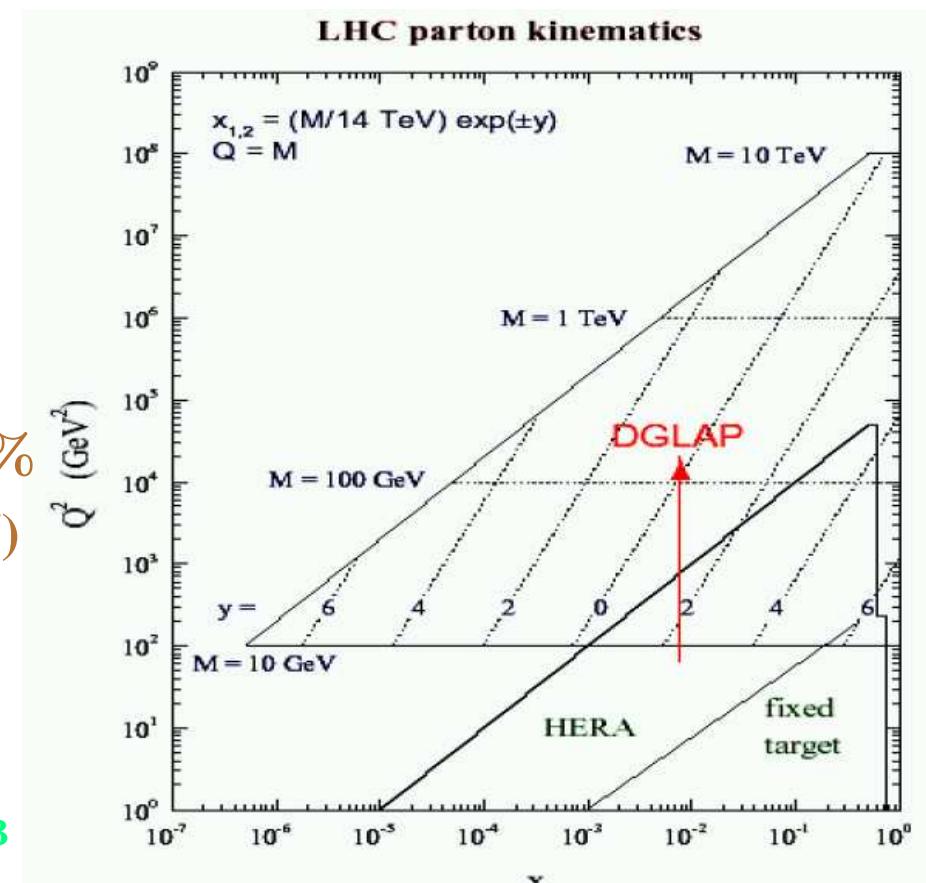
$\sigma_H$  sensitive to gluon distribution at

$x \sim \frac{M_H}{\sqrt{s}} \sim 8 \cdot 10^{-3}$   
and  $\mu_F^2 \sim M_H^2 \sim 13000 \text{ GeV}^2$ ;

$\Delta\sigma_H^{PDF}/\sigma_H \sim \pm 3\%$   
(for  $M_H = 115 \text{ GeV}$ )

$\sigma_W$  sensitive to sea distribution at

$x \sim \frac{M_W}{\sqrt{s}} \sim 6 \cdot 10^{-3}$   
and  $\mu_F^2 \sim M_W^2 \sim 6400 \text{ GeV}^2$ ;  $\Delta\sigma_W^{PDF}/\sigma_W \sim \pm 3\%$

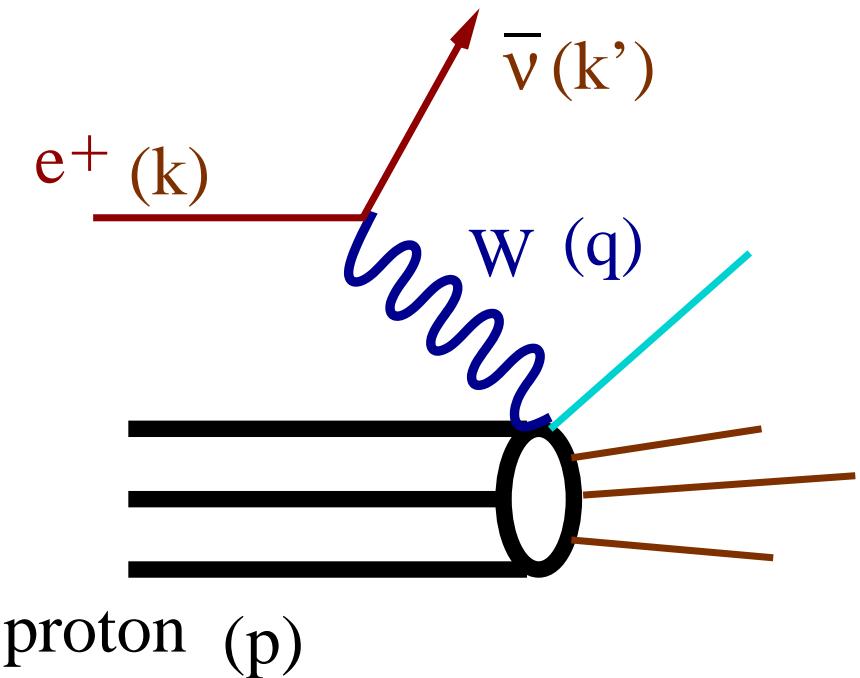
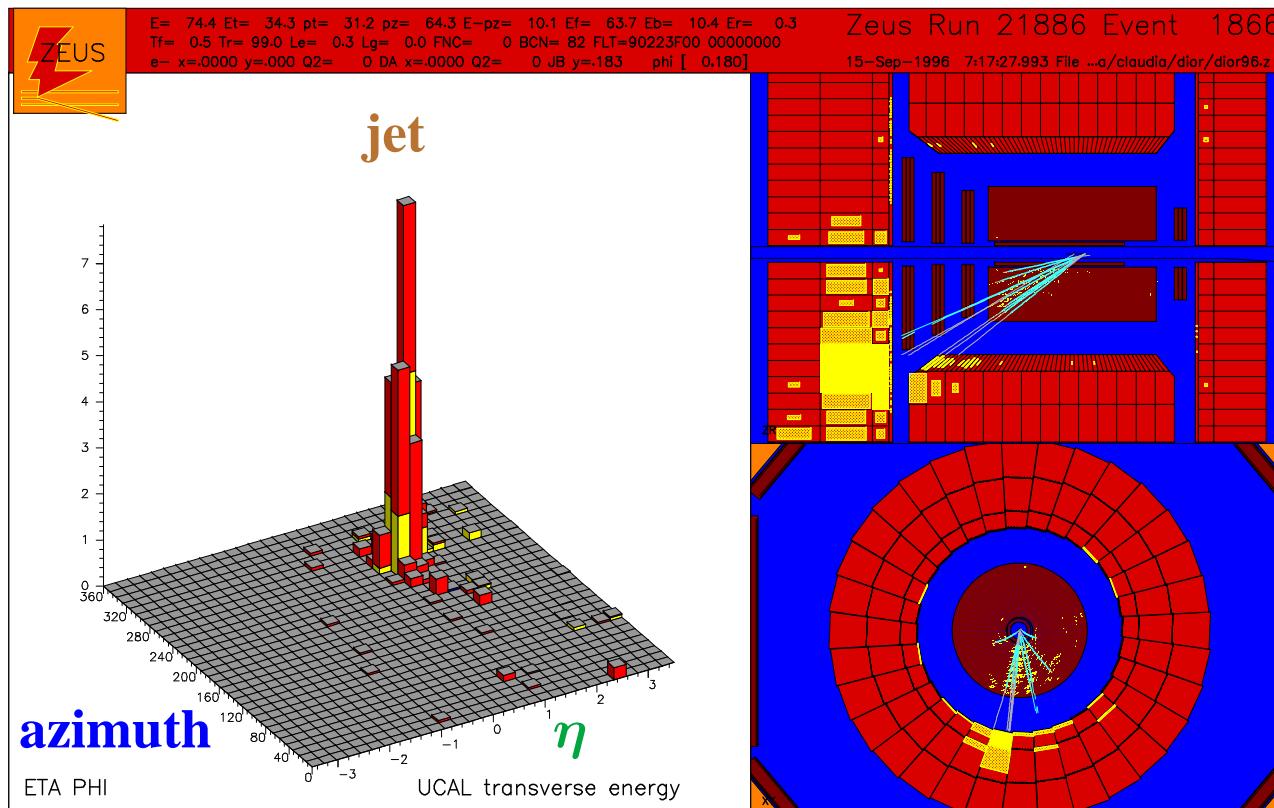


# Electroweak Measurements

# Charged Current Deep Inelastic Scattering

- Charged Current DIS event candidate

$$Q^2 \sim 1200 \text{ GeV}^2 \text{ and } x_{Bj} \sim 0.06$$



# Charged Current Deep Inelastic Scattering

- Measurements of the differential cross section

$d\sigma/dQ^2$  in Charged Current DIS  $e^\pm p$

$$ep \rightarrow \nu + X$$

- Cross-section formulae in LO QCD

$$\frac{d\sigma(e^+ p)}{dx dQ^2} = \frac{G_F^2}{2\pi} \eta_W^2 \cdot \sum_i (\bar{u}_i + (1-y)^2 d_i)$$

$$\frac{d\sigma(e^- p)}{dx dQ^2} = \frac{G_F^2}{2\pi} \eta_W^2 \cdot \sum_i (u_i + (1-y)^2 \bar{d}_i)$$

where  $\eta_W = M_W^2/(Q^2 + M_W^2)$

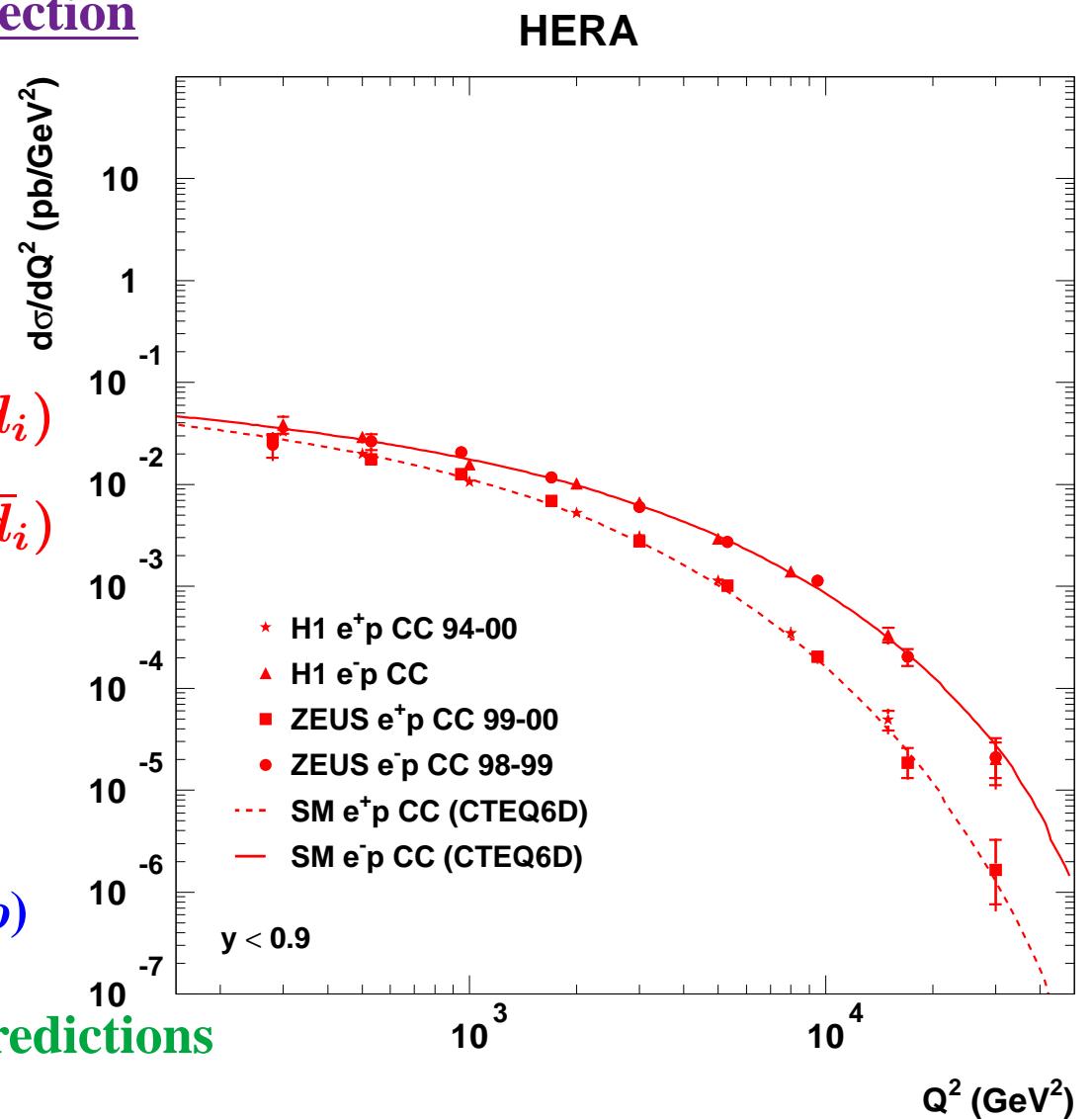
⇒  $W$ -Propagator effects

⇒ flavour selection:

$d$  ( $u$ )-quark contributes only to  $e^+ p$  ( $e^- p$ )

- Good description by Standard Model Predictions

up to the highest  $Q^2 \sim 30000 \text{ GeV}^2$



# Neutral Current Deep Inelastic Scattering

- Measurements of the differential cross section

$d\sigma/dQ^2$  in Neutral Current DIS  $e^\pm p$

$$ep \rightarrow e + X$$

- Cross-section formulae in LO QCD

$$\frac{d\sigma(e^\pm p)}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} \cdot (Y_+ \cdot F_2(x, Q^2) -$$

$$-y^2 \cdot F_L(x, Q^2) \mp Y_- \cdot x F_3(x, Q^2))$$

$$F_2 = F_2^{\text{em}} + F_2^{\text{int}} \cdot \eta_{\gamma Z} + F_2^{wk} \cdot \eta_{\gamma Z}^2$$

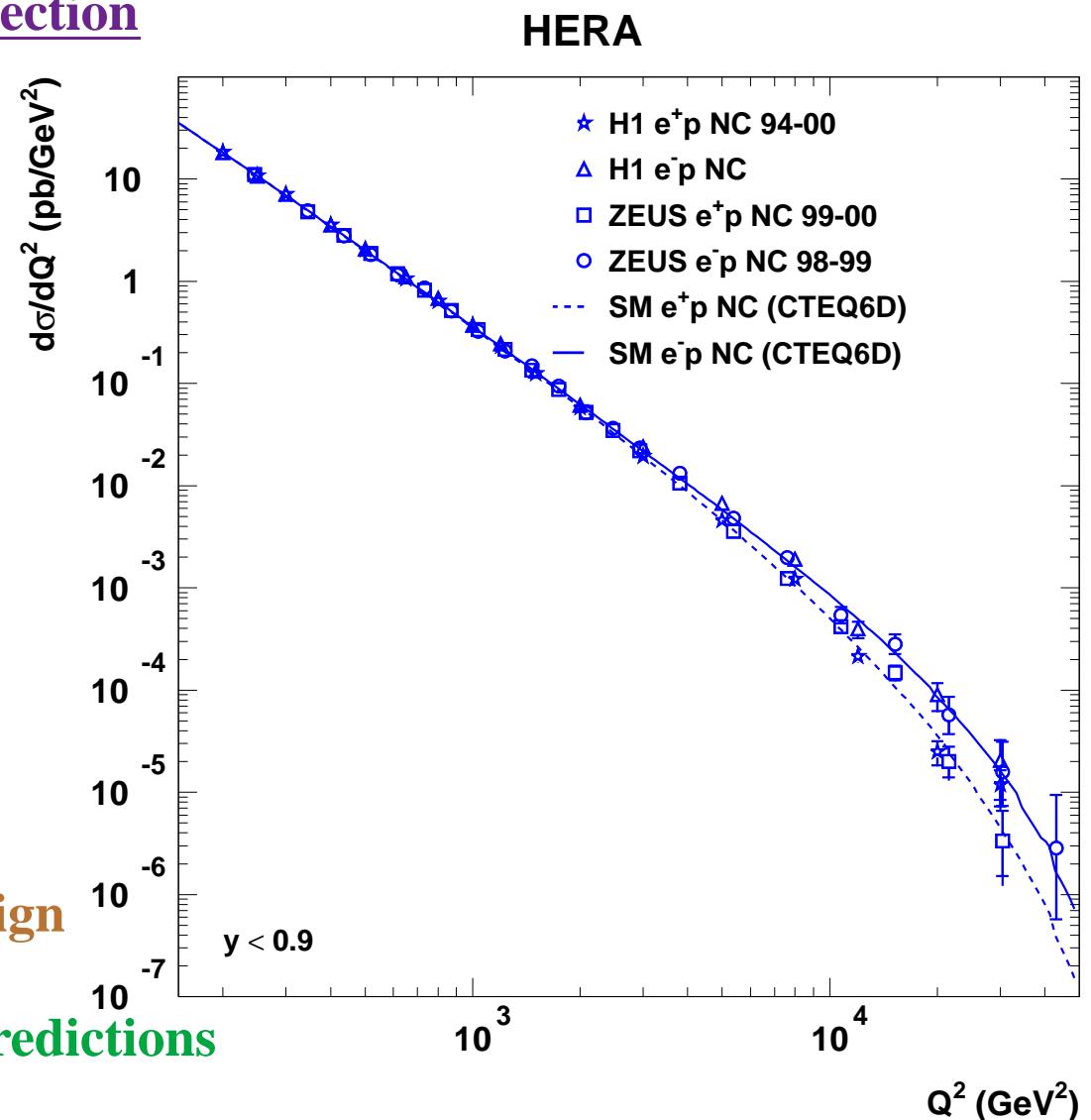
$$\text{where } \eta_{\gamma Z} = Q^2/(Q^2 + M_Z^2)$$

⇒ Z-Propagator effects

⇒ Parity-violating term ( $F_3$ ) changes sign

- Good description by Standard Model Predictions

up to the highest  $Q^2 \sim 40000 \text{ GeV}^2$



# Neutral vs Charged Current Deep Inelastic Scattering

- Measurements of the differential cross section

$d\sigma/dQ^2$  in Neutral Current DIS  $e^\pm p$

$$\frac{d\sigma(e^\pm p)}{dx dQ^2} = \frac{2\pi\alpha^2}{x Q^4} \cdot (Y_+ \cdot F_2(x, Q^2) - Y_- \cdot F_L(x, Q^2) + Y_- \cdot x F_3(x, Q^2))$$

$$F_2 = F_2^{\text{em}} + F_2^{\text{int}} \cdot \eta_{\gamma Z} + F_2^{\text{wk}} \cdot \eta_{\gamma Z}^2$$

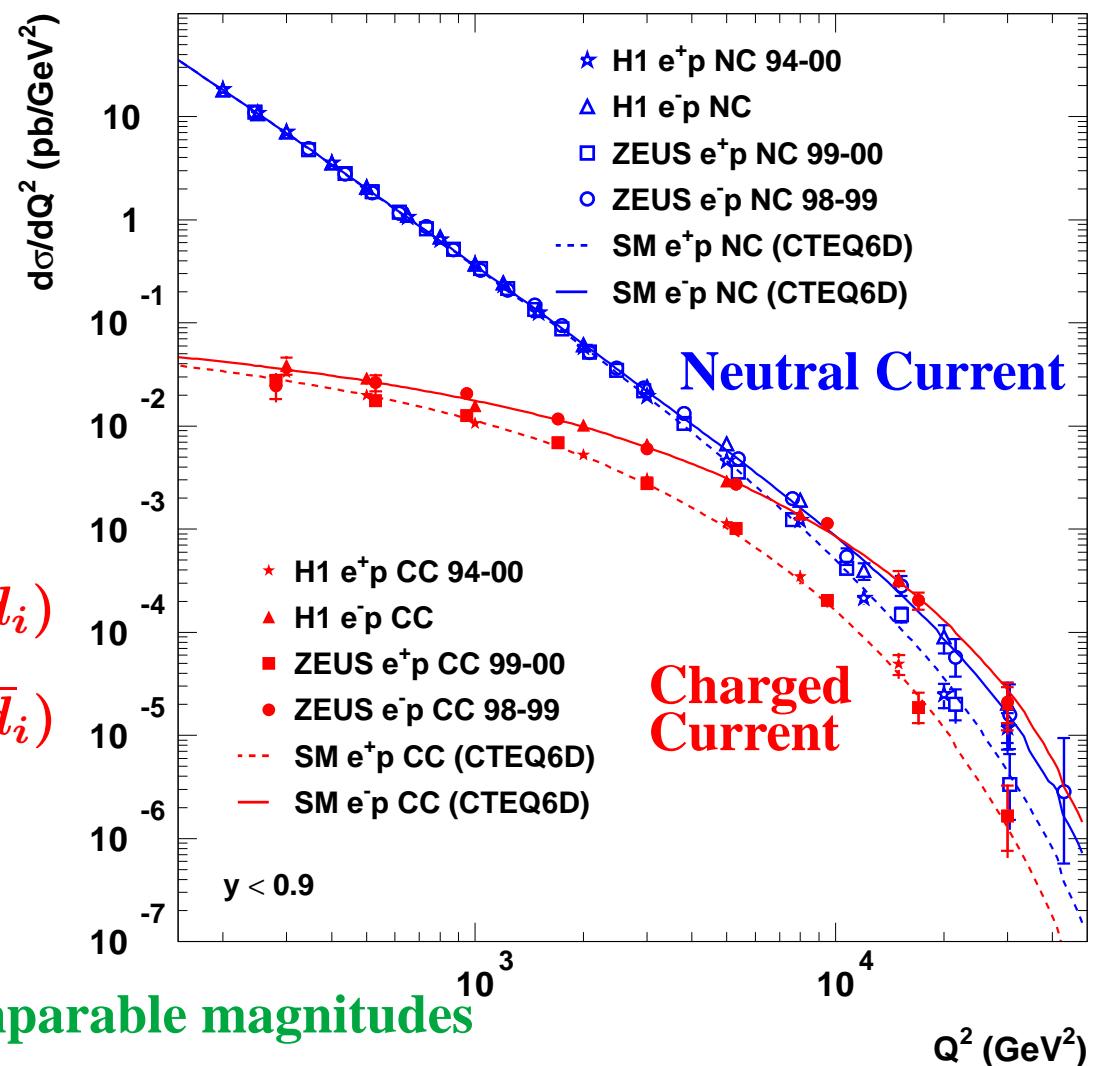
$$\text{where } \eta_{\gamma Z} = Q^2/(Q^2 + M_Z^2)$$

and Charged Current DIS  $e^\pm p$

$$\frac{d\sigma(e^+ p)}{dx dQ^2} = \frac{G_F^2}{2\pi} \eta_W^2 \cdot \sum_i (\bar{u}_i + (1-y)^2 d_i)$$

$$\frac{d\sigma(e^- p)}{dx dQ^2} = \frac{G_F^2}{2\pi} \eta_W^2 \cdot \sum_i (u_i + (1-y)^2 \bar{d}_i)$$

$$\text{where } \eta_W = M_W^2/(Q^2 + M_W^2)$$



- NC and CC DIS cross sections have comparable magnitudes

at  $Q^2 \sim M_W^2 \sim M_Z^2 \sim 10^4 \text{ GeV}^2 \Rightarrow$  Direct observation of electroweak unification

# Charged Current Deep Inelastic $e^+p$ Scattering

- Measurement of the reduced cross section in CC DIS:

$$\tilde{\sigma}(e^+p) = (G_F^2 \eta_W^2 / 2\pi x)^{-1} d\sigma_{\text{Born}} / dx dQ^2$$

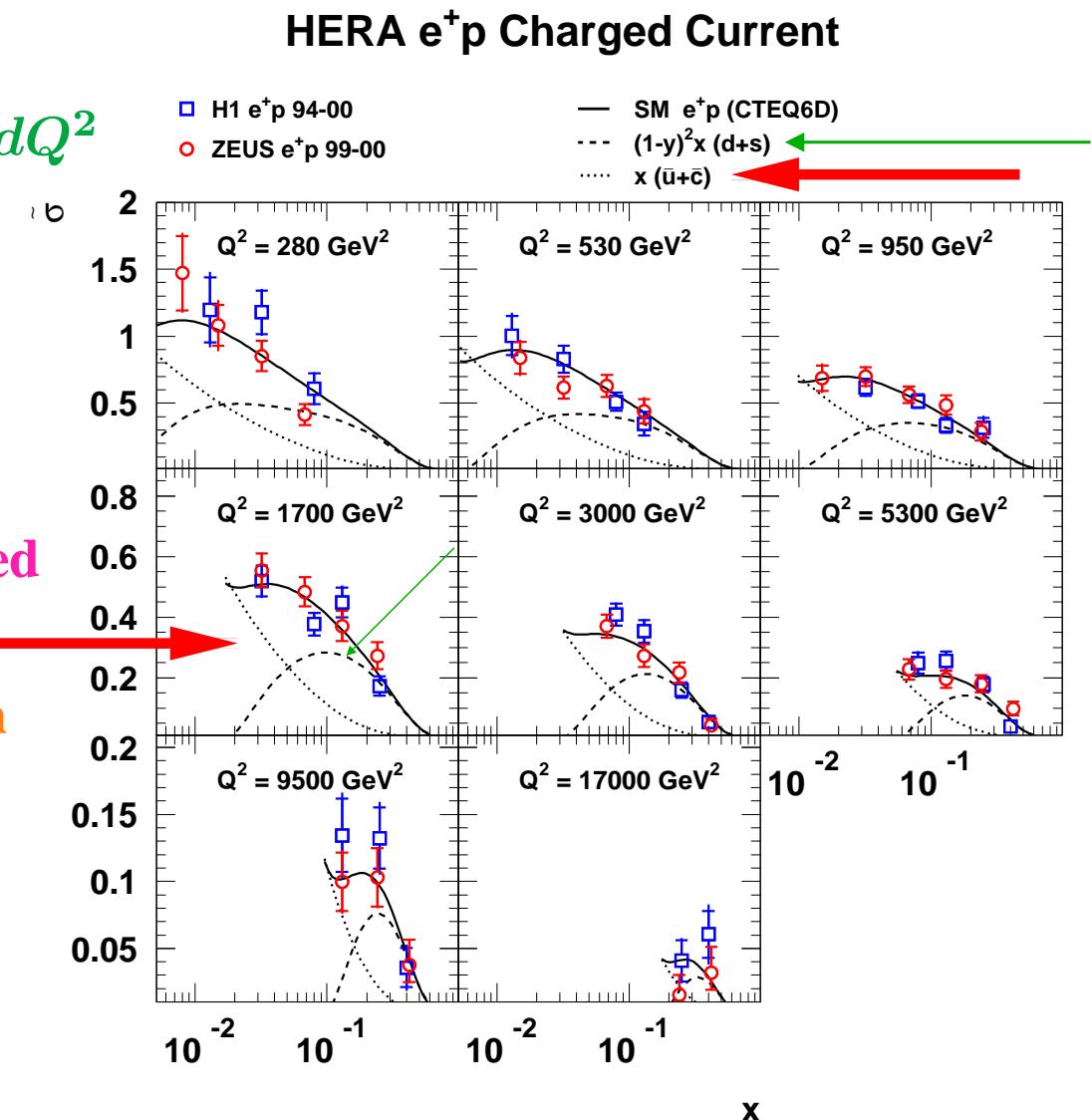
→ Sensitivity to flavour composition

$$\tilde{\sigma}(e^+p) = x(\bar{u} + \bar{c} + (1 - y)^2(d + s))$$

→ Sensitivity to valence quarks

$$\tilde{\sigma}(e^+p) \rightarrow x(1 - y)^2 d_V \text{ (high-}x\text{)}$$

- Good description by SM predictions based on CTEQ6 parametrizations of PDFs → valence quarks and flavour composition determined from fixed-target data



# Charged Current Deep Inelastic $e^-p$ Scattering

- Measurement of the reduced cross section in CC DIS:

$$\tilde{\sigma}(e^-p) = (G_F^2 \eta_W^2 / 2\pi x)^{-1} d\sigma_{\text{Born}} / dx dQ^2$$

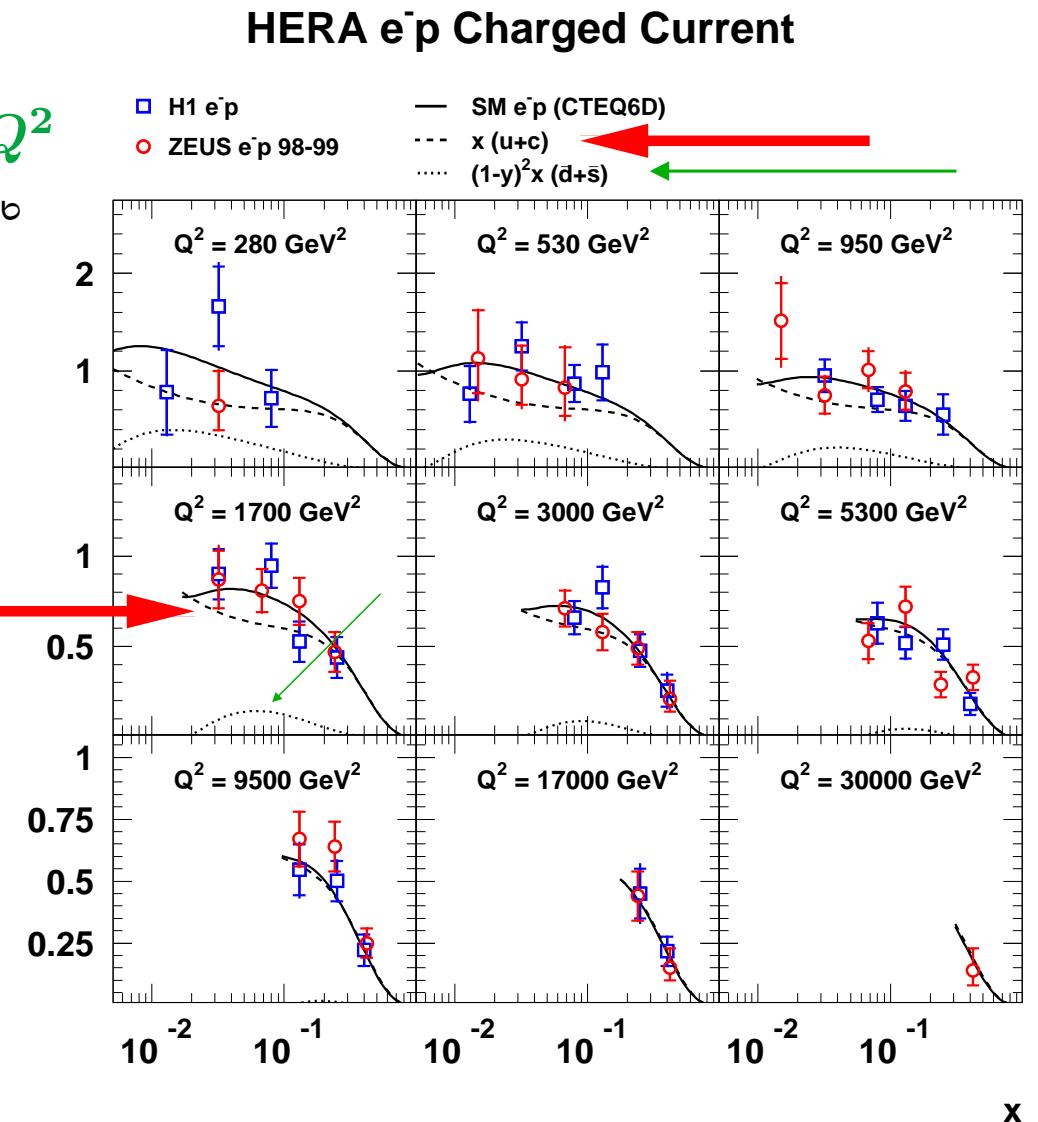
→ Sensitivity to flavour composition

$$\tilde{\sigma}(e^-p) = x(u + c + (1 - y)^2(\bar{d} + \bar{s}))$$

→ Sensitivity to valence quarks

$$\tilde{\sigma}(e^-p) \rightarrow x u_V \text{ (high-}x\text{)}$$

- Good description by SM predictions based on CTEQ6 parametrizations of PDFs
- valence quarks and flavour composition determined from fixed-target data



# Charged Current Deep Inelastic $e^+p$ and $e^-p$ Scattering

- Measurements of the reduced cross section in CC DIS:

$$\tilde{\sigma}(e^\pm p) = (G_F^2 \eta_W^2 / 2\pi x)^{-1} d\sigma_{\text{Born}} / dx dQ^2$$

→ Sensitivity to flavour composition

$$\tilde{\sigma}(e^+ p) = x(\bar{u} + \bar{c} + (1 - y)^2(d + s))$$

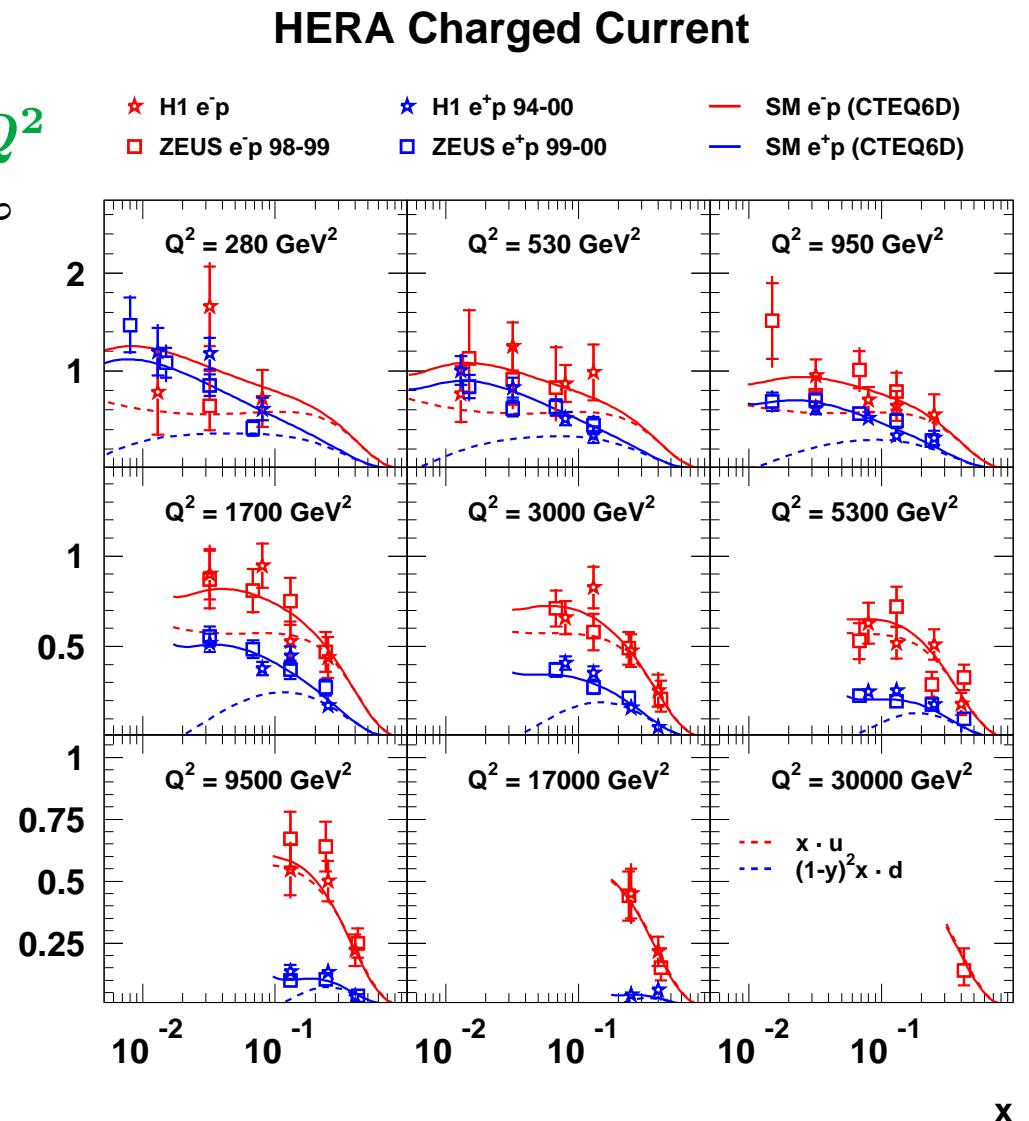
$$\tilde{\sigma}(e^- p) = x(u + c + (1 - y)^2(\bar{d} + \bar{s}))$$

→ Sensitivity to valence quarks

$$\tilde{\sigma}(e^+ p) \rightarrow x(1 - y)^2 d_V \text{ (high-}x\text{)}$$

$$\tilde{\sigma}(e^- p) \rightarrow x u_V \text{ (high-}x\text{)}$$

⇒ In combination with the reduced NC cross section at large  $Q^2$  and high  $x$



# Neutral Current Deep Inelastic $e^+p$ and $e^-p$ Scattering at high $x$

- Measurements of the reduced cross section in CC DIS:

$$\tilde{\sigma}(e^\pm p) = (G_F^2 \eta_W^2 / 2\pi x)^{-1} d\sigma_{\text{Born}} / dx dQ^2$$

→ Sensitivity to flavour composition

$$\tilde{\sigma}(e^+ p) = x(\bar{u} + \bar{c} + (1 - y)^2(d + s))$$

$$\tilde{\sigma}(e^- p) = x(u + c + (1 - y)^2(\bar{d} + \bar{s}))$$

→ Sensitivity to valence quarks

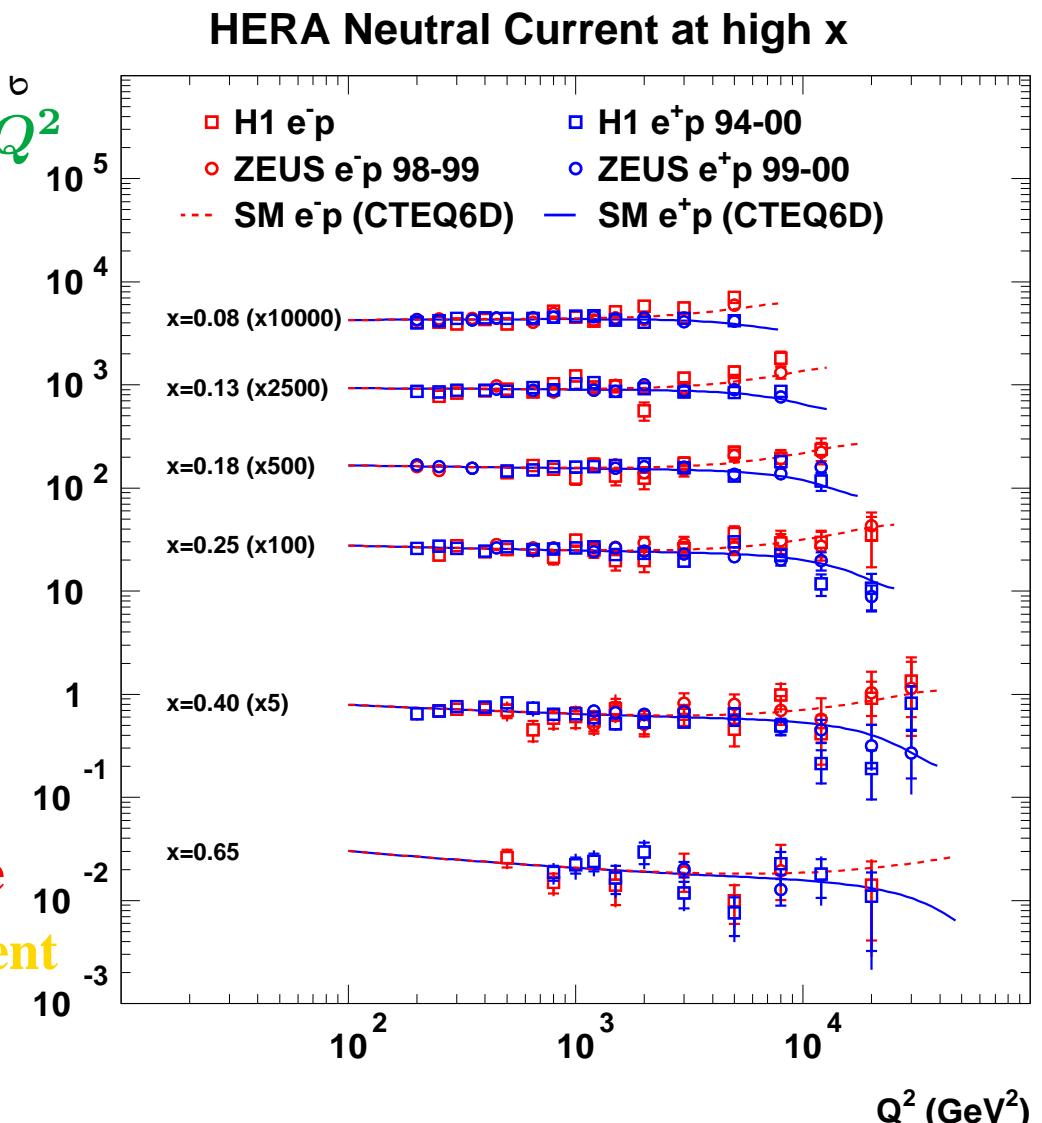
$$\tilde{\sigma}(e^+ p) \rightarrow x(1 - y)^2 d_V \text{ (high-}x\text{)}$$

$$\tilde{\sigma}(e^- p) \rightarrow x u_V \text{ (high-}x\text{)}$$

⇒ In combination with the reduced NC cross section at large  $Q^2$  and high  $x$  provide sufficient sensitivity to determine the proton PDFs within a single experiment

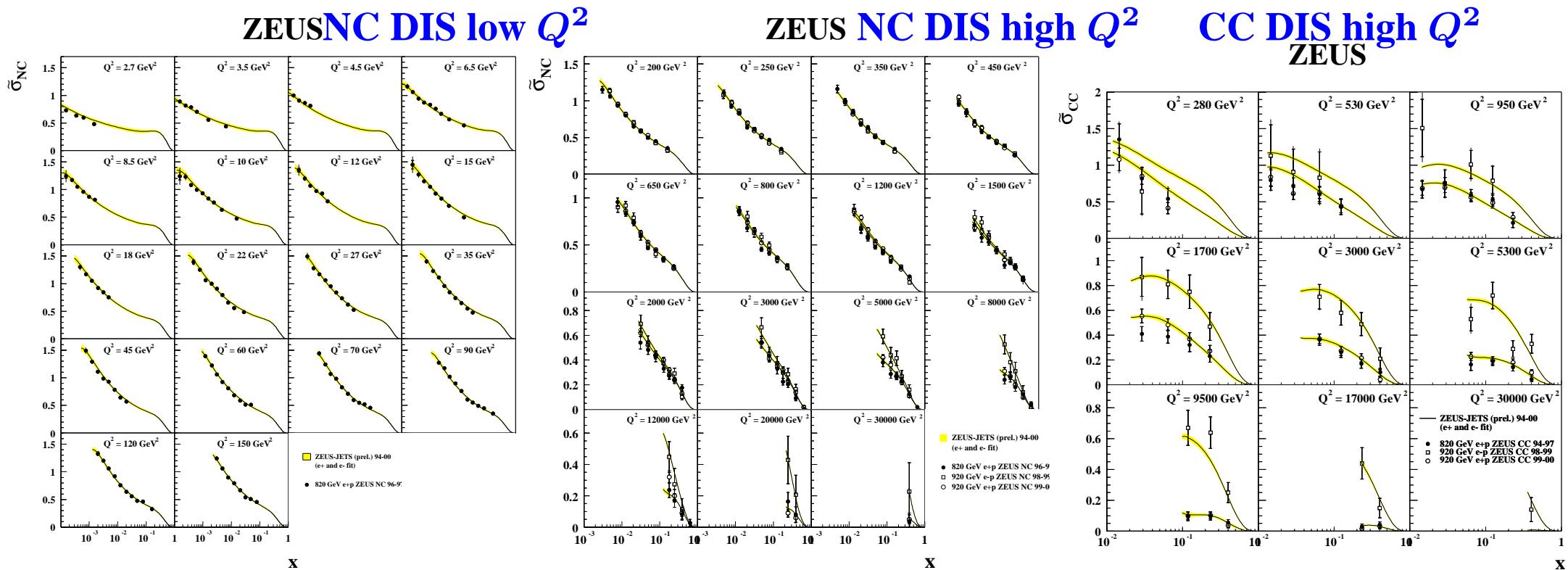
→ free from nuclear corrections

→ free from higher-twists effects



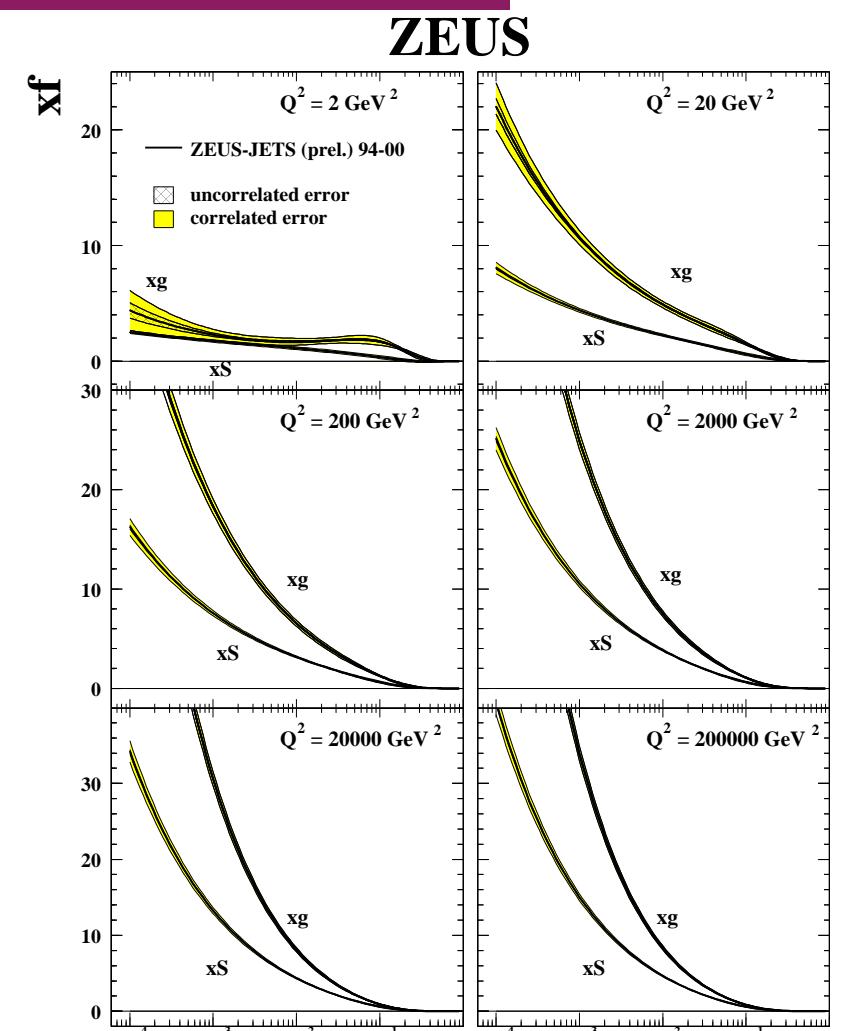
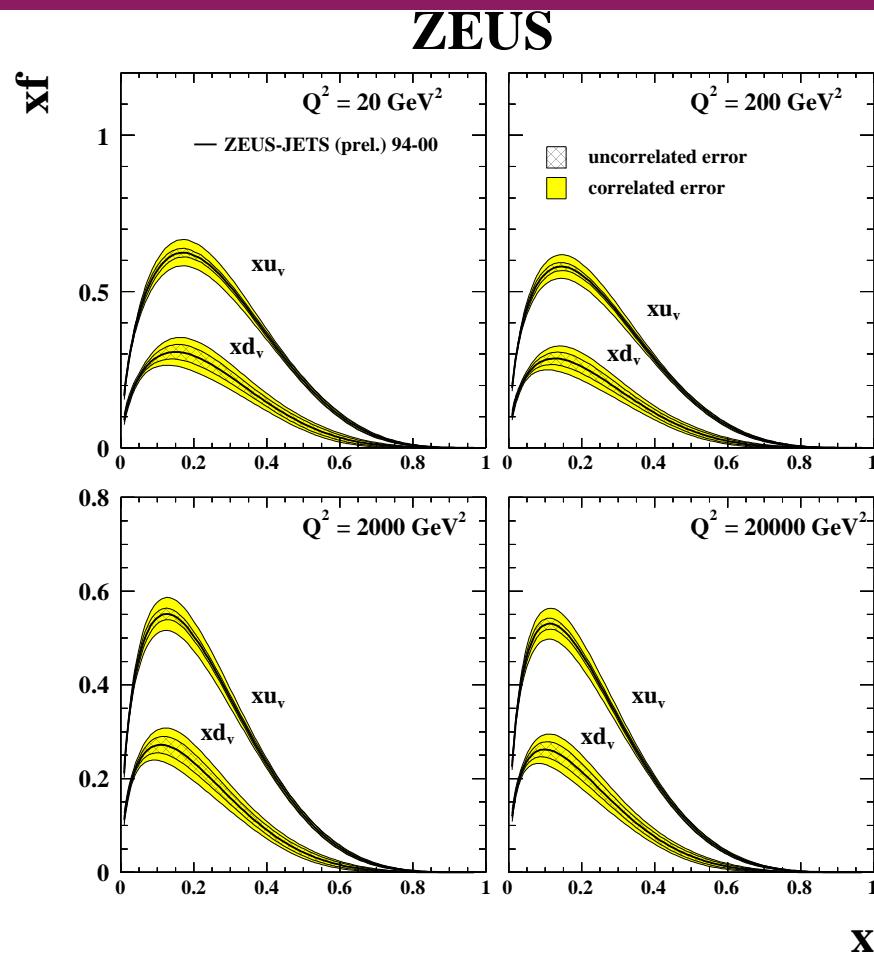
# Structure Functions (Continued)

# Determination of the Proton PDFs with ZEUS data alone



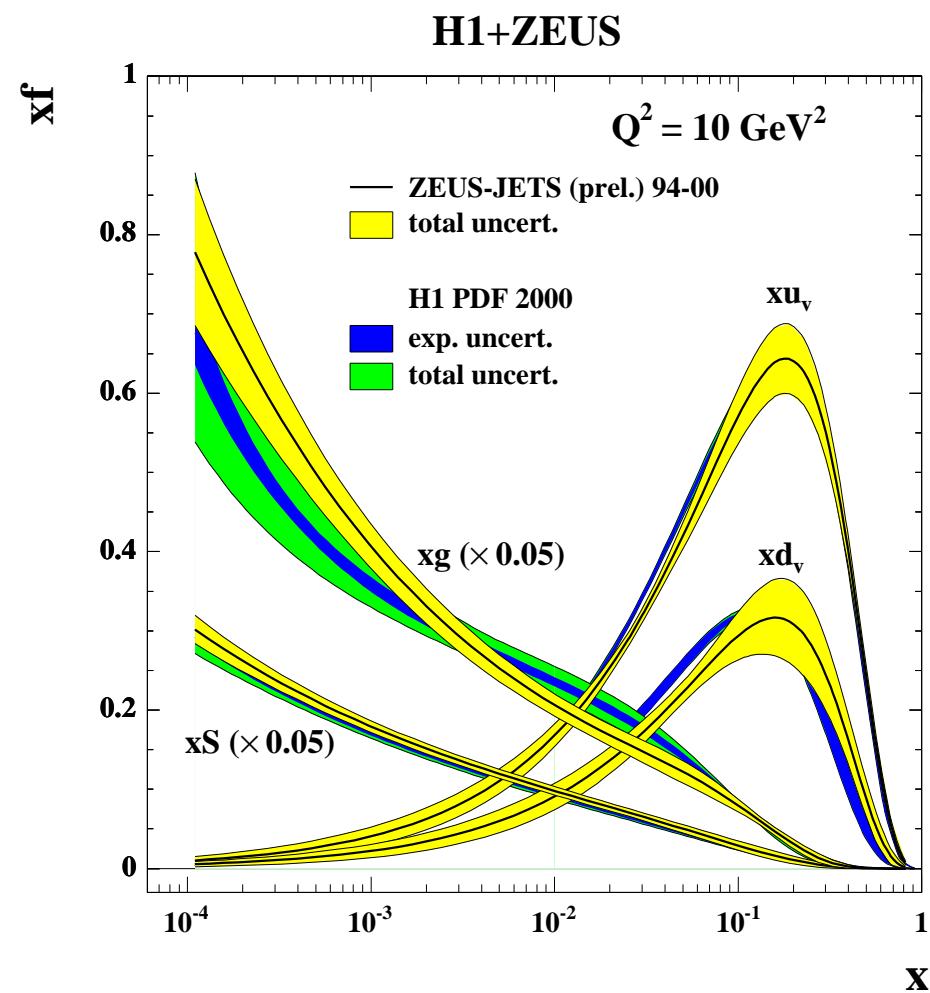
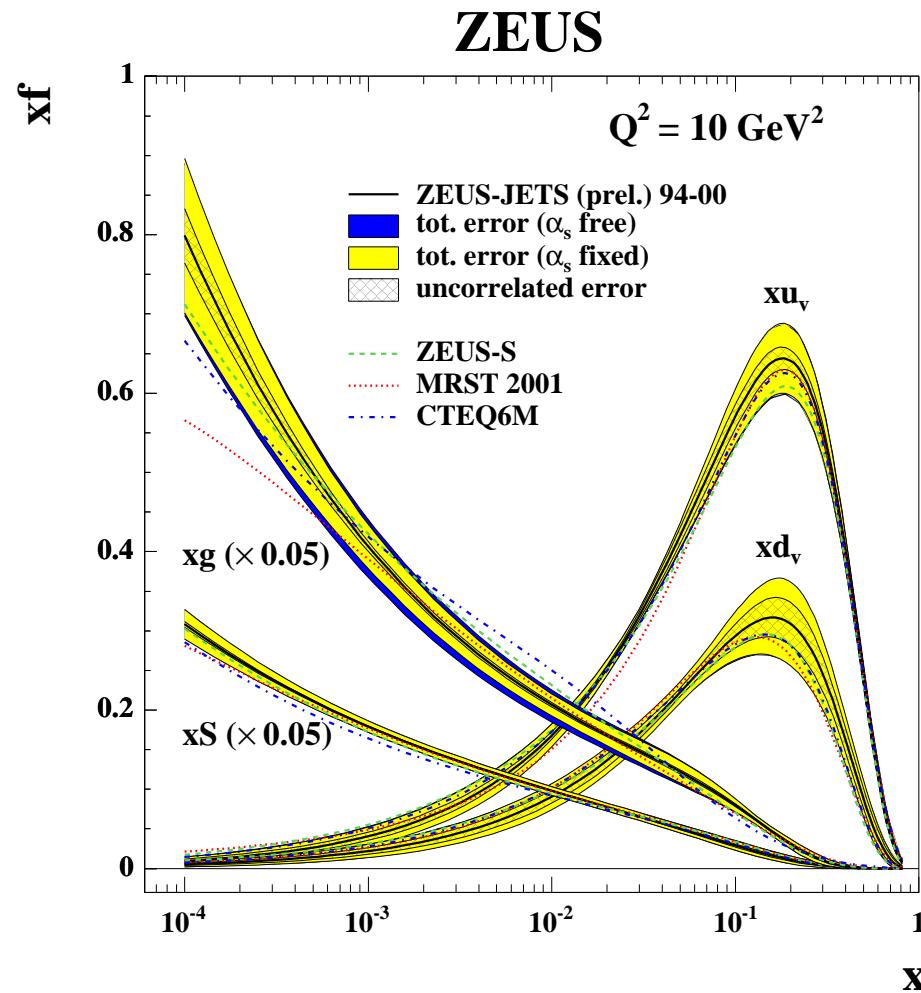
- Fit of **ZEUS-only data**: NC DIS  $e^\pm p$  and CC DIS  $e^\pm p$  in the region  $2.5 < Q^2 < 30000 \text{ GeV}^2$ ,  $6.3 \cdot 10^{-5} < x < 0.65$  and  $W^2 > 20 \text{ GeV}^2$  using DGLAP evolution equations at NLO:  $\rightarrow xu_V, xd_V, xS, xg$   
(no HERA information on flavour composition of the sea: flavour-averaged sea)  
⇒ Good description of Structure Function data (577 data points)

# Determination of the Proton PDFs with ZEUS data alone



- $xu_V, xd_V$ : precision competitive with global fits  
→ free from uncert. due to nuclear corrections and higher-twist effects
- $xS, xg$ : as precise as in global fits (HERA data are crucial)

## Determination of the Proton PDFs with HERA data alone



⇒ HERA determination of proton PDFs in agreement with global fits (CTEQ, MRST)

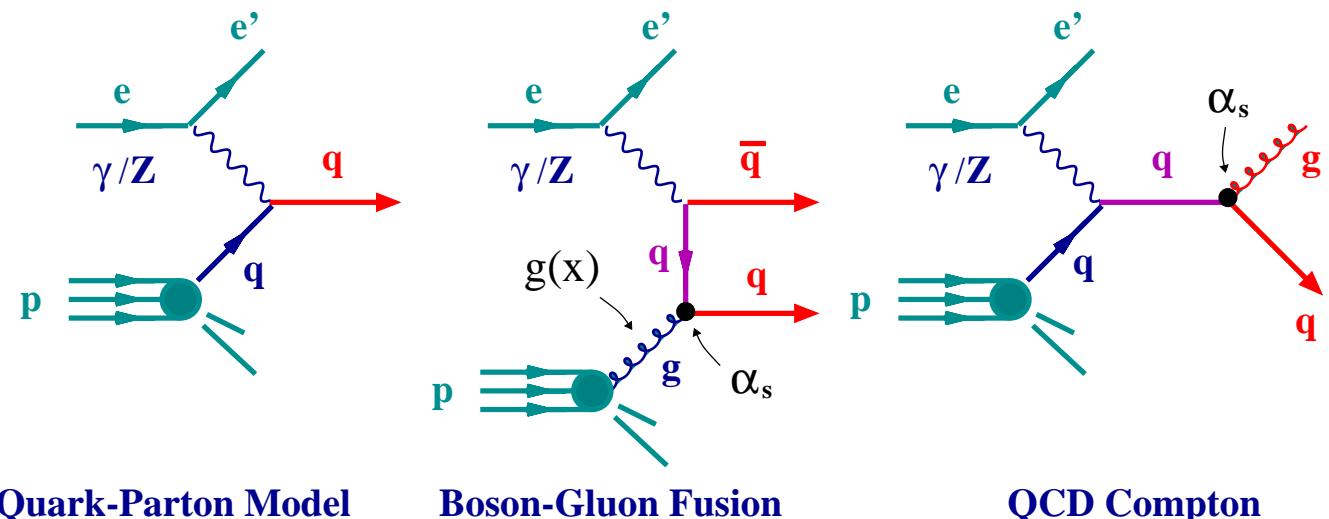
HERA-I data:  $\mathcal{L}(e^+p) \approx 110 \text{ pb}^{-1}$  and  $\mathcal{L}(e^-p) \approx 15 \text{ pb}^{-1}$

→ room for improvement from HERA-II data

# Jets and $\alpha_s$

# Jet Production in Neutral Current Deep Inelastic Scattering

- Jet production in neutral current deep inelastic scattering up to  $\mathcal{O}(\alpha_s)$ :



- Perturbative QCD calculations of jet cross sections:

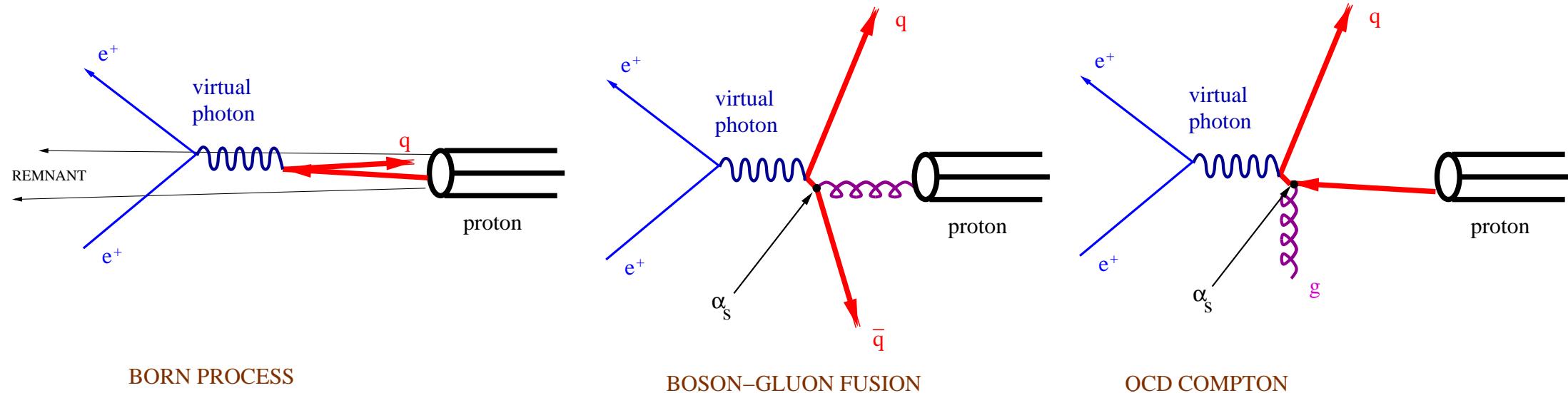
$$\sigma_{jet} = \sum_{a=q,\bar{q},g} dx f_a(x, \mu_F^2) \hat{\sigma}_a(x, \alpha_s(\mu_R), \mu_R^2, \mu_F^2)$$

- $f_a$ : parton  $a$  density in the proton, determined from experiment; **long-distance structure of the target**
- $\hat{\sigma}_a$ : subprocess cross section, calculable in pQCD; **short-distance structure of the interaction**

# Jet Production in Neutral Current Deep Inelastic Scattering

- In the region where the wealth of data from fixed-target and collider experiments has allowed **an accurate determination of the proton PDFs**, **measurements of jet production in NC DIS provide**
  - a sensitive test of the pQCD predictions of the short-distance structure
  - a determination of the strong coupling constant  $\alpha_s$
- To perform a **stringent test of the pQCD predictions** and a **precise determination of  $\alpha_s$** :
  - \* **Observables for which the predictions are directly proportional to  $\alpha_s$** 
    - Jet cross sections in the Breit frame
  - \* **Small experimental uncertainties** → Jets with relatively high transverse energy
  - \* **Small theoretical uncertainties** → NLO QCD calculations
    - Jet algorithm: longitudinally invariant  $k_T$  cluster algorithm (Catani et al)  
(small parton-to-hadron effects, infrared safe, suppression of beam-remnant jet)
    - Jet selection criteria
- Exploration of the parton evolution at low  $x$  ⇒ footprints of BFKL effects?
- Exploration of the low  $Q^2$  (transition) region ⇒ resolved virtual photons?

## High- $E_T$ Jet Production in the Breit Frame

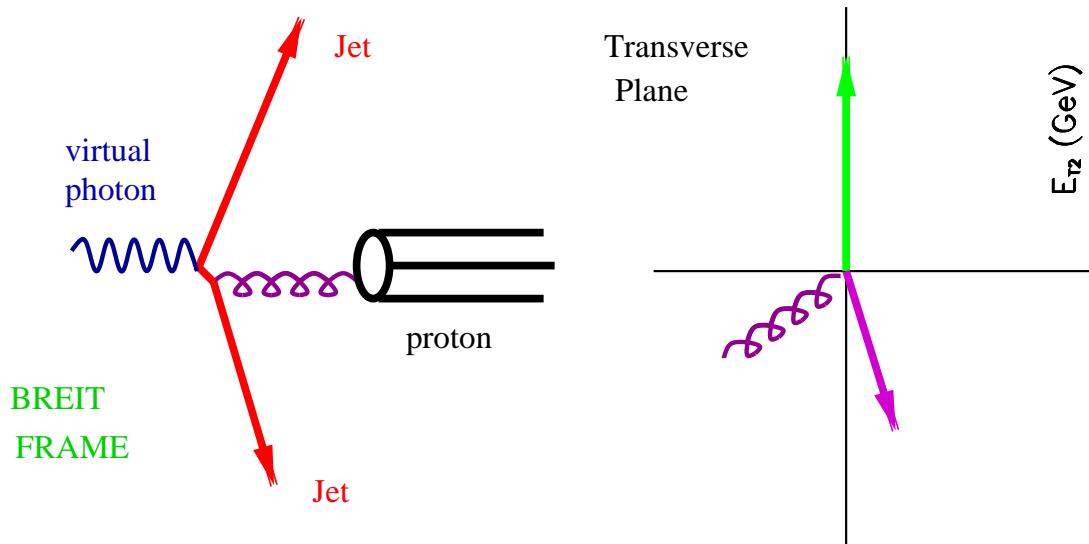


- In the Breit frame the virtual boson collides head-on with the proton
- High- $E_T$  jet production in the Breit frame
  - suppression of the Born contribution (struck quark has zero  $E_T$ )
  - suppression of the beam-remnant jet (zero  $E_T$ )
  - lowest-order non-trivial contributions from  $\gamma^* g \rightarrow q\bar{q}$  and  $\gamma^* q \rightarrow qg$
  - ⇒ directly sensitive to hard QCD processes ( $\alpha_s$ )

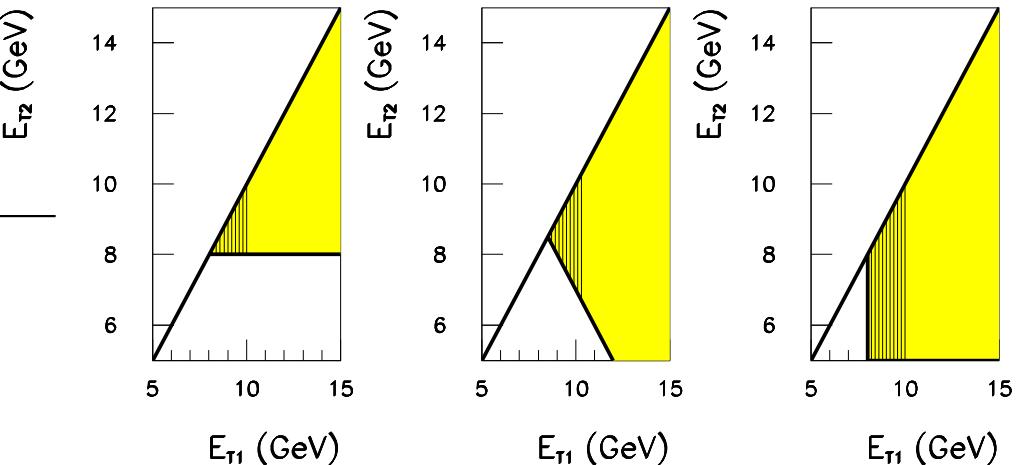
## NLO QCD Calculations of Jet Cross Sections in DIS

- Several NLO QCD programs are available for performing jet cross section calculations → DISENT (Catani and Seymour), MEPJET (Mirkes and Zeppenfeld), DISASTER++ (Graudenz), NLOJET (Nagy and Trocsanyi)
- NLO corrections
  - virtual corrections with internal particle loops
  - real corrections with a third parton in the final state
- Different methods to calculate real corrections:
  - phase space slicing method (M), subtraction method (D, D++, NJ)
- Since there are two hard scales in jet production, the renormalisation and factorisation scales can be chosen as one of the two,  $\mu_R$ ,  $\mu_F = Q$  or  $E_T^{jet}$
- The calculations are for jets of partons and the measurements are done at the hadron level → need to correct the calculations for hadronisation effects
- Theoretical uncertainties:
  - terms beyond NLO, which are usually estimated by varying  $\mu_R$  by factor 2
  - uncertainties on  $\alpha_s(M_Z)$  and the proton PDFs
  - uncertainty coming from the hadronisation corrections

# Jet Finding and Selection Criteria for Dijet Events



NLO QCD Dijet Cross Section ( $\mu_R = Q$ )  $Q^2 > 470 \text{ GeV}^2$



- Longitudinally invariant  $k_T$ -cluster algorithm in the  $\eta$ - $\phi$  plane of Breit frame

$$d_{ij} = \min(E_{T,i}^2, E_{T,j}^2) \cdot (\Delta\eta_{ij}^2 + \Delta\phi_{ij}^2)$$

- Dijet selection criteria:

→ Symmetric cuts on  $E_T^{jet,1(2)}$  ⇒ danger

→ Symmetric cuts on  $E_T^{jet,1(2)}$  and cut on sum

→ Asymmetric cuts on  $E_T^{jet,1(2)}$

⇒ NLO calculations for dijet cross sections can be (infrared) sensitive to the selection criteria

# Dijet Cross Sections in NC DIS ( $5 < Q^2 < 15000 \text{ GeV}^2$ )

- Measurement of differential dijet cross sections over a wide range in  $Q^2 \rightarrow 5 < Q^2 < 15000 \text{ GeV}^2$

and  $0.2 < y < 0.6$  for dijet production with

$$E_T^{jet,1(2)}(\text{Breit}) > 5 \text{ GeV}$$

$$E_T^{jet,1}(\text{Breit}) + E_T^{jet,2}(\text{Breit}) > 17 \text{ GeV}$$

$$-1 < \eta^{jet,1(2)}(\text{Lab}) < 2.5$$

- Detailed investigation of the jet algorithms:  
→ Smallest parton-to-hadron effects: inclusive  $k_T$

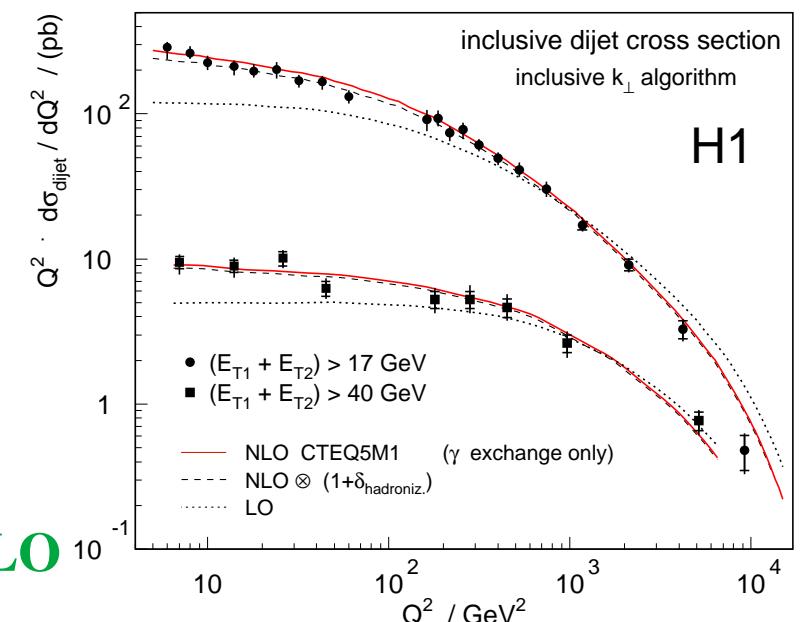
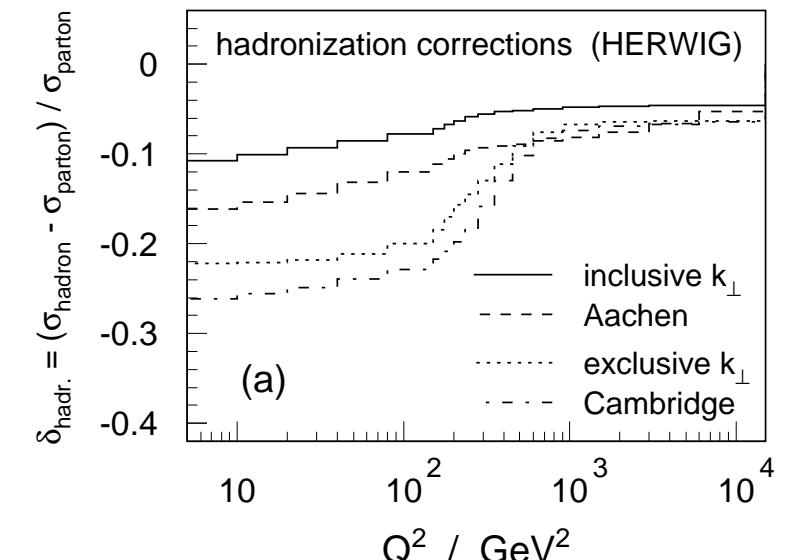
- Comparison with NLO QCD calculations:

$$\rightarrow \mu_R = \bar{E}_T, \mu_F = \sqrt{200} \text{ GeV}$$

→ CTEQ5M1 parametrisations of proton PDFs

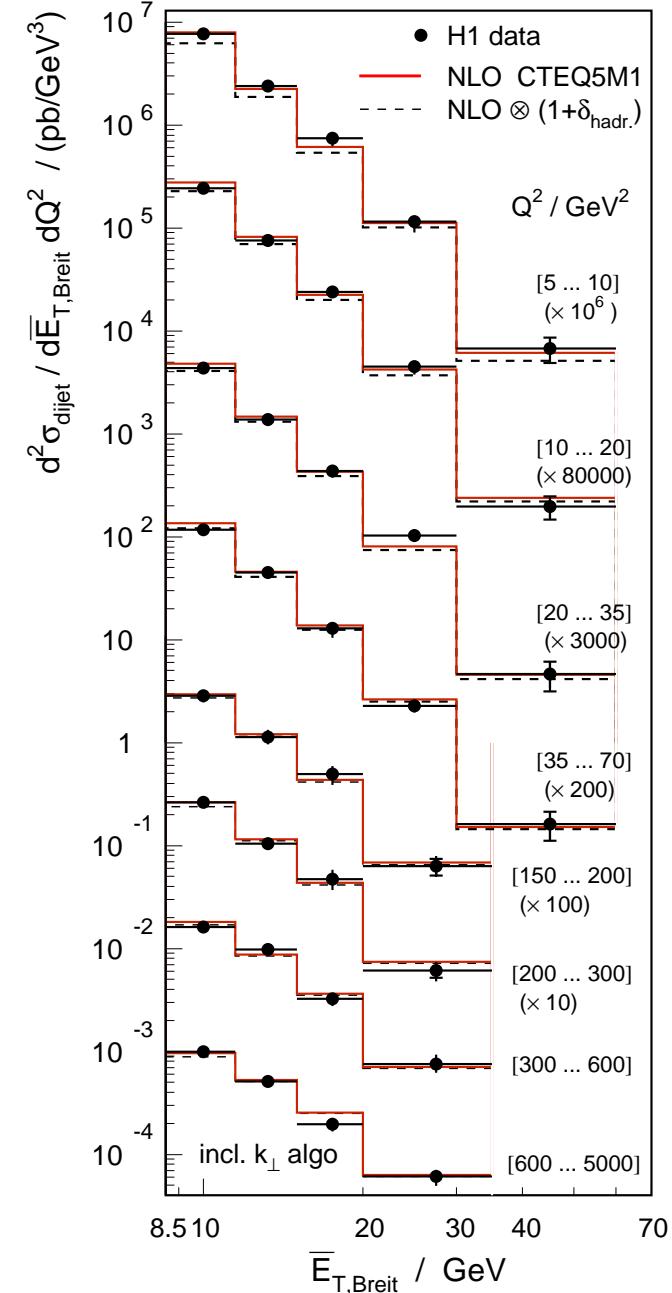
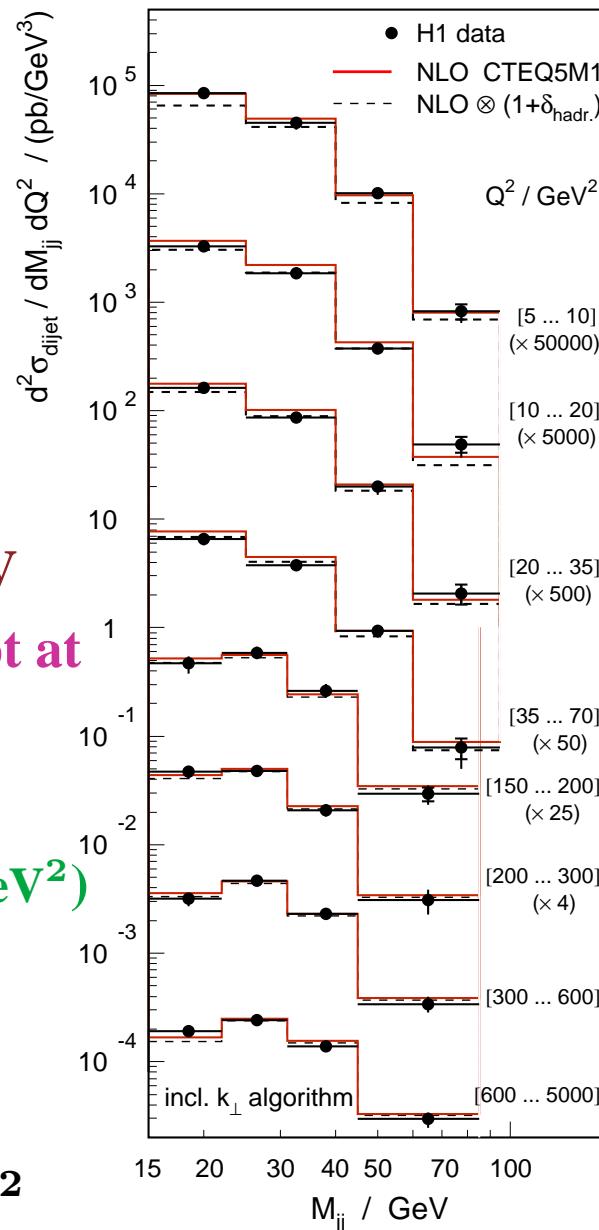
→ parton-to-hadron corrections applied

- NLO QCD gives a good description of the data over a wide range in  $Q^2$  and  $E_T$ ; the  $Q^2$  dependence is observed to be reduced at high- $E_T$  and described by NLO



# Dijet Cross Sections in NC DIS

- Measurement of double differential cross sections  $d\sigma/dM_{JJ}dQ^2$ ,  $d\sigma/d\bar{E}_T dQ^2$  over  $5 < Q^2 < 5000 \text{ GeV}^2$
- It is observed that the spectra get harder as  $Q^2$  increases
- NLO QCD describes well the data over  $15 < M_{JJ} < 95 \text{ GeV}$  and  $8.5 < \bar{E}_T < 60 \text{ GeV}$  except at low  $Q^2$ , where the shape is ok but not the normalisation
- Overview: at high  $Q^2 (> 70 \text{ GeV}^2)$  NLO describes the data well; as  $Q^2$  decreases the theoretical uncertainties become large and NLO fails for  $Q^2 < 10 \text{ GeV}^2$



# Dijet Cross Sections at $Q^2 > 470 \text{ GeV}^2$ and extraction of $\alpha_s$

- Dijet cross section  $d\sigma_{2+1}/dQ^2$  for  $470 < Q^2 < 20000 \text{ GeV}^2$

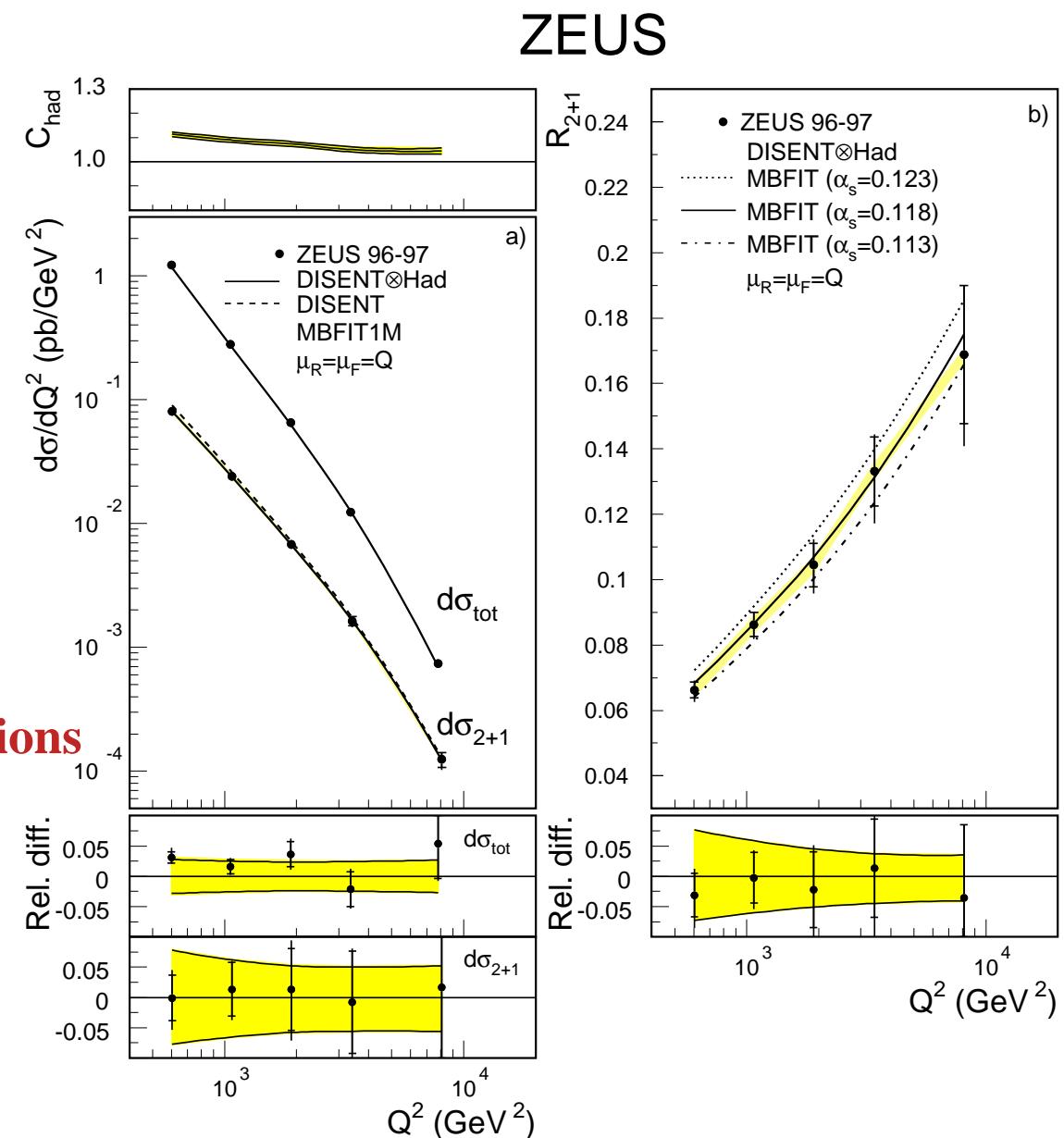
$E_T^{jet,1}(\text{Breit}) > 8 \text{ GeV}$

$E_T^{jet,2}(\text{Breit}) > 5 \text{ GeV}$

$-1 < \eta^{jet,1(2)}(\text{Lab}) < 2$

$$\rightarrow \text{Ratio } R_{2+1} \equiv \frac{d\sigma_{2+1}/dQ^2}{d\sigma_{tot}/dQ^2}$$

- Small experimental uncertainties.
- Comparison with NLO QCD calculations
- Small theoretical uncertainties:
  - uncertainties on the proton PDFs
  - hadronisation corrections
  - higher-order terms ( $>$  NLO)



# Dijet Cross Sections at $Q^2 > 470 \text{ GeV}^2$

- Measurement of dijet differential cross section as a function of

$$z_{p,1} = \frac{(E-p_z)_{\text{jet},1}}{\sum_{k=1,2}(E-p_z)_{\text{jet},k}} \simeq \frac{1}{2} \cdot (1 - \cos \theta^*)$$

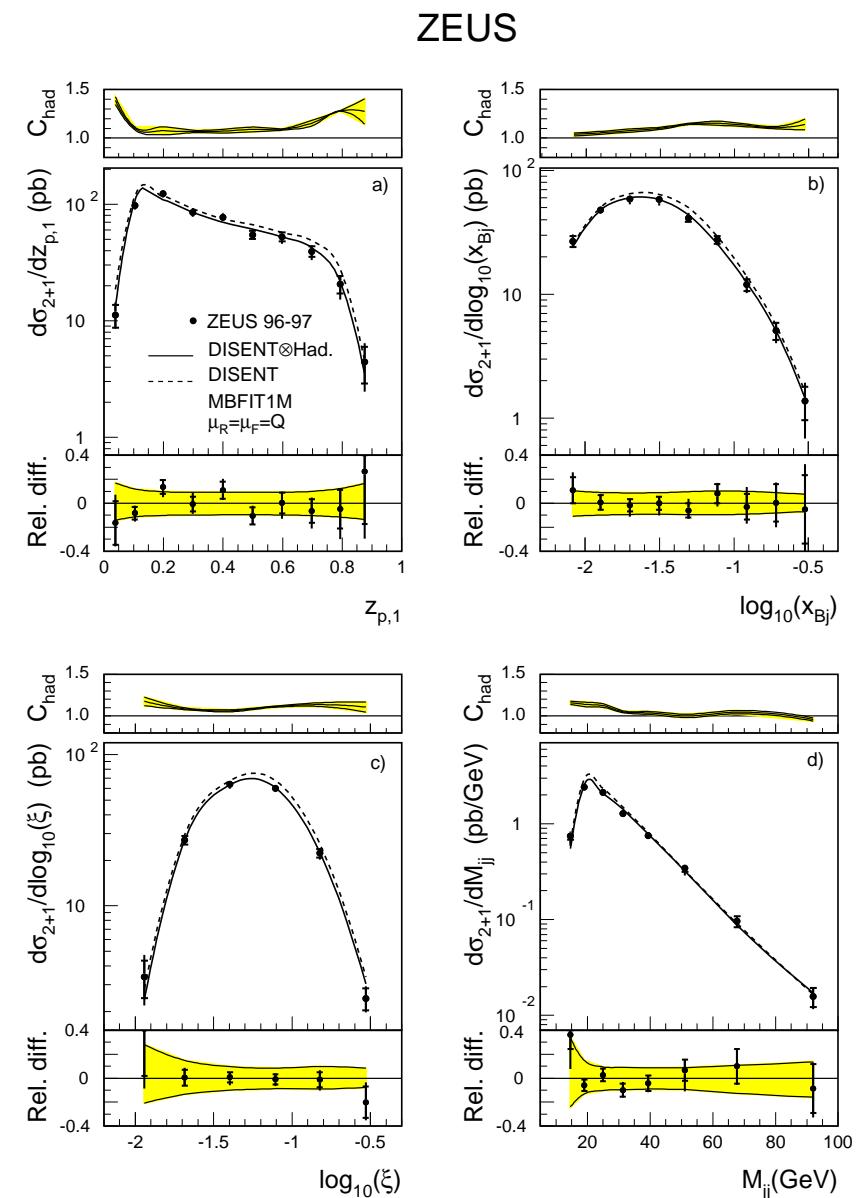
$\theta^*$  is the scattering angle in the  $\gamma^*$ -parton CMS

$x_{Bj}$  = Bjorken's  $x$  variable

$\xi$  = fraction of proton momentum carried by incoming parton,  $\xi = x_{Bj} \cdot (1 + M_{jj}^2/Q^2)$

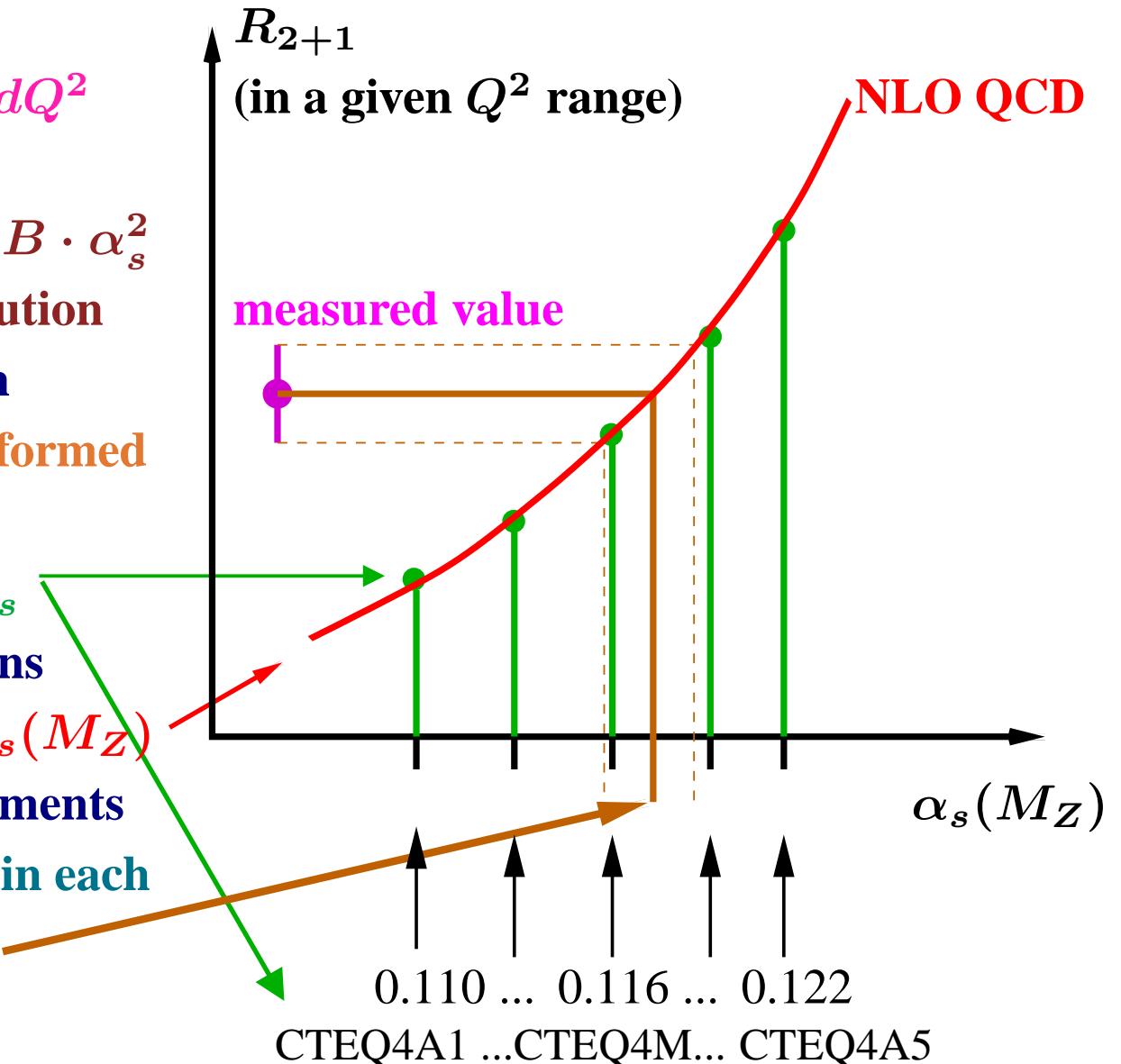
$M_{jj}$  = dijet invariant mass

- NLO QCD calculations provide a good description of the data  
→ validity of the description of the dynamics of dijet production by pQCD at  $\mathcal{O}(\alpha_s^2)$



## Dijet Cross Sections at $Q^2 > 470 \text{ GeV}^2$ and extraction of $\alpha_s(M_Z)$

- NLO QCD calculations of  $d\sigma_{2+1}/dQ^2$  depend on  $\alpha_s(M_Z)$  through
  - Matrix Elements:  $\hat{\sigma} \sim A \cdot \alpha_s + B \cdot \alpha_s^2$
  - proton PDFs:  $\alpha_s$  assumed in evolution
- To take into account the correlation the NLO QCD calculations are performed using various sets of proton PDFs which assume different values of  $\alpha_s$
- The resulting NLO QCD calculations are parametrised as a function of  $\alpha_s(M_Z)$  in each region of  $Q^2$  of the measurements
- From the measured value of  $R_{2+1}$  in each region of  $Q^2$  the value of  $\alpha_s(M_Z)$  and its uncertainty are extracted



# Dijet Cross Sections at $Q^2 > 470 \text{ GeV}^2$ and extraction of $\alpha_s$

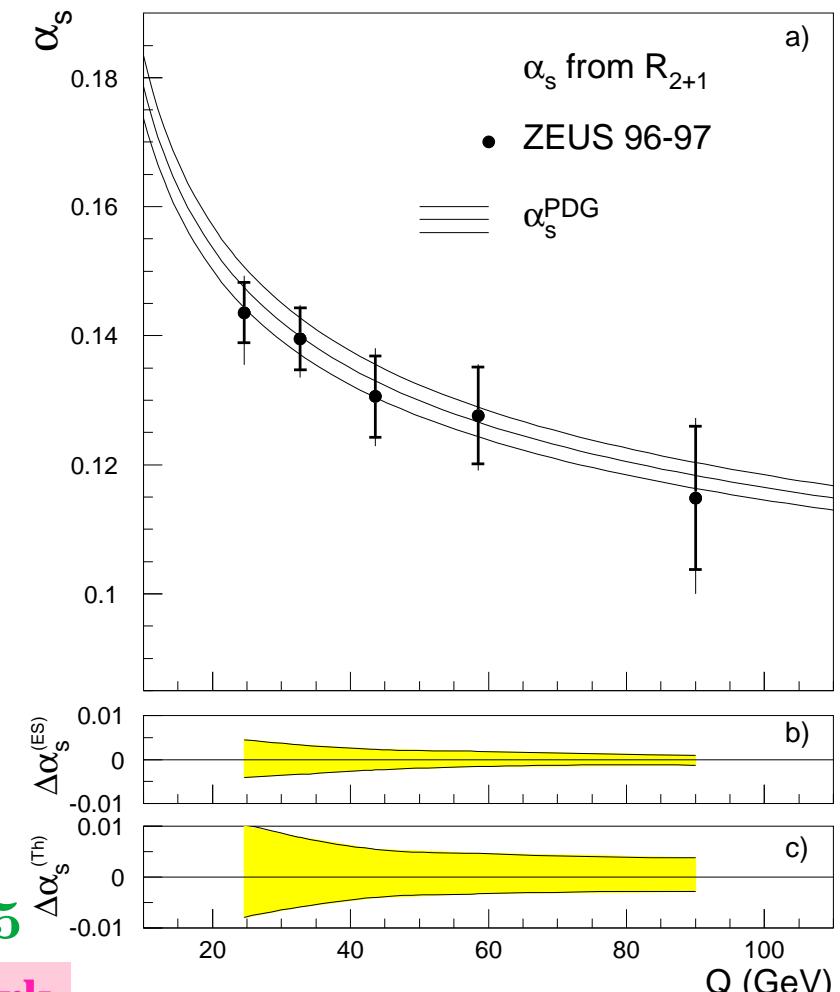
- Study of the scale dependence of  $\alpha_s(Q)$ :  
from the measured  $R_{2+1}(Q^2)$  in each  $Q^2$  region  
 $\rightarrow \alpha_s(< Q >)$  is extracted  
The measurements are consistent with  
the running of  $\alpha_s$  predicted by perturbative QCD
- A combined value of  $\alpha_s(M_Z)$  has been extracted:

$$\alpha_s(M_Z) = 0.1166 \pm 0.0019 \text{ (stat.)} \\ +0.0024 \quad -0.0033 \text{ (exp.)} +0.0057 \quad -0.0044 \text{ (th.)}$$

- The theoretical uncertainty dominates:  
 → terms beyond NLO  $\Delta\alpha_s(M_Z) = +0.0055$   
 $-0.0042$   
 → uncertainties proton PDFs  $\Delta\alpha_s(M_Z) = +0.0012$   
 $-0.0011$   
 → hadronisation corrections  $\Delta\alpha_s(M_Z) = \pm 0.0005$

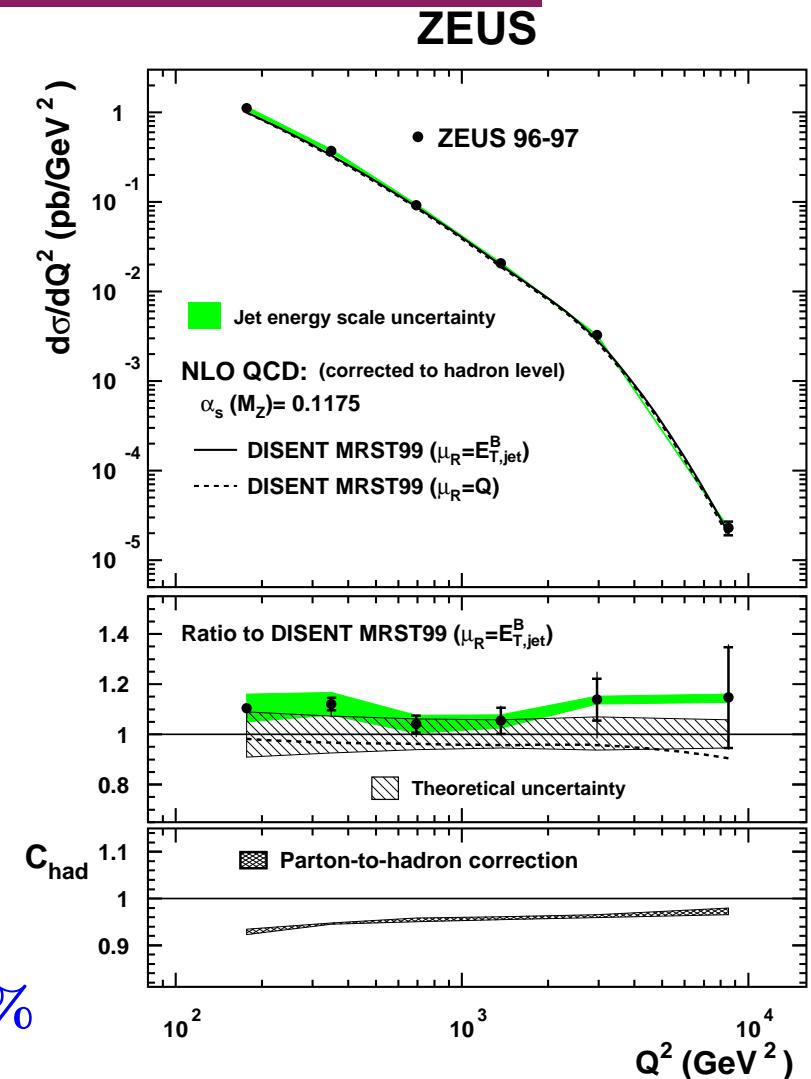
Improvements depend upon further Theoretical Work

ZEUS



# Inclusive Jet Cross Sections in NC DIS at $Q^2 > 125 \text{ GeV}^2$

- Measurement of inclusive jet cross sections in the kinematic region defined by  $Q^2 > 125 \text{ GeV}^2$  and  $-0.7 < \cos \gamma < 0.5$  for jets with  $E_{T,jet}^B > 8 \text{ GeV}$  and  $-2 < \eta_{jet}^B < 1.8$   
→ no cut is applied in the laboratory frame
- Advantages:
  - infrared insensitivity (no dijet cuts!)
  - suited to test resummed calculations
  - smaller theoretical uncertainties than for dijet
- Small experimental uncertainties:
  - jet energy scale (1% for  $E_{T,jet} > 10 \text{ GeV}$ )  
⇒  $\sim \pm 5\%$  on the cross sections
- Small parton-to-hadron corrections ( $C_{had}$ ):  $< 10\%$
- NLO QCD calculations ( $\mathcal{O}(\alpha_s^2)$ ) using  $\mu_R = E_{T,jet}^B$ ,  $\mu_F = Q$  and the MRST99 parametrisations of the proton PDFs describe the measurements well



# Inclusive Jet Cross Sections in NC DIS at $Q^2 > 125 \text{ GeV}^2$

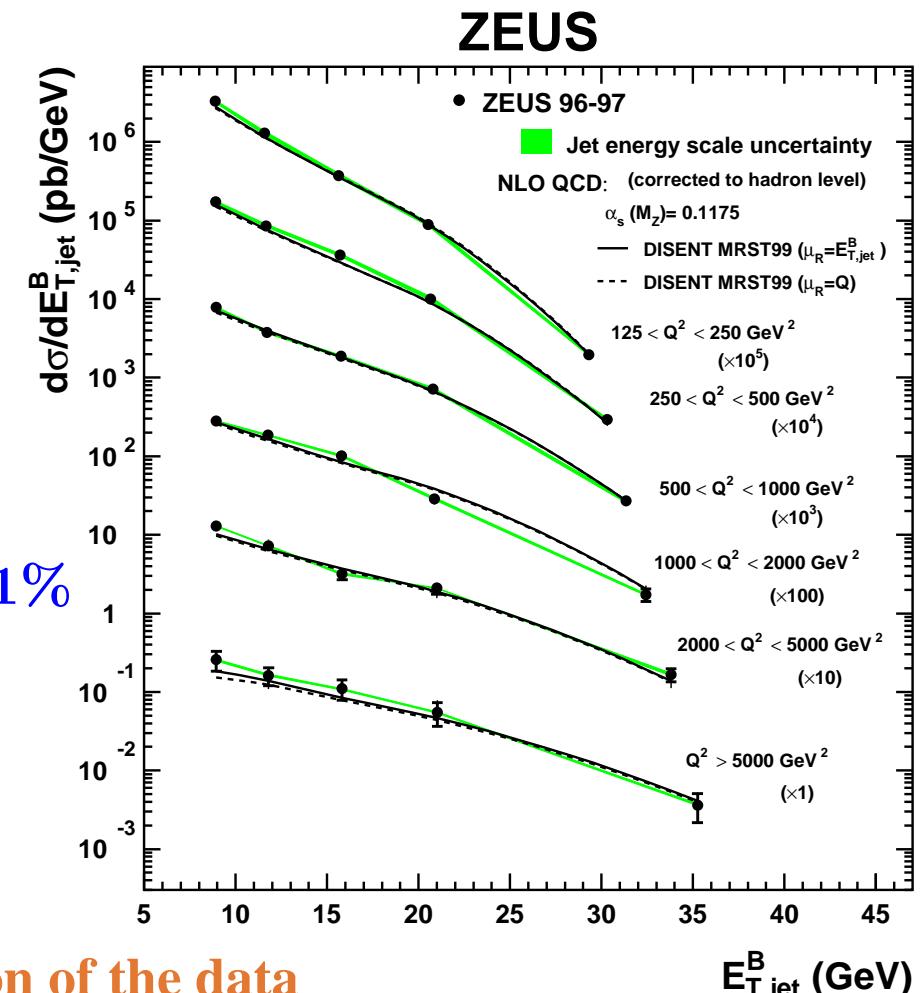
- Measurement of the inclusive jet cross section

$d\sigma/dE_{T,jet}^B$  in different regions of  $Q^2$

- Small theoretical uncertainties:

- higher-order terms ( $>$  NLO); varying  $\mu_R$  between  $\frac{1}{2} \cdot E_{T,jet}^B$  and  $2 \cdot E_{T,jet}^B \Rightarrow \pm 5\%$
- uncertainty on  $\alpha_s(M_Z)$  ( $\pm 0.003$ );  $\Rightarrow \pm 5\%$
- hadronisation corrections; variance of  $C_{had}$  values (ARIADNE, LEPTO, HERWIG)  $\Rightarrow < 1\%$
- uncertainties on the proton PDFs
  - experimental uncertainties  $\Rightarrow \pm 3\%$
  - theoretical assumptions  $\Rightarrow \pm 3\%$

- NLO QCD calculations provide a good description of the data  
→ validity of the description of the dynamics of inclusive jet production by pQCD at  $\mathcal{O}(\alpha_s^2)$



## Inclusive Jet Cross Sections and extraction of $\alpha_s$

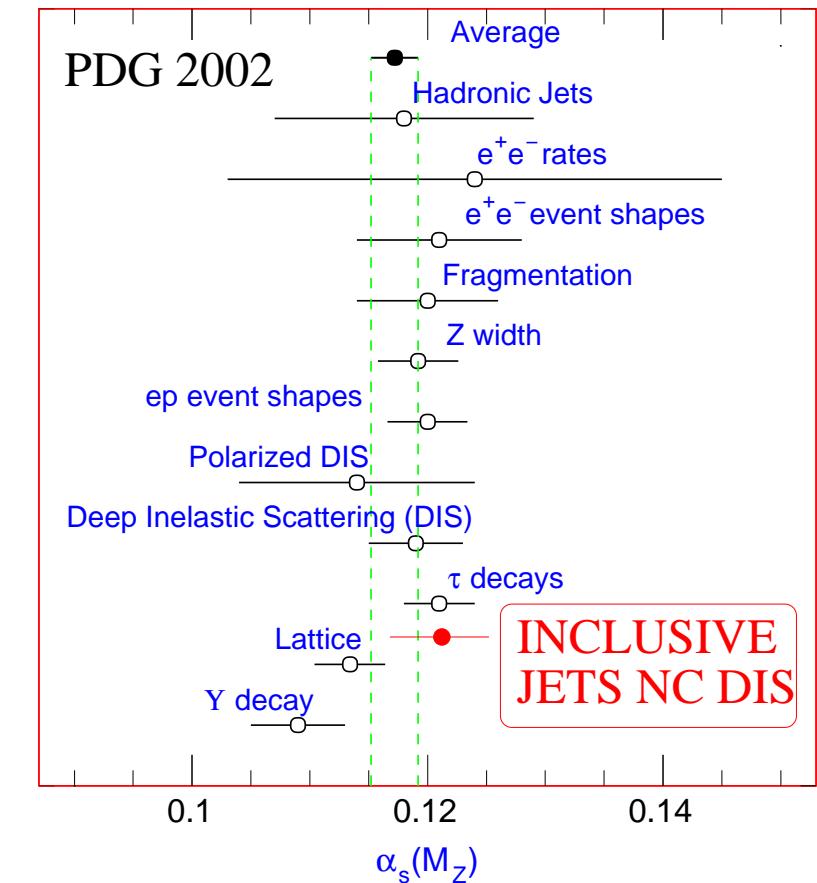
- The inclusive jet cross section  $d\sigma/dQ^2$  at  $Q^2 > 500 \text{ GeV}^2$  has been used to extract  $\alpha_s(M_Z)$

$$\alpha_s(M_Z) = 0.1212 \pm 0.0017 \text{ (stat.)}$$

$$+0.0023 \text{ (exp.)} +0.0028 \text{ (th.)}$$

$$-0.0031$$

- Experimental uncertainties:
  - jet energy scale (1% for  $E_{T,jet} > 10 \text{ GeV}$ )
- Theoretical uncertainties:
  - terms beyond NLO  $\Delta\alpha_s(M_Z) = 3\%$
  - uncertainties proton PDFs  $\Delta\alpha_s(M_Z) = 1\%$
  - hadronisation corrections  $\Delta\alpha_s(M_Z) = 0.2\%$
- Consistent with other determinations of  $\alpha_s$  and PDG
- Very precise determination of  $\alpha_s(M_Z)$ !

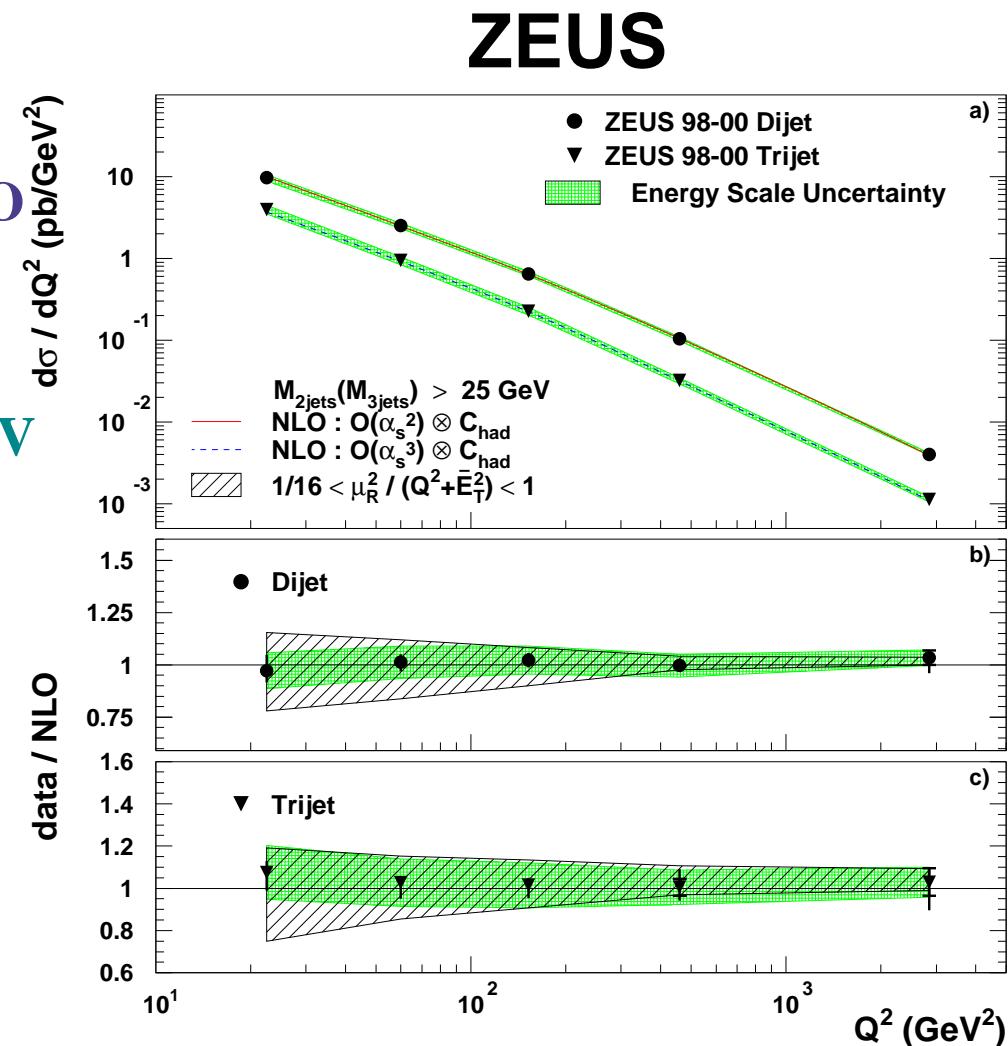
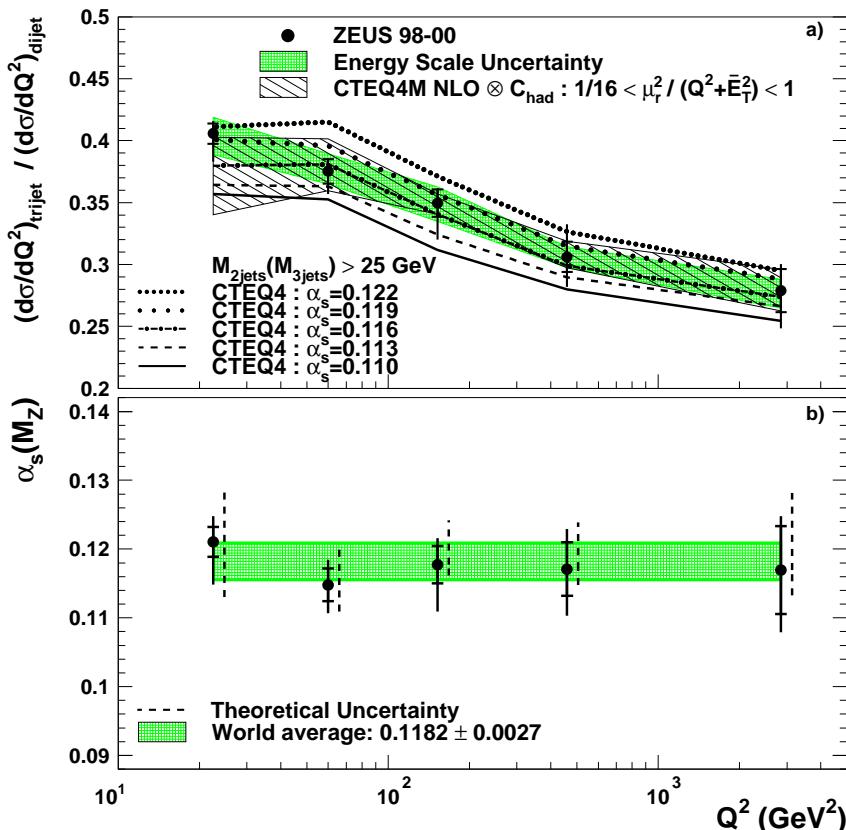


Further improvement depends upon further Experimental and Theoretical Work

## Three-jet cross sections in NC DIS

- Three-jet cross sections test QCD beyond LO directly  $\rightarrow \sigma_{3jet} \propto \alpha_s^2$
- At least three jets with  $E_T^{jet}$ (Breit)  $> 5$  GeV and  $-1 < \eta^{jet}(\text{Lab}) < 2.5$ ,  $M_{3\text{jets}} > 25$  GeV

**ZEUS**

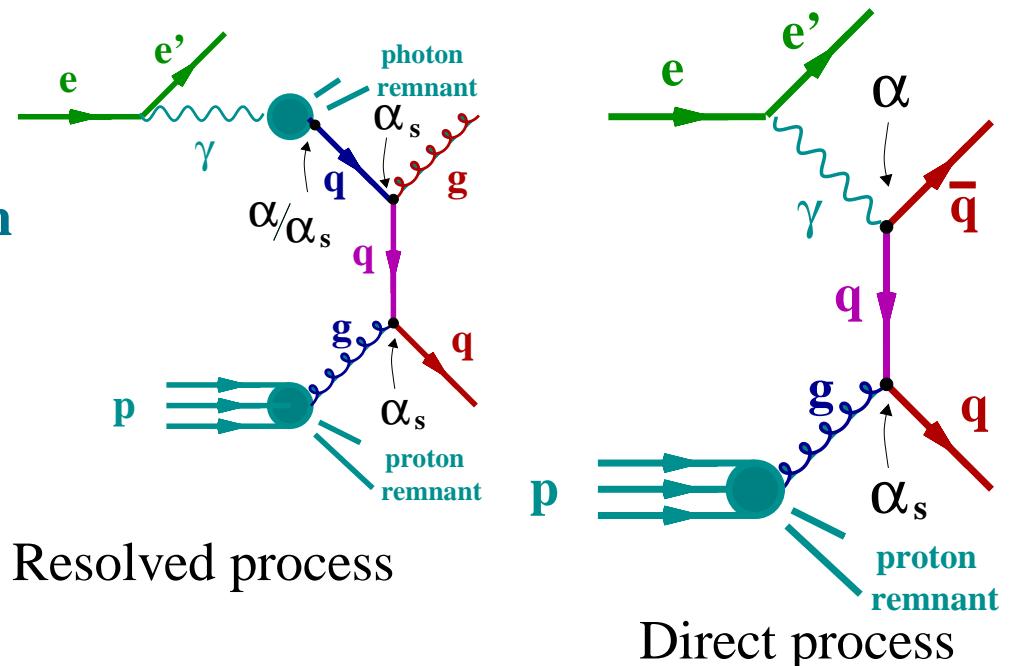


→ NLO calculations ( $\mathcal{O}(\alpha_s^3)$ ): good description of the data over the whole range  $10 < Q^2 < 5000$  GeV $^2$

$$\rightarrow \alpha_s(M_Z) = 0.1179 \pm 0.0013 \text{ (stat.)}^{+0.0028}_{-0.0046} \text{ (exp.)}^{+0.0064}_{-0.0046} \text{ (th.)}$$

## Photoproduction of Jets

- Production of jets in  $\gamma p$  collisions has been measured via  $ep$  scattering at  $Q^2 \approx 0$
  - At lowest order QCD, two hard scattering processes contribute to jet production  $\Rightarrow$
  - pQCD calculations of jet cross sections



$$\sigma_{jet} = \sum_{a,b} \int_0^1 dy \ f_{\gamma/e}(y) \int_0^1 dx_\gamma \ f_{a/\gamma}(x_\gamma, \mu_{F\gamma}^2) \int_0^1 dx_p \ f_{b/p}(x_p, \mu_{Fp}^2) \ \hat{\sigma}_{ab \rightarrow jj}$$

**longitudinal momentum fraction of  $\gamma/e^+$  ( $y$ ), parton  $a/\gamma$  ( $x_\gamma$ ), parton  $b$ /proton ( $x_p$ )**

$\rightarrow f_{\gamma/e}(y) = \text{flux of photons in the positron (WW approximation)}$

$\rightarrow f_{a/\gamma}(x_\gamma, \mu^2_{E\gamma}) =$  **parton densities in the photon (for direct processes  $\delta(1 - x_\gamma)$ )**

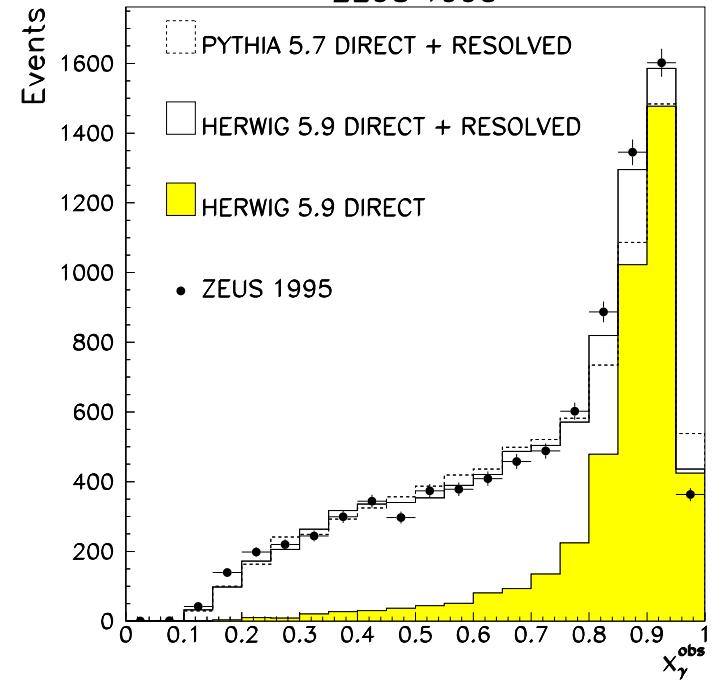
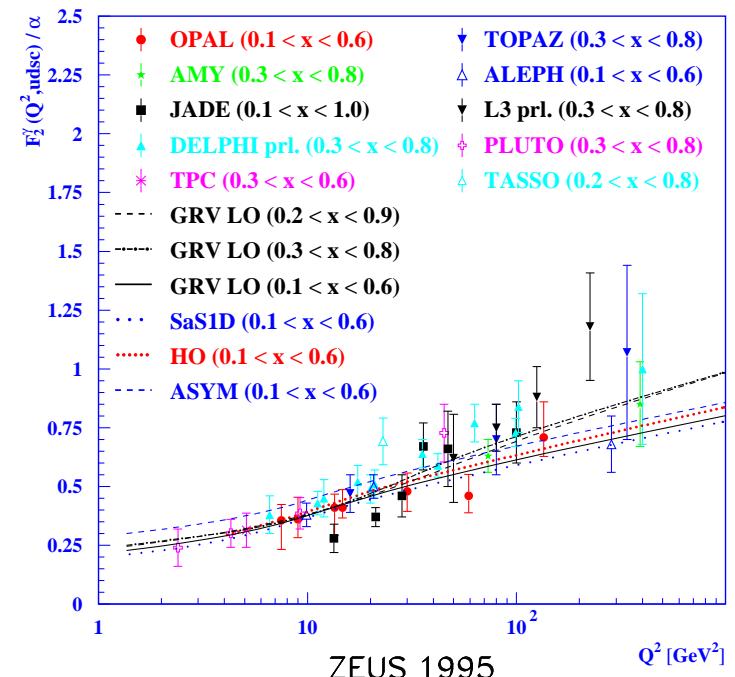
$\rightarrow f_{b/p}(x_p, \mu_{F,p}^2)$  = parton densities in the proton

→  $\sigma_{ab \rightarrow jj}$  subprocess cross section; short-distance structure of the interaction

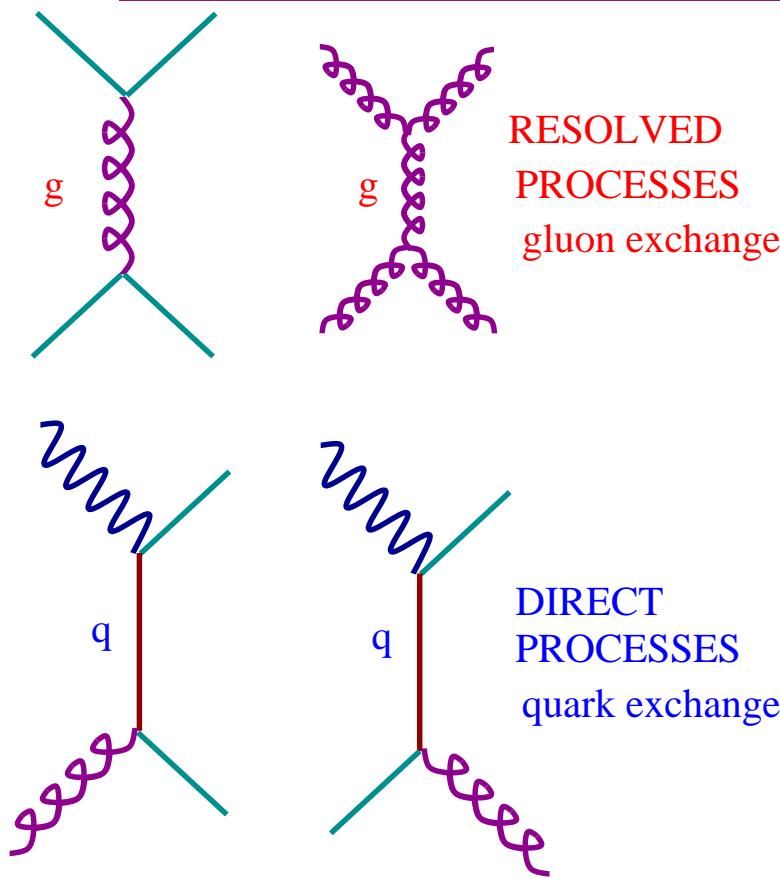
## Photoproduction of Jets

- Measurements of jet photoproduction provide
  - Test of NLO QCD predictions based on current parametrisations of the proton and photon PDFs
  - Dynamics of resolved and direct processes
  - Photon structure: information on quark densities from  $F_2^\gamma$  in  $e^+e^-$ ; gluon density poorly constrained.
  - Jet cross sections in photoproduction are sensitive to both the quark and gluon densities in the photon at larger scales  $\mu_{F\gamma}^2 \sim E_{T,jet}^2$  ( $200 - 10^4$  GeV $^2$ )
  - Proton structure: well constrained by DIS except for the gluon density at high  $x$ . Jet cross sections in  $\gamma p$  are sensitive to parton densities at  $x_p$  up to  $\sim 0.6$
- Observable to separate the contributions: the fraction of the photon's energy participating in the production of the dijet system

$$x_\gamma^{OBS} = \frac{1}{2E_\gamma} \sum_{i=1}^2 E_T^{jet_i} e^{-\eta^{jet_i}}$$



# Dijet Photoproduction: the dynamics of resolved and direct processes



- The dynamics of dijet production has been investigated by studying the variable:

$$\cos \theta^* \equiv \tanh\left(\frac{1}{2}(\eta^{jet,1} - \eta^{jet,2})\right)$$

→ for two-to-two parton scattering  $\theta^*$  coincides with the scattering angle in the dijet CMS

- QCD predicts different dijet angular distributions for resolved and direct:

→ Resolved (gluon-exchange dominated)

$$d\sigma/d|\cos \theta^*| \sim \frac{1}{(1-|\cos \theta^*|)^2}$$

→ Direct (quark-exchange only)

$$d\sigma/d|\cos \theta^*| \sim \frac{1}{(1-|\cos \theta^*|)^1}$$

- The dijet angular distribution  $d\sigma/d|\cos \theta^*|$  for  $x_\gamma^{OBS} < 0.75$  (“resolved”) should be steeper than that of  $x_\gamma^{OBS} > 0.75$  (“direct”) as  $|\cos \theta^*| \rightarrow 1$

# Dijet Photoproduction: the dynamics of resolved and direct processes

- Measurement of the dijet differential cross section  $d\sigma/d|\cos\theta^*|$  for dijet events with  $E_T^{jet,1} > 14 \text{ GeV}$ ,  $E_T^{jet,2} > 11 \text{ GeV}$   
 $-1 < \eta^{jet} < 2.4$  (both jets)

in the kinematic region

$$Q^2 < 1 \text{ GeV}^2 \text{ and } 134 < W_{\gamma p} < 277 \text{ GeV}$$

- Phase-space region:

$$|\cos\theta^*| < 0.8, M_{JJ} > 42 \text{ GeV}$$

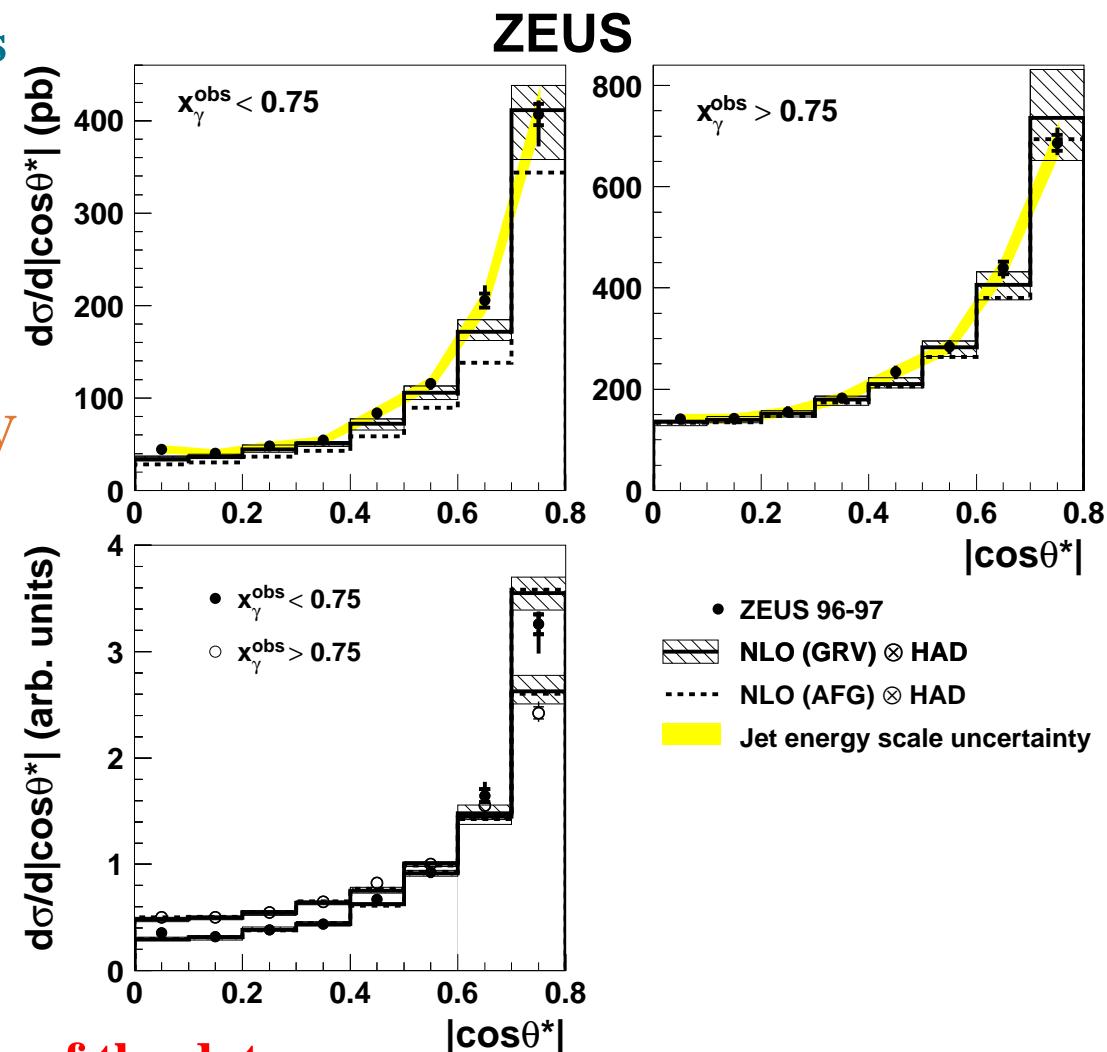
$$0.1 < \frac{1}{2}(\eta^{jet,1} + \eta^{jet,2}) < 1.3$$

- Comparison with NLO QCD calculations:

→ High- $x_\gamma^{OBS}$  (“direct”): NLO describes the shape and normalisation of the data

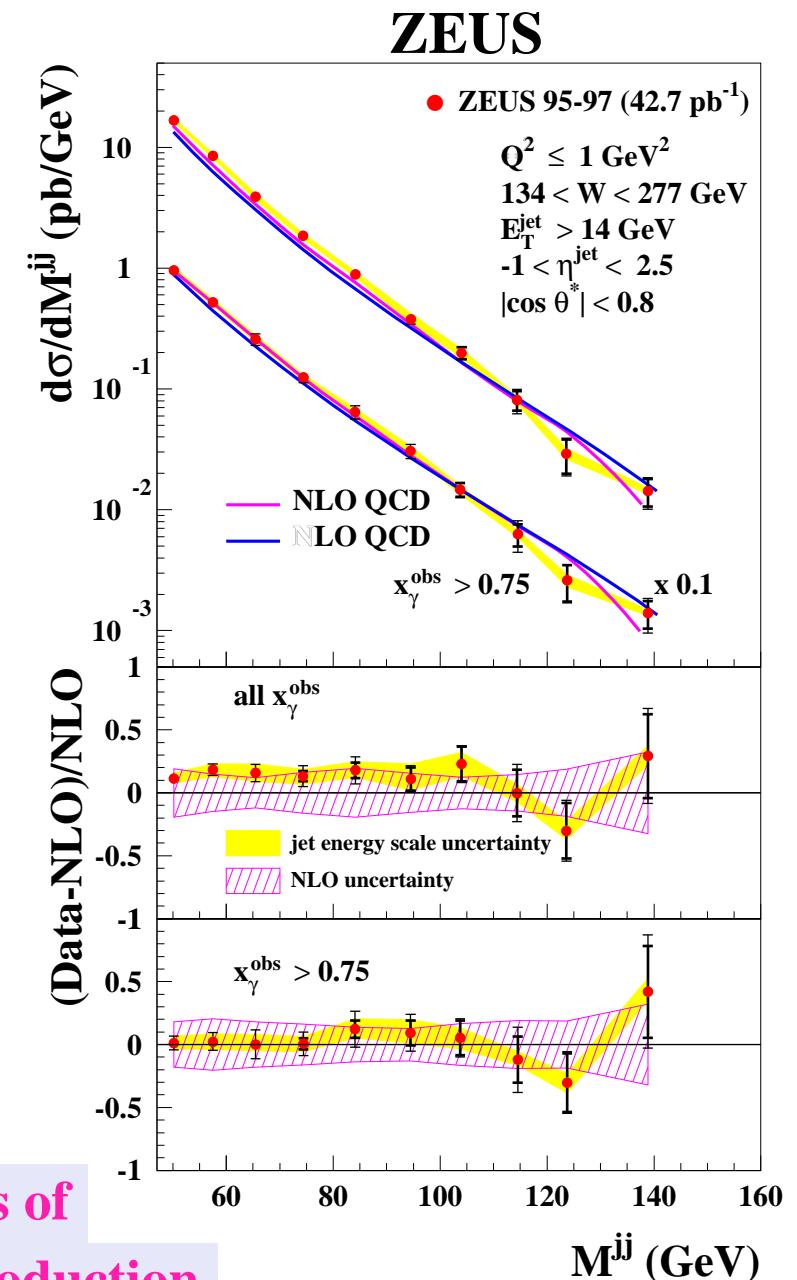
→ Low- $x_\gamma^{OBS}$  (“resolved”): NLO describes the shape and (reasonably) the normalisation of the data

- The dijet angular distribution of the “resolved” sample is steeper than that of “direct”



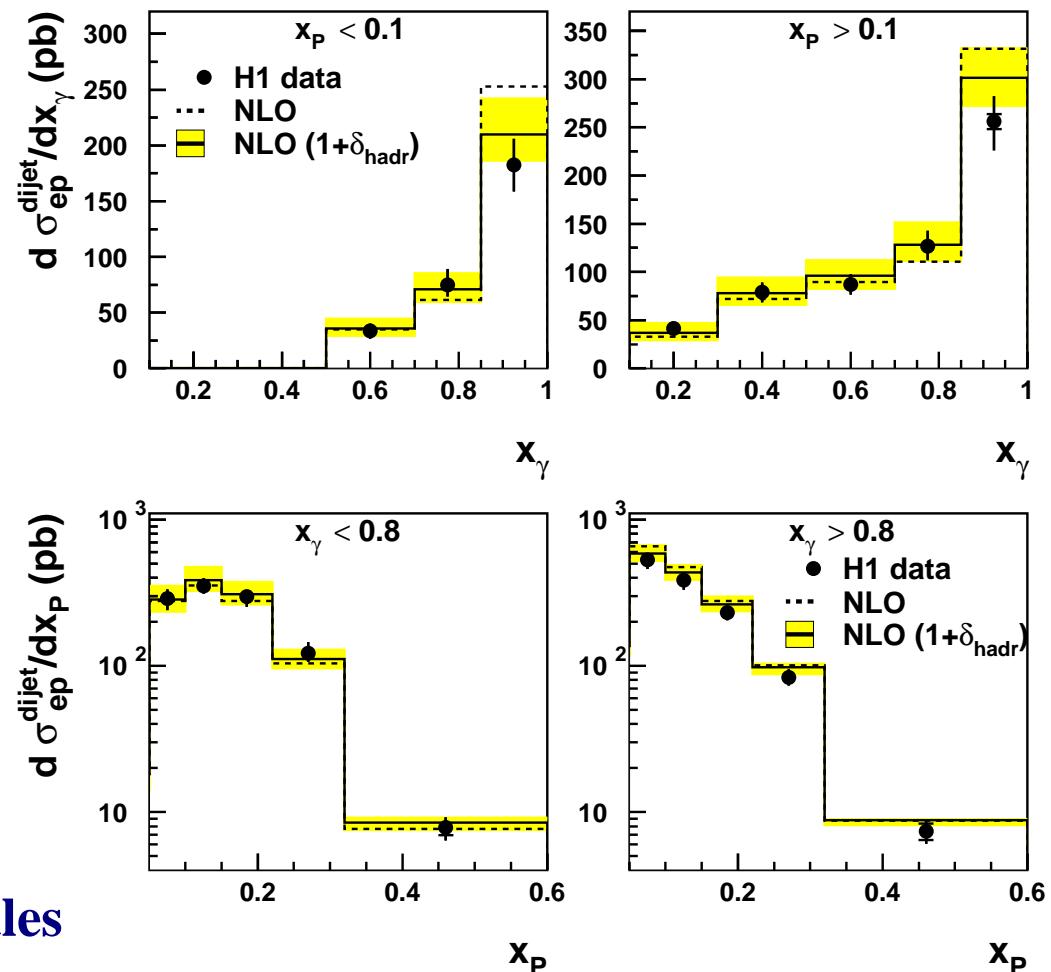
## High- $M_{JJ}$ Dijet Photoproduction

- Measurement of the dijet differential cross section  $d\sigma/dM_{JJ}$  in the range  $47 < M_{JJ} < 160 \text{ GeV}$  for dijet events with  $E_T^{jet} > 14 \text{ GeV}$ ,  $-1 < \eta^{jet} < 2.5$  and  $|\cos \theta^*| < 0.8$
- Small experimental uncertainties:  
→ jet energy scale known to 1% ⇒ 5% on  $d\sigma/dM_{JJ}$
- Small theoretical uncertainties:  
→ higher-order terms (varying  $\mu_R$ ) below 15%  
→  $\gamma$  PDFs (GRV-HO, AFG-HO) below 10%  
→ resolved processes suppressed at high  $M_{JJ}$   
→ small hadronisation corrections, below 5%
- NLO QCD calculations describe the shape and normalisation of the measurements well  
→ Validity of the pQCD description of the dynamics of parton-parton and  $\gamma$ -parton interactions in photoproduction

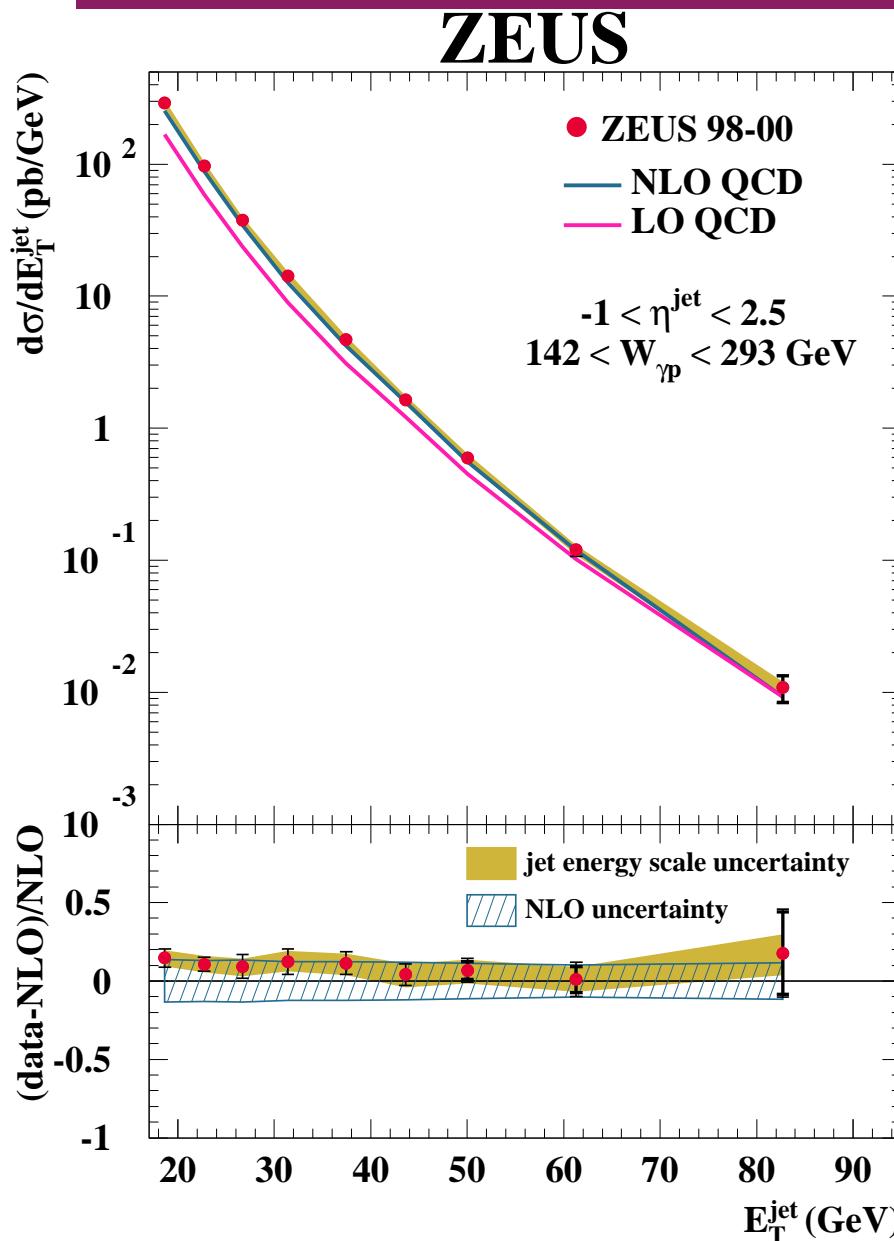


## Dijet Photoproduction: photon and proton structure

- Measurement of the dijet cross sections  $d\sigma/dx_\gamma$  and  $d\sigma/dx_p$  for dijet events with  $E_{T,max} > 25 \text{ GeV}$ ,  $E_{T,second} > 15 \text{ GeV}$  and  $-0.5 < \eta^{jet} < 2.5$  (both jets) in the kinematic region  $Q^2 < 1 \text{ GeV}^2$  and  $95 < W_{\gamma p} < 285 \text{ GeV}$
- $x_p$  variable:  $x_p = \frac{1}{2E_p} \sum_{i=1}^2 E_T^{jet_i} e^{\eta^{jet_i}}$
- NLO calculations using CTEQ5M (proton) and GRV-HO (photon) describe the data
- Theoretical uncertainties:
  - terms beyond NLO  $\Rightarrow 10\text{-}20\%$
  - uncertainties of proton PDFs  
 $< 5\%$  (up to 15%) for  $x_p < 0.1 (> 0.1)$
- Even up to the highest  $x_p$ , where 40% of  $d\sigma/dx_p$  arises from gluon<sub>p</sub>-induced processes, the data is described by NLO
- Consistent with QCD-evolved photon PDFs determined from measurements at lower scales

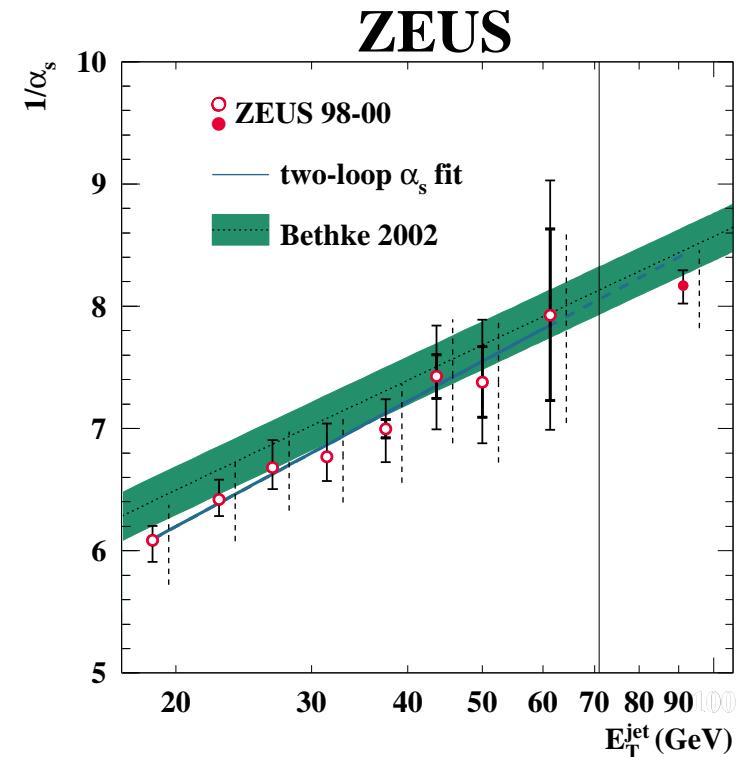
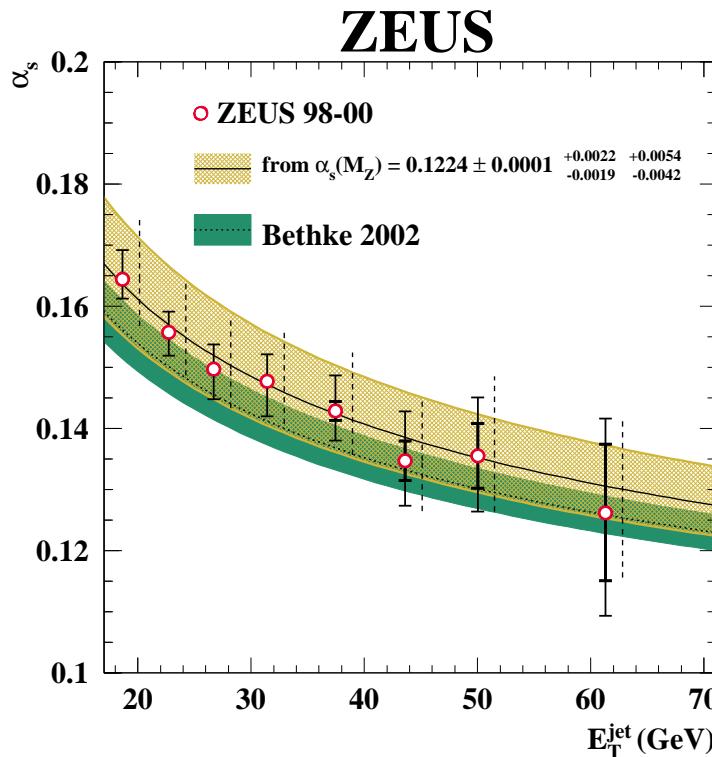


# Inclusive Jet Photoproduction



- Measurement of the differential cross section  $d\sigma/dE_T^{\text{jet}}$  for inclusive jet photoproduction with  $E_T^{\text{jet}} > 17$  GeV and  $-1 < \eta^{\text{jet}} < 2.5$  in the kinematic region  $Q^2 < 1 \text{ GeV}^2$  and  $142 < W_{\gamma p} < 293$  GeV
- Small experimental uncertainties  
→ jet-energy scale known to  $\pm 1\%$   
 $\Rightarrow \sim \pm 5\text{-}10\%$  on the cross sections
- Small theoretical uncertainties  
→ terms beyond NLO  $\Rightarrow$  below 10%  
→ proton PDFs  $\Rightarrow 1\text{-}5\%$   
→ photon PDFs  $\Rightarrow$  below 5%
- Precise test of NLO QCD calculations:  
good description of the data in shape and normalization

# Inclusive Jet Photoproduction and Determination of $\alpha_s$

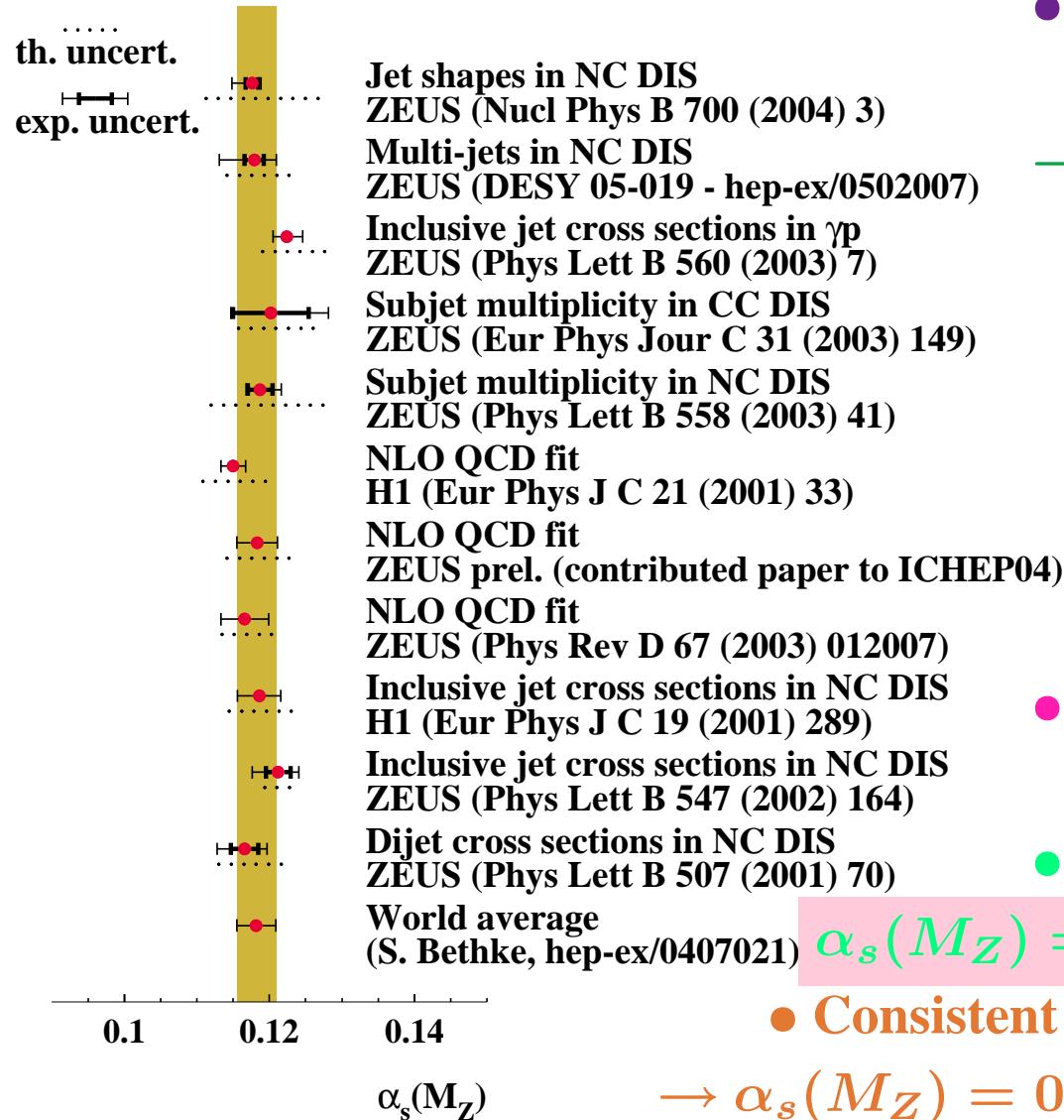


$$\alpha_s(M_Z) = 0.1224 \pm 0.0001 \text{ (stat.)} {}^{+0.0022}_{-0.0019} \text{ (exp.)} {}^{+0.0054}_{-0.0042} \text{ (th.)}$$

- Determination of  $\alpha_s(E_T^{\text{jet}})$ : the measured energy-scale dependence of  $\alpha_s$  is in good agreement with the running predicted by QCD over a large range in  $E_T^{\text{jet}}$
- Fit with two-loop formulae  $\alpha_s^{-1}(E_T^{\text{jet}}) = \beta_0/2\pi \cdot \ln E_T^{\text{jet}} \cdot (1 - \dots)$

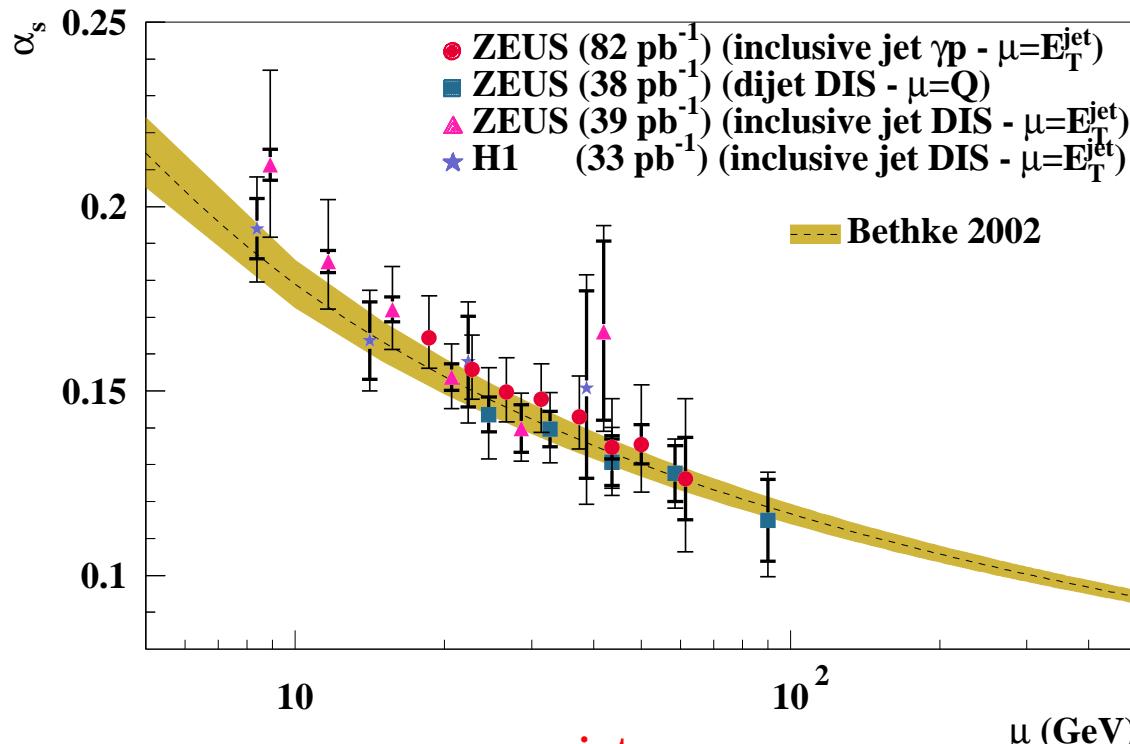
$$\beta_0 = 8.53 \pm 0.22 \text{ (stat.)} {}^{+0.56}_{-0.53} \text{ (exp.)} {}^{+1.34}_{-0.82} \text{ (th.)} \quad (\text{QCD: } \beta_0 = 7.67 \text{ for } n_f = 5)$$

## Summary of $\alpha_s$ determinations



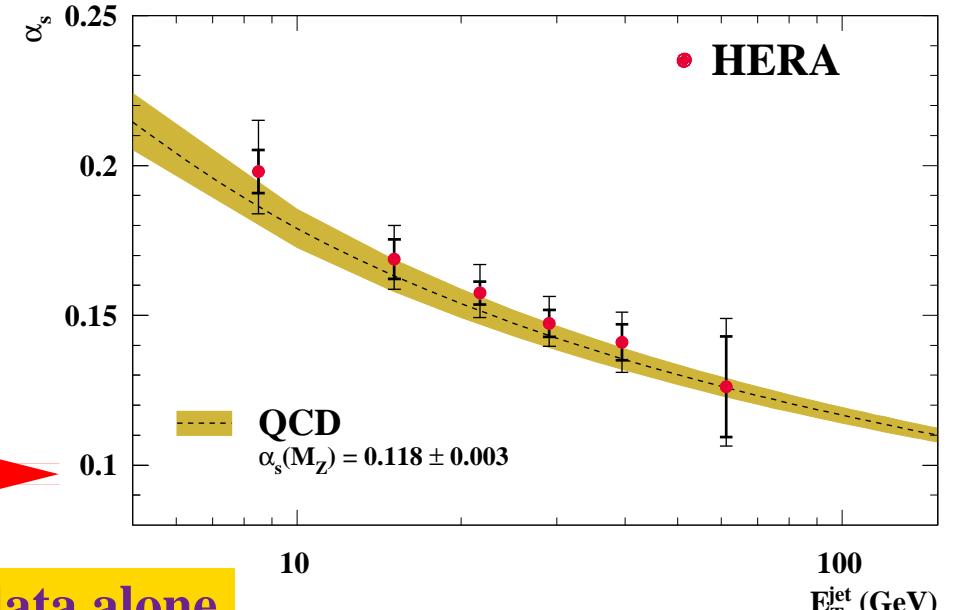
- Wealth of determinations of  $\alpha_s$  at HERA from a variety of observables:
  - NLO QCD analyses of structure functions
  - Inclusive jet production in NC DIS
  - Dijet production in NC DIS
  - Tri-jet/Dijet rate in NC DIS
  - Jet substructure in NC DIS
  - Jet substructure in CC DIS
  - Inclusive jet photoproduction
- Theoretical uncertainties are dominant
  - Biggest contrib. from terms beyond NLO
- Average of HERA determinations
  - Consistent with world average (Bethke, 2004):
    - $\alpha_s(M_Z) = 0.1182 \pm 0.0027$  (only NNLO results)

## The running of $\alpha_s$ from HERA data alone



- Combination of  $\alpha_s(E_T^{\text{jet}})$  determinations at similar energy scales →

- Determinations of  $\alpha_s(\mu)$ :
  - Dijet NC DIS ( $\mu = Q$ )
  - Inclusive jet NC DIS ( $\mu = E_T^{\text{jet}}$ )
  - Inclusive jet  $\gamma p$  ( $\mu = E_T^{\text{jet}}$ )



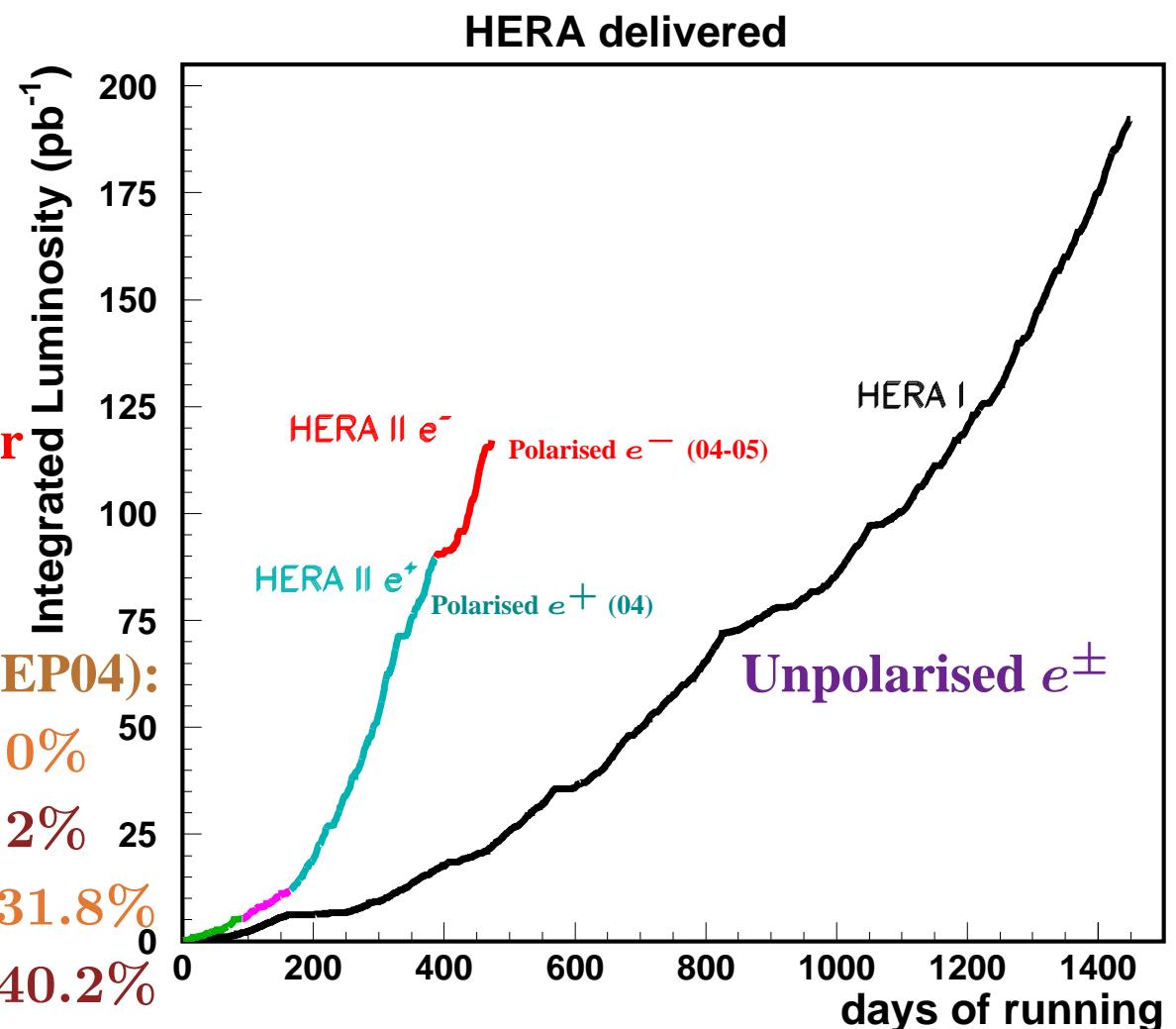
Observation of the running of  $\alpha_s$  from HERA data alone

→ Consistent with the running predicted by QCD over a large range in  $E_T^{\text{jet}}$

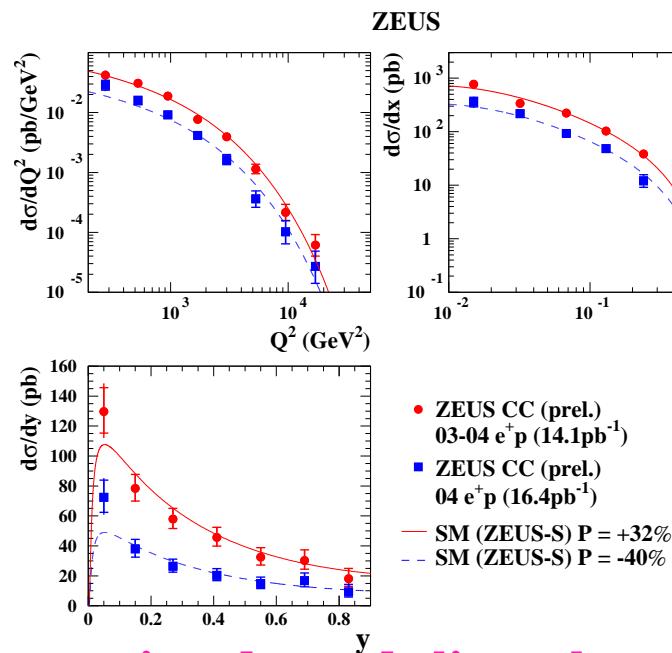
# HERA II

## First results from HERA II data

- Luminosity and detector upgrade
- Long run period until 2007
- Installation of spin rotators in collider experiments: H1 and ZEUS
- Longitudinally polarised  $e^\pm$  beams
  - New window into Electroweak Sector
- First results on NC and CC DIS  $e_L^+ p$  and  $e_R^+ p$  at high  $Q^2$
- Results presented last summer (ICHEP04):
  - H1:  $\mathcal{L} = 15.3 \text{ pb}^{-1}$  at  $P = +33.0\%$
  - H1:  $\mathcal{L} = 21.7 \text{ pb}^{-1}$  at  $P = -40.2\%$
  - ZEUS:  $\mathcal{L} = 14.1 \text{ pb}^{-1}$  at  $P = +31.8\%$
  - ZEUS:  $\mathcal{L} = 16.4 \text{ pb}^{-1}$  at  $P = -40.2\%$
- Longitudinal Polarisation:  $P = \frac{N_R - N_L}{N_R + N_L}$



# Charged Current Deep Inelastic $e_{L,R}^+ p$ scattering



- Cross section depends linearly on  $P$

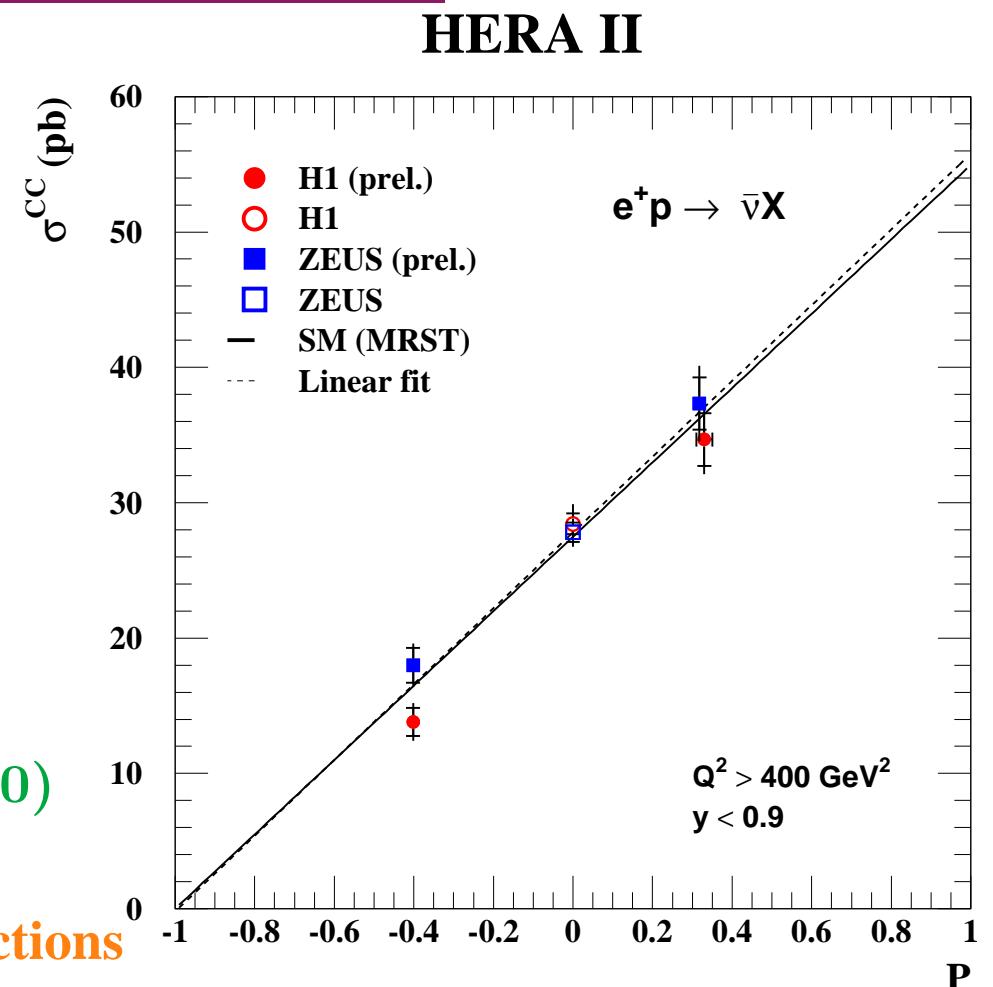
$$\sigma_{CC}(e^+ p, P) = (1 + P) \cdot \sigma_{CC}(e^+ p, P = 0)$$

$$\rightarrow \text{Prediction } \sigma_{CC}(e^+ p, P = -1) = 0$$

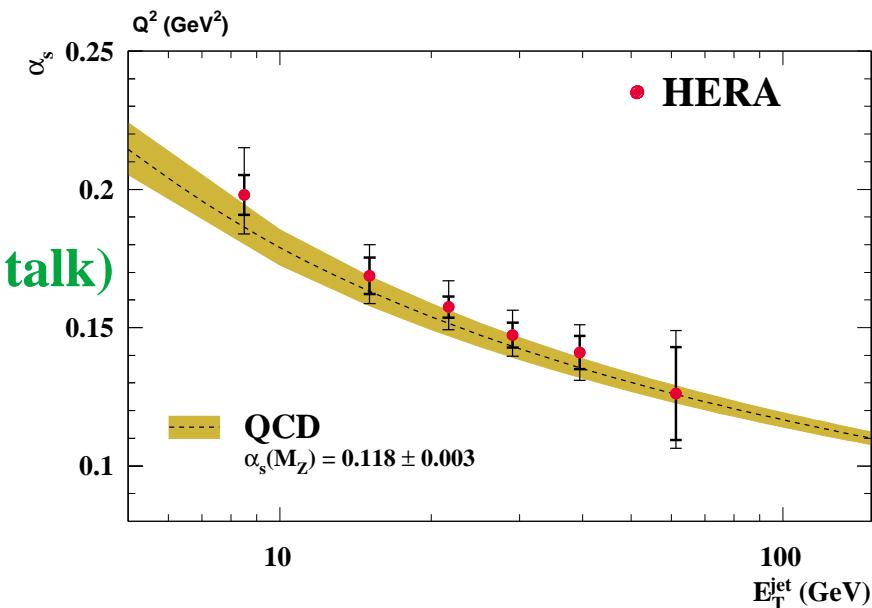
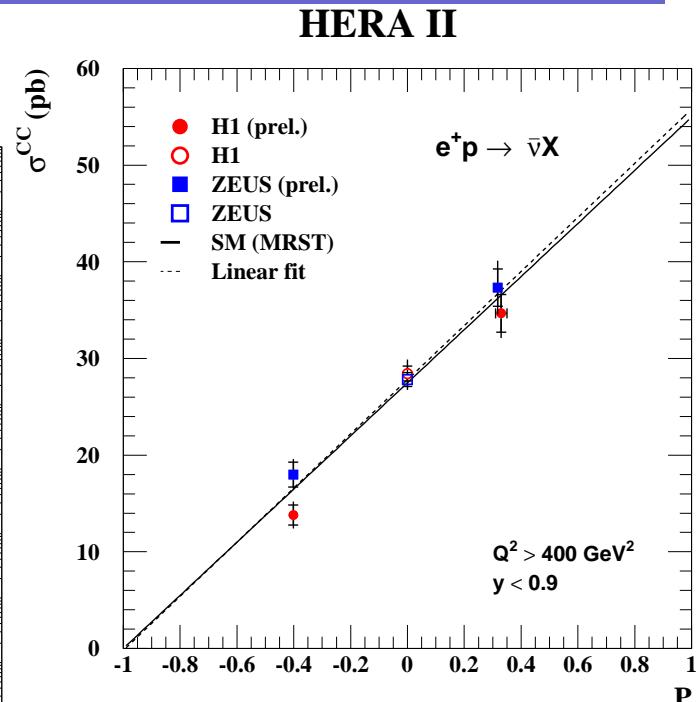
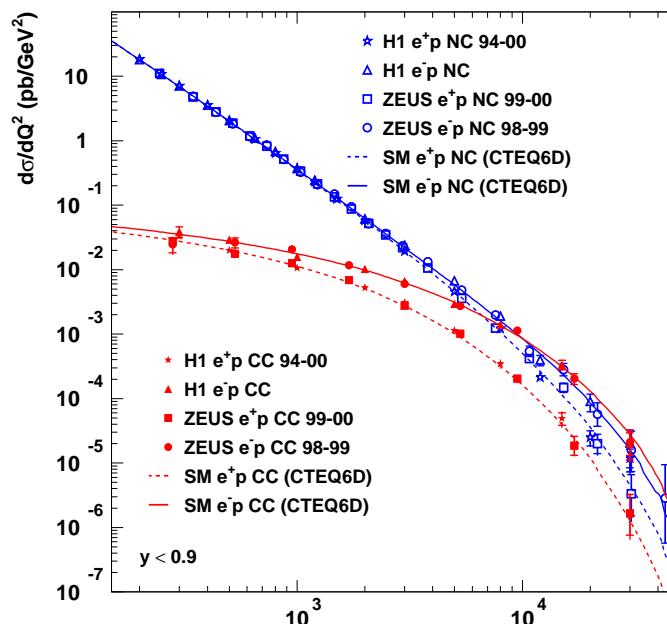
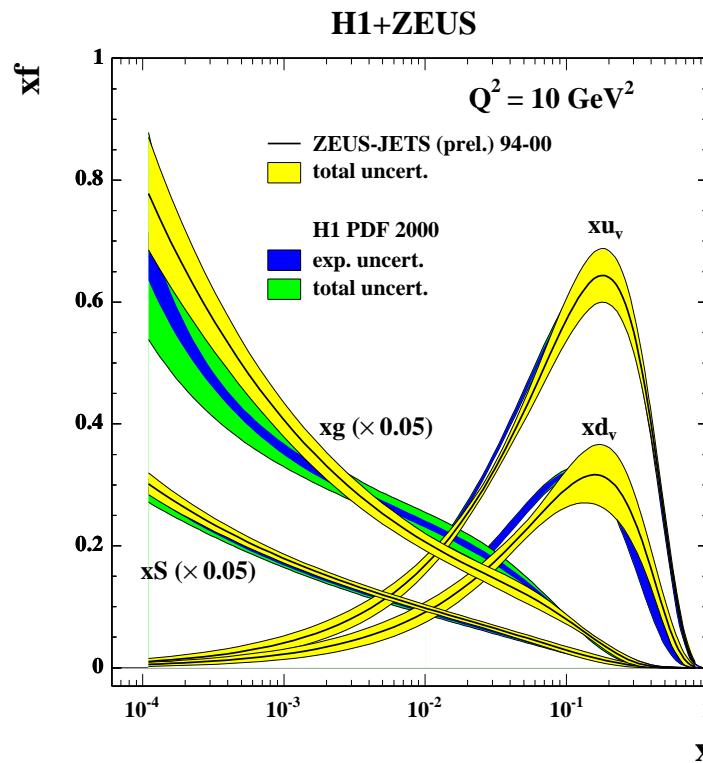
- Direct sensitivity to right-handed CC interactions

$$\sigma_{CC}(e^+ p, P = -1) = 0.2 \pm 1.8(\text{stat}) \pm 1.6(\text{sys}) \text{ pb} \quad (\text{H1+ZEUS combined})$$

⇒ Results in agreement with the prediction of the Standard Model



# Summary



- Other areas of HERA physics (not covered in this talk)

→ Diffraction

→ Heavy quarks

→ Searches for Physics beyond SM